APPROVAL Slw	REQUEST FOR COUNCIL ACTION	MTG. DATE 12/06/2016
Reports & Recommendations	**PROFESSIONAL SERVICES CONTRACT WITH RUEKERT MIELKE FOR W. MADISON BOULEVARD AND S. 36TH STREET – SUBSURFACE DRAINAGE BIOFILTRATION ANALYSIS**	**ITEM NO.** M.6.

BACKGROUND

From the November 1, 2016, Common Council meeting:

> *Alderwoman Wilhelm moved to direct staff to meet with Milwaukee Metropolitan Sewerage District specific to the open drainage and standing water concerns for the larger Rawson Homes area, specifically to the S. 36th St. area, for possible funding, comments and/or suggestions for improving conditions and address residents' ditch drainage concerns, and to further direct staff to approve or work with variance requests by individual homeowners that wish to alleviate the issue on their own and look into the resurfacing of the slope drainage between Madison and Missouri Avenue on the east side of S. 36th Street. Seconded by Alderwoman S. Mayer. Alderwoman Wilhelm withdrew her motion and Alderwoman S. Mayer withdrew her second.*
>
> *Alderwoman Wilhelm then moved to direct Engineering staff to meet with Milwaukee Metropolitan Sewerage District and prepare a plan to solve the drainage problem on S. 36th Street before January 31, 2017 and return to the Common Council. Seconded by Alderman Taylor. All voted Aye; motion carried.*

ANALYSIS

Staff proceeded to contact residents as directed and also met with Jerome Flogel, P.E. and Andy Kaminski with Milwaukee Metropolitan Sewerage District (MMSD) and discussed options. As a result of those discussions, Staff has developed a concept involving subsurface aggregate drains beneath the ditches and using city-owned lots on the northwest corner of S. 36th Street and W. Madison Blvd.

Although the project and funding decisions would need to formally be accepted by the MMSD Board, there are potentially four MMSD funding sources that Franklin could access for this project.

- PPII. Since the PPII funds were used to disconnect sump pumps in this neighborhood, PPII funds could be considered to assist in dealing with the resulting sump pump discharge water that keeps the ditches wet in the summer.
- Green Solutions. A bio-filtration system should qualify for this funding that was previously used to provide pavers at other City projects.
- Green Infrastructure Private Partnership (GIPP). This cost share could be with City as well as private companies/individuals. It may be possible that the city could use allocated MMSD funds to use as a match.
- Parks / Playgrounds and Open Spaces. The project utilizes City open space and neighbors a park facility.

Again the decision to fund the projects would be a decision by MMSD and there are some submittal deadlines in January 2017. To determine eligibility, a report analyzing the project would need to be submitted to MMSD. Ruekert Mielke has been involved in related activities and has submitted a proposal to prepare the needed report.

The belief is that a pilot project could be completed for the east side of S. 36th Street at no cost to the residents. Future phases could address other properties identified in the recent survey at no cost to those residents.

OPTIONS

Authorize Ruekert Mielke to perform the analysis as outlined in their November 21, 2017, proposal for $7,650; or

Table

FISCAL NOTE

There is approximately $25,000 in the Capital Improvement Fund – Contingency to pay for the consultant's analysis. Finance Director recommends paying for this from the General Fund.

RECOMMENDATION

Authorize the execution of the attached professional services contract with Ruekert Mielke for W. Madison Boulevard and S. 36th Street – Subsurface Drainage Biofiltration Analysis in the amount of $7,650 from the Capital Improvement Fund – Contingency pending review by City Attorney.

Ruekert • Mielke

Your Infrastructure Ally

W233 N2080 Ridgeview Parkway • Waukesha, WI 53188-1020 • Tel. (262) 542-5733

November 21, 2016

Mr. Glen E. Morrow, P.E.
City Engineer/Director of Public Works
City of Franklin
9229 West Loomis Road
Franklin, WI 53132

RE: W. Madison Boulevard and S. 36th Street – Subsurface Drainage Biofiltration Analysis

Dear Glen:

Thank you for the opportunity to submit a proposal for the subsurface drainage biofiltration system analysis in the West Madison Boulevard and South 36th Street drainage area. We understand the project to consist of the following Scope of Services:

- Perform one site visit to review general site conditions.
- Size a biofiltration facility to fit within the City-owned parcel located on the northwest corner of W. Madison Boulevard and S. 36th Street. Our analysis will include evaluation of the total suspended solids (TSS) and phosphorus reduction efficiencies for the proposed device based on WinSLAMM Version 10 modeling.
- Prepare a conceptual exhibit of the proposed biofiltration facility showing the approximate size and configuration. Detailed design and/or planting layouts are not anticipated to be completed as part of this preliminary stage.
- Evaluate whether the biofiltration facility can be designed to treat sediment at a high enough rate to offset the replacement of the upstream existing grass swales. If full removal of the swales along S. 36th Street is not feasible, determine the amount of grass swales that can be converted and still meet WDNR's "no backsliding" clause. Briefly analyze how much additional drainage area can be treated by adding a second water quality facility (at the northwest corner of S. 35th Street and W. Madison Boulevard). Briefly review the constructability of these alternatives. It is anticipated that this step will begin with analysis of a pilot area consisting of roadside swale improvements on just the east side of S. 36th Street. It will also include additional analysis to determine the impacts if the pilot program were expanded to include the remainder of S. 36th Street or neighboring streets.
- Prepare an estimated cost of construction for the proposed biofiltration facility, including the anticipated upstream roadside swale / culvert improvements.

- Provide a brief letter report to the City with exhibits and a summary of the findings from the analysis. A digital copy of the report will be provided, along with up to 5 hard copies.

This work will be completed for a lump sum cost of $7,650, which includes all miscellaneous reimbursable costs. The work will be completed within 45 calendar days after authorization from the City.

Services specifically excluded from this proposal include title searches or parcel research, geotechnical investigations, environmental investigations, historical investigations, detailed survey work and attendance at meetings other than those specifically discussed. If desired, additional services can be completed at our standard hourly rates and after authorization by City staff.

The above described professional services will be provided to you in accordance with the attached two page **Standard Terms & Conditions** dated June 17, 2014, which are made part of this agreement by reference. Please indicate your acceptance of this agreement by having the appropriate authorized official(s) affix their signature(s) where indicated and returning one fully executed copy to our office.

Please feel free to contact me with any questions or comments you may have regarding this proposal. You can reach me at (262) 542-5734 or by email.

Very truly yours,

RUEKERT & MIELKE, INC.

Steven C. Wurster

Steven C. Wurster, P.E. (WI, IL)
Senior Vice President/COO
swurster@ruekert-mielke.com

SCW:jkc

Enclosure

cc: File

CLIENT NAME:

City of Franklin

By: _____

Title: _____

Date: _____

ENGINEER:

Ruekert & Mielke, Inc.

By: Steven C. Wurster, P.E.

Title: Senior Vice President/COO

Date: November 21, 2016

ATTEST:

By: _____

Title: _____

Date: _____

Designated Representative:

Name: _____

Title: _____

Phone Number: _____

Designated Representative:

Name: Steven C. Wurster

Title: Senior Vice President/COO

Phone Number: 262-953-3042

A. Standards of Performance

The standard of care for all professional engineering and related services performed or furnished by Engineer under this Agreement will be the care and skill ordinarily used by members of the subject profession practicing under similar circumstances at the same time and in the same locality. Engineer makes no warranties, express or implied, under this Agreement or otherwise, in connection with any services performed or furnished by Engineer.

B. Authorized Representative

With the execution of this Agreement, Engineer and Owner shall designate specific individuals to act as Engineer's and Owner's representatives with respect to the services to be performed or furnished by Engineer and duties and responsibilities of Owner under this Agreement. Such individuals shall have authority to transmit instructions, receive information, and render decisions relative to the Assignment on behalf of the respective party whom the individual represents.

C. Payments to Engineer

Invoices will be prepared in accordance with Engineer's standard invoicing practices and will be submitted to Owner by Engineer monthly, unless otherwise agreed. Invoices are due and payable within 30 days of receipt. If Owner fails to make any payment due Engineer for services and expenses within 30 days after receipt of Engineer's invoice therefore, the amounts due Engineer will be increased at the rate of 1.0% per month (or the maximum rate of interest permitted by law, if less) from said thirtieth day. In addition, Engineer may, after giving seven days written notice to Owner, suspend services under this Agreement until Engineer has been paid in full all amounts due for services, expenses, and other related charges.

D. Ownership and Reuse of Documents

All documents prepared or furnished by Engineer pursuant to this Agreement are instruments of service, and Engineer shall retain an ownership and property interest therein (including the copyright and the right of reuse at the discretion of the Engineer) whether or not the Project is completed. Engineer grants Owner a limited license to use the documents on the Project, extensions of the Project, and for related uses of the Owner, subject to receipt by Engineer of full payment due and owing for all services relating to preparation of the documents. Such limited license shall not create any rights in third parties. Reuse of any documents pertaining to this Agreement by Owner shall be at Owner's sole risk; and Owner agrees to indemnify, defend, and hold Engineer harmless from all claims, damages, and expenses including reasonable attorney's fees arising out of such reuse of documents by Owner or by others acting through Owner.

E. Construction Review

Engineer will observe the work as agreed to for general compliance with the construction documents. Engineer shall not at any time supervise, direct, control, or have authority over any contractor's work, nor shall Engineer have authority over or be responsible for the means, methods, techniques, sequences, or procedures of construction selected or used by any contractor, or the safety precautions and programs incident thereto, for security or safety at the Project site, nor for any failure of a contractor to comply with Laws and Regulations applicable to that contractor's furnishing and performing of its work. Engineer shall not be responsible for the acts or omissions of any contractor. Engineer has no stop work authority.

F. Environmental

Engineer assumes no liability for the detection or removal of any hazardous substances found at or adjacent to the Project site.

G. Owner Provided Information

Engineer shall have the right to rely on the accuracy of any information provided by Owner. Engineer will not review this information for accuracy.

H. Permits and Approvals

It is the responsibility of the Owner to obtain all necessary permits and approvals for the Project. Engineer will assist the Owner in obtaining permits and approvals as mutually agreed to in writing.

I. Access

Owner shall arrange for safe access to and make all provisions for Engineer and Engineer's consultants to enter upon public and private property as required for Engineer to perform services under this Agreement.

J. Limit of Liability

To the fullest extent permitted by law, the total liability, in the aggregate, of Engineer and Engineer's officers, directors, partners, employees, agents, and consultants, or any of them to Owner and anyone claiming by, through, or under Owner, for any and all injuries, losses, damages and expenses, whatsoever arising out of, resulting from, or in any way related to this Agreement from any cause or causes including but not limited to the negligence, professional errors or omissions, strict liability or breach of contract or warranty, express or implied, of Engineer or Engineer's officers, directors, partners, employees, agents, and consultants, or any of them, shall not exceed the total amount of $2,000,000.

K. Insurance

Engineer will maintain insurance coverage for Workers' Compensation, General Liability, and Automobile Liability and will provide certificates of insurance to Owner upon request.

L. Termination of Contract

Either party may at any time terminate this Agreement with 7 days written notice for cause in the event of substantial failure by the other party to perform in accordance with the terms hereof through no fault of the terminating party. Owner may terminate this Agreement for convenience with 30 days written notice, or the Project may be suspended by Owner with 30 days written notice. In the event of suspension or cancellation for convenience by Owner, Owner shall pay to Engineer all amounts owing to Engineer under this Agreement, for all work performed up to the effective date of notice.

M. Indemnification and Allocation of Risk

1. To the fullest extent permitted by law, Engineer shall indemnify and hold harmless Owner, Owner's officers, directors, partners, and employees from and against costs, losses, and damages (including but not limited to reasonable fees and charges of engineers, architects, attorneys, and other professionals, and reasonable court or arbitration or other dispute resolution costs) caused solely by the negligent acts or omissions of Engineer or Engineer's officers, directors, partners, employees, and consultants in the performance of Engineer's services under this Agreement.

2. To the fullest extent permitted by law, Owner shall indemnify and hold harmless Engineer, Engineer's officers, directors, partners, employees, and consultants from and against costs, losses, and damages (including but not limited to reasonable fees and charges of engineers, architects, attorneys, and other professionals, and reasonable court or arbitration or other dispute resolution costs) caused solely by the negligent acts or omissions of Owner or Owner's officers, directors, partners, employees, and consultants with respect to this Agreement.

3. To the fullest extent permitted by law, Engineer's total liability to Owner and anyone claiming by, through, or under Owner for any injuries, losses, damages and expenses caused in part by the negligence of Engineer and in part by the negligence of Owner or any other negligent entity or individual, shall not exceed the percentage share that Engineer's negligence bears to the total negligence of Owner, Engineer, and all other negligent entities and individuals.

4. The indeminification provision of paragraph M.1. is subject to and limited by the provisions agreed to by Owner and Engineer in paragraph J. "Limit of Liability," of this Agreement.

N. Independent Contractor

All duties and responsibilities undertaken pursuant to this Agreement will be for the sole and exclusive benefit of Owner and Engineer and not for the benefit of any other party. Nothing contained in this Agreement shall create a contractual relationship with or a cause of action in favor of a third party against either Owner or the Engineer. Engineer's services under this Agreement are being performed solely for the Owner's benefit, and no other entity shall have any claim against Engineer because of this Agreement or the performance or nonperformance of services hereunder. Owner agrees to include a provision in all contracts with contractors and other entities involved in this Project to carry out the intent of this paragraph.

O. Force Majure

Engineer shall not be liable for any loss or damage due to failure or delay in rendering any service called for under this Agreement resulting from any cause beyond Engineer's reasonable control.

P. Severability and Waiver of Provisions

Any provision or part of the Agreement held to be void or unenforceable under any Laws or Regulations shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon Owner and Engineer, who agree that the Agreement shall be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision. Non-enforcement of any provision by either party shall not constitute a waiver of that provision, nor shall it affect the enforceability of that provision or of the remainder of this Agreement.

Q Dispute Resolution

Owner and Engineer agree that they shall first submit any and all unsettled claims, counterclaims, disputes, and other matters in questions between them arising out or relating to this Agreement or the breach thereof ("disputes") to mediation as a condition precedent to litigation.

R. Public Records

Engineer agrees to comply with the requirements of Wisconsin Statutes Sections 19.32 to 19.39 and Sections 19.81 to 19.98 – Wisconsin Public Records Law and Open Meetings Law.

END OF DOCUMENT

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APPROVAL Slw	REQUEST FOR COUNCIL ACTION	MTG. DATE 12/06/2016
Reports & Recommendations	**ORDINANCE TO AMEND SECTION 178-6 OF THE MUNICIPAL CODE TO INCLUDE EMERALD ASH BORER AS AN INFECTIOUS TREE DISEASE**	**ITEM NO.** A.7.

BACKGROUND

Alderman Steve Taylor requested that Staff, with the assistance of the Board of Public Works, propose a strategy for addressing private property trees that are affected with the emerald ash borer (EAB). After consideration in October and November, the Board requested that it be modified for further discussion and consideration at the November meeting.

ANALYSIS

The City has a policy to address EAB for public trees. The City does not chemically treat trees but will remove them if they are seen to be infected. It is estimated that the City has removed over 3,000 EAB trees and annual removals range from 300 to 800 EAB trees. The City's first priority is to address EAB trees that have been planted along urban roadways within public right-of-ways. The second priority is address naturally-growing EAB trees along rural roadways and third priority is to remove naturally-growing EAB trees located on public lands (parks, vacant right-of-ways, donated MMSD lands, etc).

Section 178-6 of the Municipal Code discusses Dutch Elm Disease (DED). This ordinance was probably adopted circa 1960's and although DED is still present, it is not considered a current threat. Staff proposes that an EAB ordinance be incorporated into the DED ordinance in such a way that it can be easily amended when the next fungus/beetle infection is found to affect other tree species.

In addition, Staff would like to remove some language that requires excessive staff time to implement the ordinance.

DED is a fungus primarily spread by beetles. Both DED and EAB are highly infectious and it is advantageous to the community for all affected trees to be removed. Staff proposes to modify Section 178-6 to include EAB and make it easier to add. Below is the existing ordinance (*italicized*) with proposed changes (**bold and underlined**)

§ 178-6 ~~***Dutch Elm Disease***~~ **Infectious Tree Diseases**

A. Public nuisances declared. The Council, having determined that the health of ~~***the***~~ ~~***elm***~~ *trees within the City is threatened by* ~~***a***~~ *fatal diseases* ~~***known as "Dutch Elm Disease,"***~~ *hereby declares the following to be public nuisances:*

(1) Any living or standing elm tree or part thereof infected with the Dutch Elm Disease fungus or which harbors any type of the elm bark beetles.

(2) Any dead elm tree or part thereof, including logs, branches, firewood, stumps or other elm material, from which the bark has not been removed and burned or sprayed with an effective elm bark beetle destroying insecticide.

(3) Any living or standing ash tree or part thereof which harbors any type of the emerald ash borer beetles.

(4) Any dead ash tree or part thereof, including logs, branches, firewood, stumps or other ash material, from which the bark has not been removed and burned or sprayed with an effective emerald ash borer beetle destroying insecticide.

B. Nuisances prohibited. No person shall permit any public nuisance as defined in Subsection A to remain on any premises owned or controlled by him or her within the City.

*C. Inspection. The City Forester***, or designated representative,** *shall* **respond to written complaints and** ~~***inspect or cause to be inspected all premises and places within the City at least twice each year to determine whether any public nuisance as defined in Subsection A exists thereon, and***~~ ~~***shall also***~~ *inspect or cause to be inspected any* ~~***elm***~~ *tree reported or suspected to be infected with* ~~***the Dutch Elm Disease or elm bark bearing material infested with elm bark beetle.***~~**disease or beetle identified in Subsection A.**

D. Abatement of nuisances.

(1) If the City Forester **or designated representative,** *upon inspection and examination,* ~~*shall*~~ *determines that any public nuisance as herein defined exists in or upon any public street, alley, boulevard, parkway, park or other public place, including the terrace strip between curb and sidewalk within the City, and that the danger to other trees within the City is imminent, he or she shall immediately cause it to be removed and burned or otherwise abate the same in such a manner as to prevent as fully as possible the spread of* ~~*Dutch Elm*~~ *Disease or the insect pests or vectors known to carry such disease fungus.*

(2) If the City Forester ***or designated*** **representative,** *determines with positive certainty that any public nuisance as herein defined exists in or upon private premises and the danger to other* ~~*elm*~~ *trees within the City is not imminent, he or she shall* ~~*immediately*~~ *serve upon the owner of the property, if he or she can be found, or upon the occupant, a written notice to abate such nuisance* ~~*within*~~ ***with*** *no less than 30 days of the service of such notice. If the owner or occupant does not abate such nuisance within the time limit, the Forester, or designated representative, shall cause the same to be abated. No damages shall be awarded to the owner for destruction of any* ~~*elm*~~ *tree,* ~~*elm*~~ *wood or any part thereof pursuant to this chapter.*

It should be noted that Staff requested other Wisconsin municipalities to provide input on how they address EAB. Below is a summary of that research.

- **Mount Horeb** (Chapters 8 and 10) nuisance trees not specific to any species.
- **Middleton** (Chapter 20) defines *"Dutch Elm Disease" includes all standing elm trees or parts thereof, logs, branches, stumps or other elm materials infected with the fungus ceratocystis ulmi or which harbors any of the Elm Bark, Beetle, scolytus multistriatus - (European) or hylurgopinos rufides (American).*
- **Oconomowoc** (Section 8A) infected trees not specific to any species
- **Port Washington** (Section 7.20) hazardous or infected trees not specific to any species
- **Racine** (Section 102-76) identifies Dutch Elm Disease, Oak Wilt Disease, and Emerald Ash Borer
- **Beaver Dam** (Section 54-10) Private trees are addressed under sub (c)
- **Cedarburg** (Section 6-4-11) infected trees not specific to any species

- **Chenequa** (Section 3.07) nuisance trees not specific to any species
- **Deforest** (Section 16.25) nuisance trees not specific to any species
- **Fox Point** (Section 310) Private trees are addresses in 310-119(B)
- **Milwaukee** (Section 116-53) Private trees are addressed in 116-66-67.

OPTIONS

Adopt Ordinance change; or
Deny Ordinance; or
Table

FISCAL NOTE

This is proposed to be a private property matter and would have no impact on the City budget with the exception of City Forester time to perform the role outlined in the ordinance.

RECOMMENDATION

The Board of Public Works discussed this issue at the October and November 2016 meetings and made a recommendation to Common Council to adopt the modified Ordinance.

Motion to adopt Ordinance No. 2016-_____, a resolution to amend Section 178-6 of the Municipal Code to include Emerald Ash Borer as an infectious tree disease.

STATE OF WISCONSIN CITY OF FRANKLIN MILWAUKEE COUNTY

ORDINANCE NO. 2016-____

AN ORDINANCE TO AMEND §178-6 OF THE MUNICIPAL CODE TO INCLUDE EMERALD ASH BORER AS AN INFECTIOUS TREE DISEASE

WHEREAS, the emerald ash borer is infecting ash trees throughout the City of Franklin to cause Emerald Ash Borer (EAB) disease, and;

WHEREAS, Section 178-6 of the Municipal Code addresses another infectious tree disease known as Dutch Elm Disease (DED) caused by elm bark beetles, and;

WHEREAS, there will likely be future widespread infectious tree diseases, and;

NOW, THEREFORE, the Mayor and Common Council of the City of Franklin, Wisconsin, do ordain as follows:

SECTION 1: §178-6. Of the Municipal Code of the City of Franklin, Wisconsin, is hereby amended to read as follows (additions double underlined, deletions in strikethrough):

§ 178-6 ~~Dutch Elm Disease~~ Infectious Tree Diseases

A. Public nuisances declared. The Council, having determined that the health of ~~the elm~~ trees within the City is threatened by a fatal diseases ~~known as "Dutch Elm Disease,"~~ hereby declares the following to be public nuisances:

(1) Any living or standing elm tree or part thereof infected with the Dutch Elm Disease fungus or which harbors any type of the elm bark beetles.

(2) Any dead elm tree or part thereof, including logs, branches, firewood, stumps or other elm material, from which the bark has not been removed and burned or sprayed with an effective elm bark beetle destroying insecticide.

(3) Any living or standing ash tree or part thereof which harbors any type of the emerald ash borer beetles.

(4) Any dead ash tree or part thereof, including logs, branches, firewood, stumps or other ash material, from which the bark has not been removed and burned or sprayed with an effective emerald ash borer beetle destroying insecticide.

B. Nuisances prohibited. No person shall permit any public nuisance as defined in Subsection A to remain on any premises owned or controlled by him or her within the City.

C. Inspection. The City Forester, or designated representative, shall respond to written complaints and ~~inspect or cause to be inspected all premises and places within the City at least twice each year to determine whether any public nuisance as defined in Subsection A exists thereon, and shall also~~ inspect or cause to be inspected any ~~elm~~ tree reported or suspected to be infected with ~~the Dutch Elm Disease or elm bark bearing material infested with elm bark beetle.~~ disease or beetle identified in Subsection A.

D. Abatement of nuisances.

(1) If the City Forester or designated representative, upon inspection and examination, ~~shall~~ determines that any public nuisance as herein defined exists in or upon any public street, alley, boulevard, parkway, park or other public place, including the terrace strip between curb and sidewalk within the City, and that the danger to other trees within the City is imminent, he or she shall immediately cause it to be removed and burned or otherwise abate the same in such a manner as to prevent as fully as possible the spread of ~~Dutch Elm~~ Disease or the insect pests or vectors known to carry such disease fungus.

(2) If the City Forester or designated representative, determines with positive certainty that any public nuisance as herein defined exists in or upon private premises and the danger to other ~~elm~~ trees within the City is not imminent, he or she shall ~~immediately~~ serve upon the owner of the property, if he or she can be found, or upon the occupant, a written notice to abate such nuisance ~~within~~ with no less than 30 days of the service of such notice. If the owner or occupant does not abate such nuisance within the time limit, the Forester, or designated representative, shall cause the same to be abated. No damages shall be awarded to the owner for destruction of any ~~elm~~ tree, ~~elm~~ wood or any part thereof pursuant to this chapter.

SECTION 5: The terms and provisions of this ordinance are severable. Should any term or provision of this ordinance be found to be invalid by a court of competent jurisdiction, the remaining terms and provisions shall remain in full force and effect.

SECTION 6: All ordinances and parts of ordinances in contravention to this ordinance are hereby repealed.

SECTION 7: This ordinance shall take effect and be in force from and after its passage and publication.

Introduced at a regular meeting of the Common Council of the City of Franklin this _____ day of _____, 2016, by Alderman _____.

Passed and adopted at a regular meeting of the Common Council of the City of Franklin this _____ day of _____, 2016.

APPROVED:

_____
Stephen R. Olson, Mayor

ATTEST:

_____
Sandra L. Wesolowski, City Clerk

AYES _____ NOES _____ ABSENT ____

APPROVAL Slw	REQUEST FOR COUNCIL ACTION	MTG. DATE 12/06/2016
Reports & Recommendations	**CONSTRUCTION BIDS FOR BRIDGE ON ST. MARTINS ROAD OVER TESS CORNERS CREEK BETWEEN S. NORTH CAPE ROAD AND S. SCHERREI DRIVE**	**ITEM NO.** H. 8.

BACKGROUND

As part of the Capital Improvement planning, the City needs to replace a culvert/bridge on St. Martins Road over Tess Corners Creek between S. North Cape Road and S. Scherrei Drive. Defects include:

- Wing walls are separating from the structure creating potholes and other significant pavement problems. DPW tried to address this past winter and it has noticeable moved since those temporary repairs.
- The channel in Tess Corners Creek has eroded beneath the original culvert foundations leaving the footings exposed.
- The exposed footings have significant spalling issues.
- Exposed rebar is evident
- A crack is evident from one foundation, through the decking, and down the other foundation.

Original budget for the project was $450, 000. Location of an existing sanitary sewer required a modified project to increase the project and a decision was made to have cast-in-place instead of precast for time constraints.

Bids were originally received on 7/14/2016. Two contractors submitted bids of $827,922.53 (Radtke Contractors) and $628,734.48 (Lunda Construction). Common council rejected bids and directed staff to rebid the project.

Staff worked with the consulting engineer to remove some items and worked at soliciting bidders at a more favorable time of the year.

ANALYSIS

The Engineers Estimate was $563,257.20. A bid tabulation is enclosed for the four bids that were received on November 18, 2016 are as follows:

$ 652,407.99	Lunda Construction- Waukesha, WI
$ 691,726.89	Zenith Tech- Waukesha, WI
$ 811,311.00	NuGen Johnson- Sussex, WI
$1,124,880.31	Concrete Structures, Janesville, WI *(math was corrected from $1,125,419.20)*

All companies were prequalified and were determined to be reputable contractors. Staff discussed in great detail on how to award the project and reduce the project but it was determined not to be an option.

There is a concern that if the project were to be rejected and rebid again, there would be less completive bidders.

The stability of the culvert is of great concern. However the structure can be monitored closely and if needed, St. Martins Road can be temporary closed until a construction project can be completed.The only way staff can anticipate lower bids is to:

- Reject the bids.
- Have engineer revise the project to remove all items that DPW staff can self perform.

- Change structure to a precast structure.
- Give contractors a flexible schedule for construction in 2017. Perhaps, the contractor could choose the construction time toaccommodate other projects and ordering the precast structure, but once the contractor mobilizes, he will be given a set amount of weeks to complete.
- Rebid the project.
- Note that the engineer will need additional fee to perform this work.

OPTIONS

Award bid to Lunda for $563,257.20; or

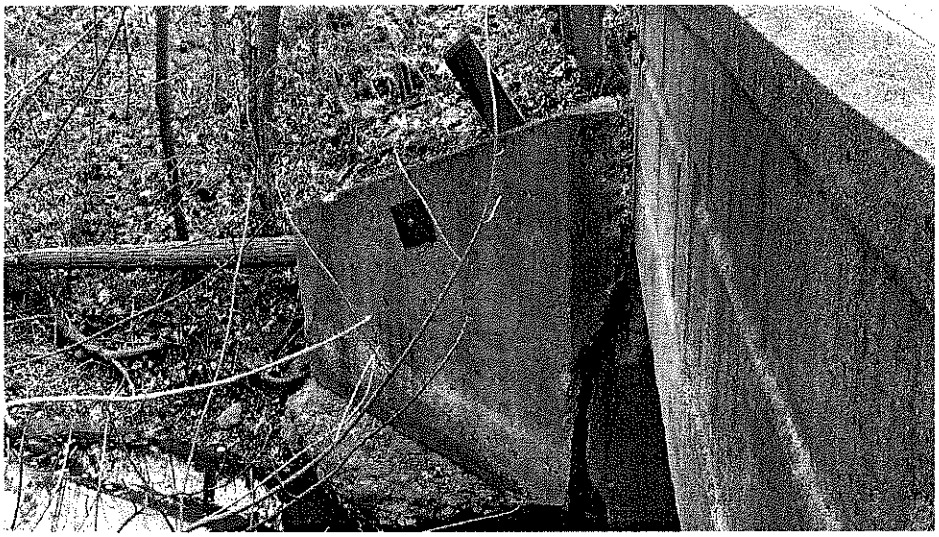
Reject all bids and direct staff to work with consultant to rebid this project with some items to be self performed by DPW.

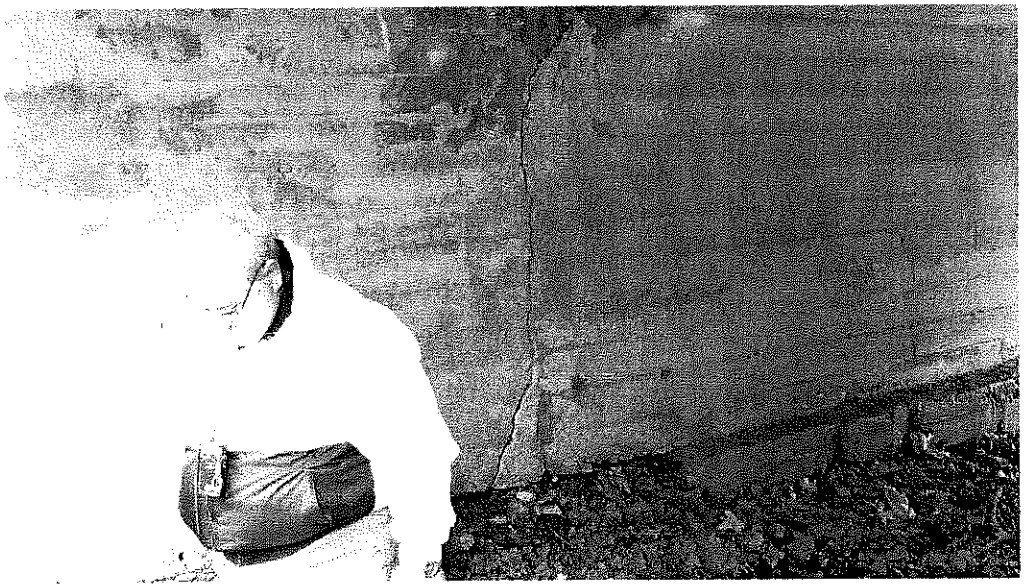
FISCAL NOTE

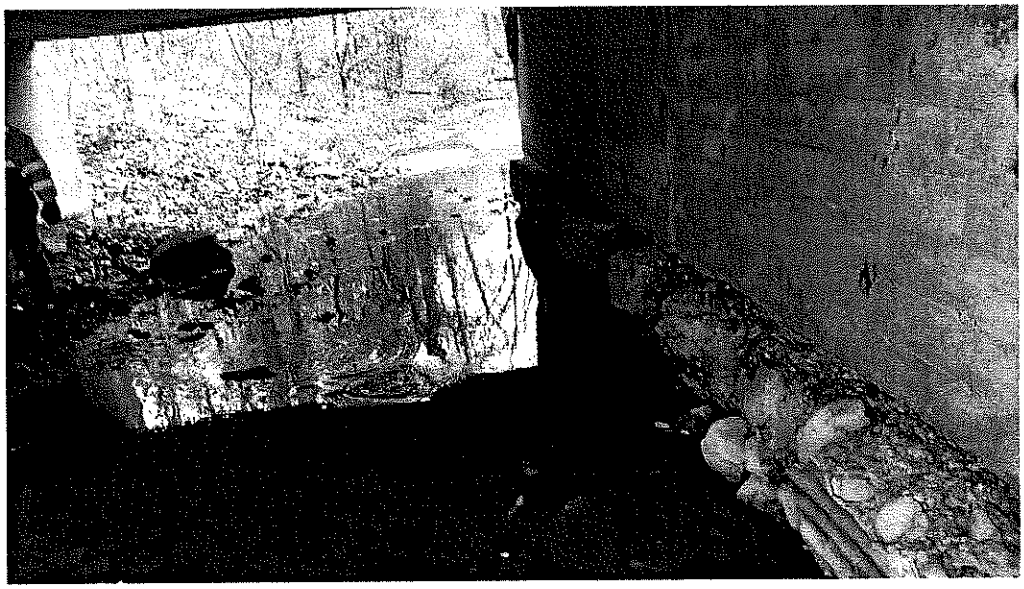
There are not sufficient funds with existing appropriations to award this project with the submitted bids. It is believed that up to approximately $570,000 could be allocated/reallocated without significant discussions on anticipated 2017 capital projects.

RECOMMENDATION

Reject all bids for the W. St. Martins Tess Corners Bridge project and instruct Staff to rebid later in 2017.







APPROVAL	REQUEST FOR COUNCIL ACTION	MTG. DATE 12/06/2016
Reports & Recommendations	**CONSTRUCTION BIDS FOR BRIDGE ON ST. MARTINS ROAD OVER TESS CORNERS CREEK BETWEEN S. NORTH CAPE ROAD AND S. SCHERREI DRIVE**	**ITEM NO.**

BACKGROUND

As part of the Capital Improvement planning, the City needs to replace a culvert/bridge on St. Martins Road over Tess Corners Creek between S. North Cape Road and S. Scherrei Drive. Defects include:

- Wing walls are separating from the structure creating potholes and other significant pavement problems. DPW tried to address this past winter and it has noticeable moved since those temporary repairs.
- The channel in Tess Corners Creek has eroded beneath the original culvert foundations leaving the footings exposed.
- The exposed footings have significant spalling issues.
- Exposed rebar is evident
- A crack is evident from one foundation, through the decking, and down the other foundation.

Original budget for the project was $450, 000. Location of an existing sanitary sewer required a modified project to increase the project and a decision was made to have cast-in-place instead of precast for time constraints.

Bids were originally received on 7/14/2016. Two contractors submitted bids of $827,922.53 (Radtke Contractors) and $628,734.48 (Lunda Construction). Common council rejected bids and directed staff to rebid the project.

Staff worked with the consulting engineer to remove some items and worked at soliciting bidders at a more favorable time of the year.

ANALYSIS

The Engineers Estimate was $563,257.20. A bid tabulation is enclosed for the four bids that were received on November 18, 2016 are as follows:

$ 652,407.99	Lunda Construction- Waukesha, WI
$ 691,726.89	Zenith Tech- Waukesha, WI
$ 811,311.00	NuGen Johnson- Sussex, WI
$1,124,880.31	Concrete Structures, Janesville, WI *(math was corrected from $1,125,419.20)*

All companies were prequalified and were determined to be reputable contractors. Staff discussed in great detail on how to award the project and reduce the project but it was determined not to be an option.

There is a concern that if the project were to be rejected and rebid again, there would be less completive bidders.

The stability of the culvert is of great concern. However the structure can be monitored closely and if needed, St. Martins Road can be temporary closed until a construction project can be completed.The only way staff can anticipate lower bids is to:

- Reject the bids.
- Have engineer revise the project to remove all items that DPW staff can self perform.

- Change structure to a precast structure.
- Give contractors a flexible schedule for construction in 2017. Perhaps, the contractor could choose the construction time toaccommodate other projects and ordering the precast structure, but once the contractor mobilizes, he will be given a set amount of weeks to complete.
- Rebid the project.
- Note that the engineer will need additional fee to perform this work.

OPTIONS

Award bid to Lunda for $563,257.20; or

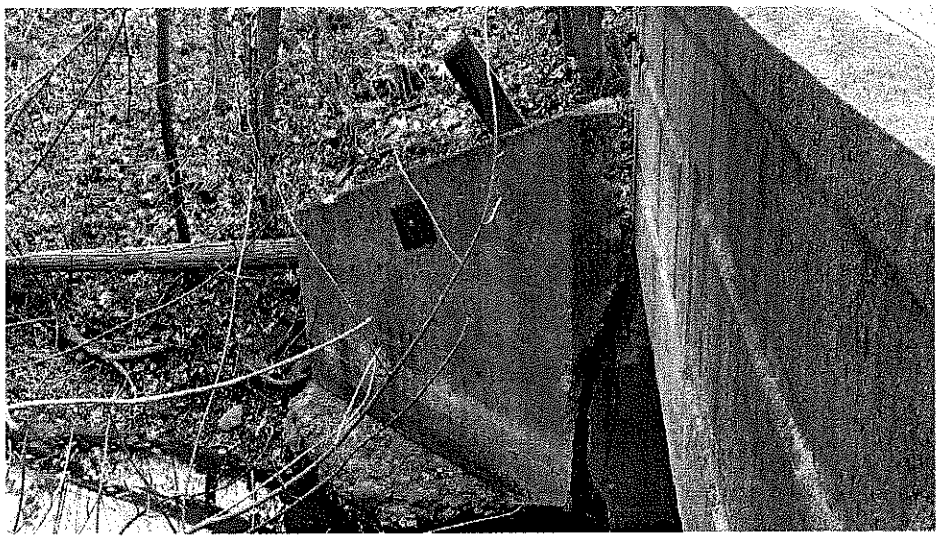
Reject all bids and direct staff to work with consultant to rebid this project with some items to be self performed by DPW.

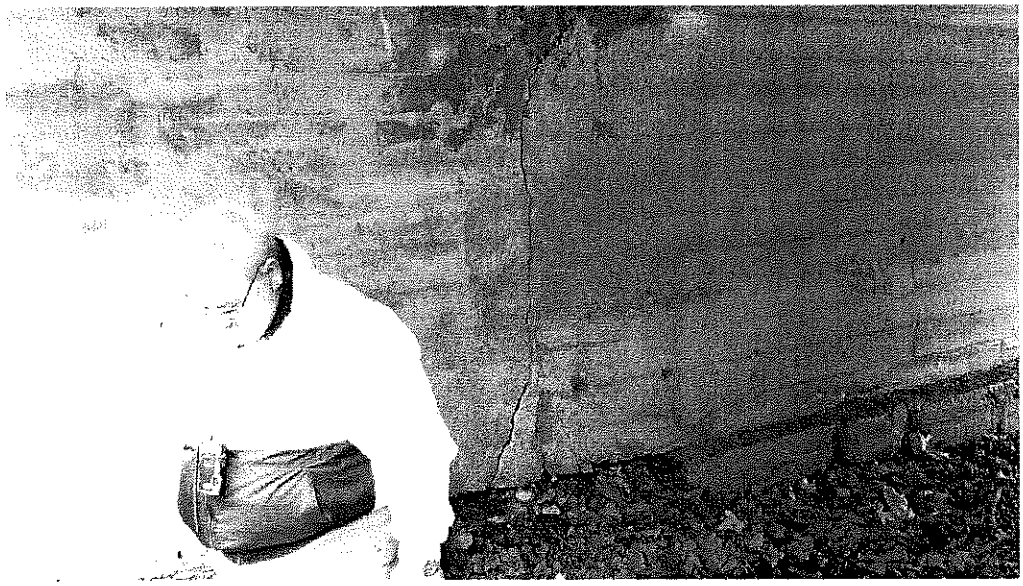
FISCAL NOTE

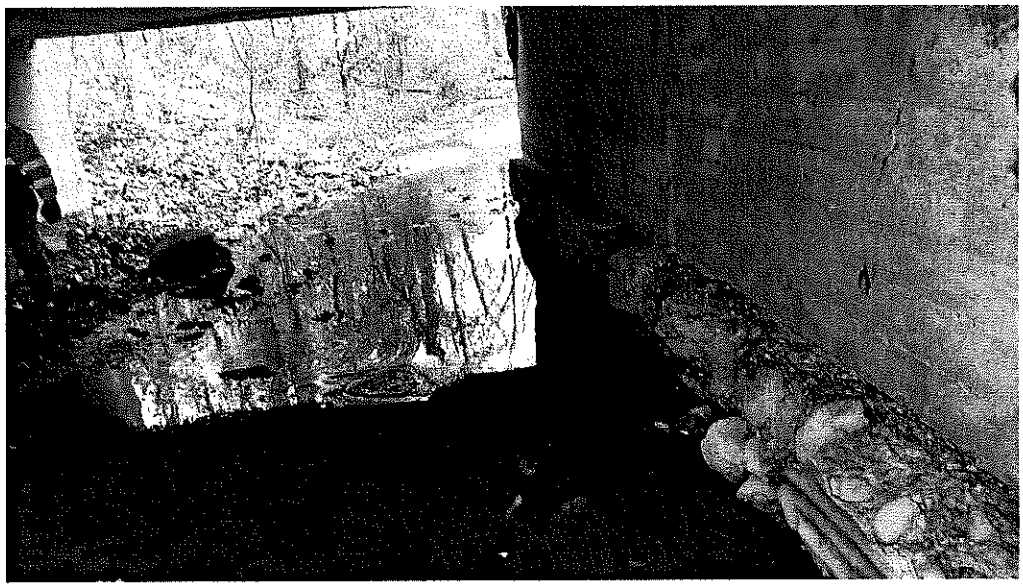
There are not sufficient funds with existing appropriations to award this project with the submitted bids. Common council could amend the 2017 Budget and increase borrowing if the decision is made to award the project.

RECOMMENDATION

Reject all bids for the W. St. Martins Tess Corners Bridge project and instruct Staff to rebid later in 2017.







Bid Evaluation

W. St. Martins Road, Tess Corners Creek Bridge Replacement

Bid Opening Novemebr 18, 2016

Item No.	Item	Quantity	Unit	Engineer's Estimate			Lunda			Zenith Tech			NuGen Johnson			Concrete Structures		
201.0110	Clearing	300	SY	$ 6.00	$ 1,800.00		$ 9.00	$ 2,700.00		$ 8.00	$ 2,400.00		$ 8.00	$ 2,400.00		$ 8.00	$ 2,400.00	
201.0210	Grubbing	300	SY	$ 2.50	$ 750.00		$ 5.00	$ 1,500.00		$ 8.00	$ 2,400.00		$ 8.00	$ 2,400.00		$ 8.00	$ 2,400.00	
204.0100	Removing Pavement	780	SY	$ 3.75	$ 2,925.00		$ 4.50	$ 3,510.00		$ 4.50	$ 3,510.00		$ 4.50	$ 3,510.00		$ 4.50	$ 3,510.00	
204.0165	Removing Guardrail	221	LF	$ 1.75	$ 386.75		$ 2.00	$ 442.00		$ 5.50	$ 1,215.50		$ 2.55	$ 563.55		$ 3.00	$ 663.00	
690.0150	Sawing Asphalt	59	LF	$ 1.00	$ 59.00	$ 5,920.75	$ 5.00	$ 295.00	$ 8,447.00	$ 5.00	$ 295.00	$ 9,820.50	$ 5.00	$ 295.00	$ 9,168.55	$ 5.00	$ 295.00	$ 9,268.00
205.0100	Excavation Common	263	CY	$ 6.00	$ 1,578.00		$ 15.00	$ 3,945.00		$ 15.00	$ 3,945.00		$ 15.00	$ 3,945.00		$ 15.00	$ 3,945.00	
208.0100	Borrow	551	CY	$ 5.25	$ 2,892.75	$ 4,470.75	$ 0.01	$ 5.51	$ 3,950.51	$ 0.01	$ 5.51	$ 3,950.51	$ 0.01	$ 5.51	$ 3,950.51	$ 0.01	$ 5.51	$ 3,950.51
624.0100	Water	190	MGAL	$ 20.00	$ 3,800.00		$ 0.01	$ 1.90		$ 0.01	$ 1.90		$ 0.01	$ 1.90		$ 0.01	$ 1.90	
625.0100	Topsoil	55	SY	$ 2.75	$ 151.25		$ 10.00	$ 550.00		$ 6.80	$ 374.00		$ 10.00	$ 550.00		$ 6.80	$ 374.00	
625.0500	Salvaged Topsoil	770	SY	$ 1.10	$ 847.00		$ 3.00	$ 2,310.00		$ 3.00	$ 2,310.00		$ 3.00	$ 2,310.00		$ 3.00	$ 2,310.00	
625.0110	Erosion Bales	17	EA	$ 10.00	$ 170.00		$ 17.20	$ 292.40		$ 17.20	$ 292.40		$ 17.20	$ 292.40		$ 17.20	$ 292.40	
628.1504	Silt Fence	64	LF	$ 2.00	$ 128.00		$ 3.00	$ 192.00		$ 3.00	$ 192.00		$ 3.00	$ 192.00		$ 3.00	$ 192.00	
628.1520	Silt Fence Maintenance	64	LF	$ 0.25	$ 16.00		$ 1.00	$ 64.00		$ 1.00	$ 64.00		$ 1.00	$ 64.00		$ 1.00	$ 64.00	
628.1905	Mobilizations Erosion Control	4	EA	$ 175.00	$ 700.00		$ 262.60	$ 1,050.40		$ 262.60	$ 1,050.40		$ 262.60	$ 1,050.40		$ 262.60	$ 1,050.40	
628.1910	Mobilizations Emergency Control	4	EA	$ 150.00	$ 600.00		$ 162.60	$ 650.40		$ 162.60	$ 650.40		$ 162.60	$ 650.40		$ 162.60	$ 650.40	
628.2004	Erosion Mat Class I Type B	800	SY	$ 1.15	$ 920.00		$ 1.70	$ 1,360.00		$ 1.70	$ 1,360.00		$ 1.70	$ 1,360.00		$ 1.70	$ 1,360.00	
628.2039	Erosion Mat Class III Type D	75	SY	$ 12.50	$ 937.50		$ 17.70	$ 1,327.50		$ 17.70	$ 1,327.50		$ 17.70	$ 1,327.50		$ 17.70	$ 1,327.50	
628.7504	Temporary Ditch Checks	50	LF	$ 8.00	$ 400.00		$ 12.10	$ 605.00		$ 12.10	$ 605.00		$ 12.10	$ 605.00		$ 12.10	$ 605.00	
629.0210	Culvert Pipe Checks	2	EA	$ 31.00	$ 62.00		$ 100.00	$ 200.00		$ 100.00	$ 200.00		$ 100.00	$ 200.00		$ 250.00	$ 500.00	
629.0210	Fertilizer Type B	0.6	CWT	$ 57.00	$ 34.20		$ 353.50	$ 212.10		$ 353.50	$ 212.10		$ 353.50	$ 212.10		$ 353.50	$ 212.10	
630.0120	Seeding Mixture No. 20	23	LB	$ 4.75	$ 109.25		$ 10.10	$ 232.30		$ 10.10	$ 232.30		$ 10.10	$ 232.30		$ 10.10	$ 232.30	
630.0200	Seeding Temporary	10	LB	$ 2.50	$ 25.00	$ 8,900.20	$ 9.10	$ 91.00	$ 9,139.00	$ 9.10	$ 91.00	$ 8,963.00	$ 9.10	$ 91.00	$ 9,139.00	$ 9.10	$ 91.00	$ 9,263.00
305.0110	Base Aggregate Dense 3/4-Inch	265	TON	$ 15.00	$ 3,975.00		$ 22.00	$ 5,830.00		$ 22.00	$ 5,830.00		$ 22.00	$ 5,830.00		$ 22.00	$ 5,830.00	
305.0120	Base Aggregate Dense 1 1/4-Inch	340	TON	$ 10.00	$ 3,400.00		$ 19.00	$ 6,460.00		$ 19.00	$ 6,460.00		$ 19.00	$ 6,460.00		$ 19.00	$ 6,460.00	
416.1010	Concrete Surfae Drains	5	CY	$ 425.00	$ 2,550.00		$ 500.00	$ 3,000.00		$ 675.00	$ 4,050.00		$ 580.00	$ 3,480.00		$ 380.00	$ 2,280.00	
601.0331	Curb & Gutter 31-Inch	156	LF	$ 22.00	$ 3,432.00		$ 34.00	$ 5,304.00		$ 38.00	$ 5,928.00		$ 34.00	$ 5,304.00		$ 42.50	$ 6,630.00	
602.0410	Concrete Sidewalk 5-Inch	1180	SF	$ 5.00	$ 5,900.00		$ 6.25	$ 7,375.00		$ 4.80	$ 5,664.00		$ 5.20	$ 6,136.00		$ 7.35	$ 8,673.00	
646.0106	Pavement Marking Epoxy 4-Inch	1288	LF	$ 0.35	$ 450.80		$ 2.15	$ 2,769.20		$ 2.15	$ 2,769.20		$ 2.15	$ 2,769.20		$ 2.15	$ 2,769.20	
SPV.0170.01	Test Rolling	1	LS	$ 1,000.00	$ 1,000.00	$ 20,707.80	$ 500.00	$ 500.00	$ 31,238.20	$ 500.00	$ 500.00	$ 31,201.20	$ 500.00	$ 500.00	$ 30,479.20	$ 500.00	$ 500.00	$ 33,142.20
603.8000	Concrete Barrier Temp Precast Delivered	36	LF	$ 13.50	$ 486.00		$ 20.00	$ 720.00		$ 20.00	$ 720.00		$ 25.00	$ 900.00		$ 20.00	$ 720.00	
603.8125	Concrete Barrier Temp Precast Installed	36	LF	$ 5.00	$ 180.00		$ 10.00	$ 360.00		$ 10.00	$ 360.00		$ 5.00	$ 180.00		$ 20.00	$ 720.00	
643.0300	Traffic Drums	1300	DAY	$ 0.45	$ 585.00		$ 0.10	$ 130.00		$ 0.15	$ 195.00		$ 0.50	$ 650.00		$ 0.75	$ 975.00	
643.0420	Traffic Control Barricades Type III	1620	DAY	$ 0.95	$ 1,539.00		$ 0.10	$ 162.00		$ 1.00	$ 1,620.00		$ 2.50	$ 4,050.00		$ 1.25	$ 2,025.00	
643.0705	Traffic Control Warning Lights Type A	1080	DAY	$ 0.30	$ 324.00		$ 0.10	$ 108.00		$ 0.50	$ 540.00		$ 0.50	$ 540.00		$ 0.50	$ 540.00	
643.0900	Traffic Signs	6120	DAY	$ 0.95	$ 5,814.00	$ 8,928.00	$ 0.10	$ 612.00	$ 2,092.00	$ 0.70	$ 4,284.00	$ 7,719.00	$ 0.90	$ 5,508.00	$ 11,828.00	$ 1.00	$ 6,120.00	$ 11,100.00
521.0115	Culvert Pipe Corrugated Steel 15"	27	LF	$ 35.50	$ 958.50		$ 45.00	$ 1,215.00		$ 45.00	$ 1,215.00		$ 45.00	$ 1,215.00		$ 45.00	$ 1,215.00	
521.1015	Apron Endwall for Culvert Pipe Steel 15"	2	EA	$ 155.00	$ 310.00		$ 250.00	$ 500.00		$ 250.00	$ 500.00		$ 250.00	$ 500.00		$ 250.00	$ 500.00	
521.0118	Culvert Pipe Corrugated Steel 18"	25	LF	$ 36.00	$ 900.00		$ 48.00	$ 1,200.00		$ 48.00	$ 1,200.00		$ 48.00	$ 1,200.00		$ 48.00	$ 1,200.00	
521.1018	Apron Endwall for Culvert Pipe Steel 18"	2	LF	$ 165.00	$ 330.00		$ 275.00	$ 550.00		$ 275.00	$ 550.00		$ 275.00	$ 550.00		$ 275.00	$ 550.00	
619.1000	Mobilization Project ID 1014	1	EA	$ 50,000.00	$ 50,000.00		$ 98,374.00	$ 98,374.00		$ 71,000.00	$ 71,000.00		$ 276,323.92	$ 276,323.92		$ 193,000.00	$ 193,000.00	
SPV.0105.01	Survey Project ID 1014	1	LS	$ 3,000.00	$ 3,000.00		$ 9,200.00	$ 9,200.00		$ 9,200.00	$ 9,200.00		$ 2,500.00	$ 2,500.00		$ 5,000.00	$ 5,000.00	
SPV.0105.02	Pavement Cleanup Project	1	LS	$ 2,500.00	$ 2,500.00	$ 57,998.50	$ 1,000.00	$ 1,000.00	$ 112,039.00	$ 1,000.00	$ 1,000.00	$ 84,665.00	$ 1,000.00	$ 1,000.00	$ 283,288.92	$ 1,000.00	$ 1,000.00	$ 202,465.00
	Removing Old Str. Over Waterway Min. Debris																	
203.0200	STA 2+62.93	1	LS	$ 15,000.00	$ 15,000.00		$ 60,000.00	$ 60,000.00		$ 23,000.00	$ 23,000.00		$ 11,879.00	$ 11,879.00		$ 50,000.00	$ 50,000.00	
206.1000	Excavation for Structure, Bridge	1	LS	$ 15,000.00	$ 15,000.00		$ 12,000.00	$ 12,000.00		$ 30,000.00	$ 30,000.00		$ 24,000.00	$ 24,000.00		$ 50,000.00	$ 50,000.00	
205.5000	Cofferdams (Structure)	1	LS	$ 42,000.00	$ 42,000.00		$ 50,000.00	$ 50,000.00		$ 36,000.00	$ 36,000.00		$ 54,000.00	$ 54,000.00		$ 125,000.00	$ 125,000.00	
210.0100	Backfill Structure	585	CY	$ 22.00	$ 12,870.00		$ 25.00	$ 14,625.00		$ 25.00	$ 14,625.00		$ 25.00	$ 14,625.00		$ 25.00	$ 14,625.00	
502.0100	Concrete Masonry Bridges	295	CY	$ 605.00	$ 178,475.00		$ 600.00	$ 177,000.00		$ 870.00	$ 256,650.00		$ 590.00	$ 174,050.00		$ 1,300.00	$ 383,500.00	
505.0405	Bar Steel Reinf. HS Bridge	58280	LB	$ 0.98	$ 57,114.40		$ 0.80	$ 46,624.00		$ 0.87	$ 50,703.60		$ 0.83	$ 48,372.40		$ 0.90	$ 52,452.00	
505.0605	Bar Steel Reinf. HS Coated Bridge	5486	LB	$ 1.05	$ 5,760.30		$ 0.90	$ 4,937.40		$ 1.20	$ 6,583.20		$ 0.97	$ 5,321.42		$ 1.10	$ 6,034.60	
516.0100	Dampproofing	348	SY	$ 21.00	$ 7,308.00		$ 10.06	$ 3,500.88		$ 10.06	$ 3,500.88		$ 20.00	$ 6,960.00		$ 15.00	$ 5,220.00	

W. ST. MARTINS ROAD, TESS CORNERS CREEK BRDIGE REPLACEMENT

Item No.	Item	Quantity	Unit	Engineer's Estimate			Lunda			Zenith Tech			NuGen Johnson			Concrete Structures		
516.0500	Rubberized Membrane Waterproofing	62	SY	$ 50.00	$ 3,100.00		$ 25.00	$ 1,550.00		$ 30.00	$ 1,860.00		$ 35.00	$ 2,170.00		$ 35.00	$ 2,170.00	
550.0010	Pre-Boring Unconcolidated Materials	88	LF	$ 78.00	$ 6,864.00		$ 40.00	$ 3,520.00		$ 65.00	$ 5,720.00		$ 100.00	$ 8,800.00		$ 100.00	$ 8,800.00	
550.1120	Piling Steel HP 12-Inch X 53 LB	2980	LF	$ 35.00	$ 104,300.00		$ 33.00	$ 98,340.00		$ 35.00	$ 104,300.00		$ 34.00	$ 101,320.00		$ 50.00	$ 149,000.00	
606.0300	Riprap Heavy	125	CY	$ 51.50	$ 6,437.50		$ 85.00	$ 10,625.00		$ 73.00	$ 9,125.00		$ 75.00	$ 9,375.00		$ 50.00	$ 6,250.00	
612.0206	Pipe Underdrain Unperforated 6-Inch	10	LF	$ 12.00	$ 120.00		$ 8.00	$ 80.00		$ 8.00	$ 80.00		$ 8.00	$ 80.00		$ 8.00	$ 80.00	
612.0406	Pipe Underdrain Wrapped 6-Inch	180	LF	$ 6.50	$ 1,170.00		$ 8.00	$ 1,440.00		$ 8.00	$ 1,440.00		$ 8.00	$ 1,440.00		$ 8.00	$ 1,440.00	
645.0120	Geotextile Fabric Type HR	280	SY	$ 2.90	$ 812.00	$ 456,331.20	$ 4.50	$ 1,260.00	$ 485,502.28	$ 6.50	$ 1,820.00	$ 545,407.68	$ 3.80	$ 1,064.00	$ 463,456.82	$ 4.00	$ 1,120.00	$ 855,691.60
	TOTALS					$ 563,257.20			$ 652,407.99			$ 691,726.89			$ 811,311.00			$ 1,124,880.31
	Corrected Math																	

APPROVAL Slw Pad	REQUEST FOR COUNCIL ACTION	MEETING DATE Dec 6, 2016
REPORTS & RECOMMENDATIONS	**An Ordinance to Amend Ordinance 2015-2198 adopting the 2016 Annual Budgets for the General Fund and the Capital Outlay Fund for the City of Franklin for fiscal year 2016, to provide amended personnel appropriations and Reclassify Contingency appropriations to vehicles in the Highway Department**	ITEM NUMBER B.9.

Background

The 2016 Budget includes appropriations for personnel costs. During 2016, certain events occurred that require adjustments to the original budget.

1. A Municipal Court employee got married and elected family coverage which was not anticipated in the 2016 budget. Additional $4,000 of appropriations are needed.
2. A Clerk Dept employee challenged the Classification and Compensation study results and was awarded additional hourly rate. An additional $4,000 is recommended including benefit costs.
3. The Highway and Parks dept share personnel, with costs allocated based upon the actual time spent in the departments. The 2016 time spent in Parks is greater than budgeted. A transfer of appropriations from Highway to Parks will deal with this issue.

In June, the PSC granted a water rate increase which increased the amount of the Public Fire Protection charges to the General Fund by the Water Utility. That increase was greater than what was anticipated in the 2016 budget appropriations. An additional $2,000 is recommended.

On November 1, the Common Council authorized the purchase of a used Street Sweeper from Capital Outlay contingency appropriations. A transfer of Contingency appropriations to Highway vehicles is recommended to properly classify the expenditure.

The Unrestricted Contingency has available appropriations of $126,700. This amendment would use $10,000 of that amount.

Recommendation

The Finance Committee recommends the following modifications to personnel appropriations in the 2016 budgets:

Court - increase Personnel costs - $4,000
Clerk – increase personnel costs - $4,000
Highway – decrease personnel costs - $25,000
Parks – increase personnel costs - $25,000

Modifications to the Non-personnel appropriations in the 2016 budgets

Public Fire Protection – increase - $2,000
Unrestricted Contingency budget – decrease $10,000

In Capital Outlay, a used Street Sweeper was authorized from Contingency. The Street Sweeper was requested for 2017 and is recommended to be removed from the Proposed 2017 Budget.

Highway vehicles – increase - $41,850

Contingency – decrease - $41,850

COMMON COUNCIL ACTION REQUESTED

Motion adopting An Ordinance to Amend Ordinance 2015-2198 adopting the 2016 Annual Budgets for the General Fund for the City of Franklin for fiscal year 2016, to provide additional personnel appropriations and reclassify contingency appropriation to vehicles in the Highway Department

Finance Dept - Paul

STATE OF WISCONSIN: CITY OF FRANKLIN: MILWAUKEE COUNTY

ORDINANCE NO. 2016_____

AN ORDINANCE TO AMEND ORDINANCE 2015-2198, AN ORDINANCE ADOPTING THE 2016 ANNUAL BUDGETS FOR THE GENERAL FUND AND CAPITAL IMPROVEMENT FUND FOR THE CITY OF FRANKLIN FOR FISCAL YEAR 2016, TO PROVIDE AMENDED PERSONNEL APPROPRIATIONS AND RECLASSIFY CONTINGENCY APPROPRIATION TO VEHICLES IN THE HIGHWAY DEPARTMENT

WHEREAS, the Common Council adopted the 2016 Budget for the City of Franklin providing resources and appropriations for 2016 and

WHEREAS, personnel appropriations were provided for expected staffing levels, and

WHEREAS, certain employees in the Municipal Court increased their benefit elections after the budget was adopted, and

WHEREAS, certain Clerk Office personnel appealed their Classification and Compensation study ratings after the budget was adopted, and

WHEREAS, the Highway and Parks Departments shared personnel differently with a greater allocation to Parks than expected in the adopted budget, and

WHEREAS, the Public Service Commission of Wisconsin granted the Franklin Water Utility greater Public Fire Protection charges from the General Fund than were anticipated in the adopted budget, and

WHEREAS, the Common Council authorized the purchase of a used Street Sweeper from Contingency in November 2016.

NOW, THEREFORE, the Common Council of the City of Franklin does hereby ordain as follows:

Section 1 That the 2016 Budgets of the General Fund be adjusted as follows:

Court	Personnel Costs	Increase	$ 4,000
Clerk	Personnel Costs	Increase	4,000
Highway	Personnel Costs	Decrease	25,000
Parks	Personnel Costs	Increase	25,000
Public Fire	Non-Personnel Costs	Increase	2,000
Contingency	Un-restricted	Decrease	10,000

Section 2 That the 2016 Capital Outlay budget be adjusted as follows:

Highway	Vehicles	Increase	41,850
Contingency		Decrease	41,850

Section 3 Pursuant to §65.90(5)(a), Wis. Stats., the City Clerk is directed to publish a Class 1 notice of this budget amendment within ten days of adoption of this ordinance.

Passed and adopted at a regular meeting of the Common Council of the City of Franklin this _____ day of _____, 2016.

APPROVED:

_____
Stephen R Olson, Mayor

ATTEST:

_____
Sandra L. Wesolowski, City Clerk

AYES____NOES____ABSENT____

APPROVAL	REQUEST FOR COUNCIL ACTION	MEETING DATE 12/06/2016
REPORTS & RECOMMENDATIONS	Appropriation Authorization for Presidential Election Recount	ITEM NUMBER M.10.

The Election budget provided sufficient appropriations for the election held on November 8, 2016; however, an election recount is now required. The budget did not anticipate the unique event of an election recount. Even though the cost is to be reimbursed to the City, the reimbursement will be recorded as revenue. As such, it is still necessary to have sufficient authorized appropriations to cover the initial expense. The expenses will include charges from the City Clerk's Office and staff and poll workers and could include expenses of the Department of Public Works and the Police Department, depending on how the County finalizes its arrangements and requirements. As the process requirements and timelines play out, it will be easier to more accurately estimate the potential cost.

The General Fund Unrestricted Contingency, with more than $100,000 in available appropriations, has sufficient appropriations for this need. Costs are expected to be under $50,000. The City does not have the option of not performing the recount. As the final costs are not yet determined, the Director of Administration and Finance Director recommend the Common Council authorize the use of the existing General Fund Unrestricted Contingency appropriations and direct the Finance Director to prepare a budget amendment, once the costs are finalized, that allocates the expenses to the appropriate operational budget.

COUNCIL ACTION REQUESTED

Motion to authorize the use of the existing General Fund Unrestricted Contingency appropriations for costs related to the recount of the November 8, 2016, Presidential election and to direct the Finance Director to prepare a budget amendment, once the costs are finalized, that allocates the expenses to the appropriate operational budget.

DOA - MWL

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APPROVAL	REQUEST FOR COUNCIL ACTION	MEETING DATE 12/06/2016
REPORTS & RECOMMENDATIONS	Voluntary Long-Term Disability Insurance and Life and Accidental Death and Dismemberment Insurance Policy and Carrier Designation	ITEM NUMBER B. 11.

At the end of this year, the City's current policies related to Voluntary Long-Term Disability (LTD) Insurance and Life and Accidental Death and Dismemberment (Life/AD&D) Insurance expire. Both require renewal.

The LTD coverage is currently provided by The Hartford. Diversified Insurance, the City's broker, considered 8 different providers; however, only two provided pricing. One of whom, The Hartford, provided two alternatives. The first is a continuation of the same policy provisions at the same rate, which is $0.414 per $100 of earnings (payroll). The second provides for a higher level of coverage at a rate of $0.47 per $100 of payroll, a 13% increase. Sun Life also provided a quote for coverage, but it was at the substantially higher rate of $0.558.

The Director of Administration recommends approving the higher level of coverage offered by The Hartford. The current coverage reduces the benefit to 20% after 24 months if the employee on disability is not receiving Social Security benefits. There are conditions that could initiate the policy provisions yet not meet Social Security standards or the employee could be delayed in successfully completing the Social Security process within the identified timeframe. In both such instances, the employee could find themselves with a sudden substantial reduction in their disability payment from the policy. The higher level of coverage eliminates those limits or exceptions that are in the current policy. (Note: All three of the policies provide the industry standard of a 24-month benefit period for mental health/substance abuse coverage.) At the current benefit level and cost, an employee earning $65,000 who signs up for the benefit pays about $270 through payroll deduction spread throughout the entire year. For an additional $36, or $3 a month, that employee could eliminate a gap in the policy. Any employee who voluntarily signs up to participate is clearly attempting to manage the risk of a loss of wages in the event of a disability. The Director of Administration believes such an employee would also want to pay the additional $3 per month to further manage the risk.

The Life/AD&D coverage also received two quotes. The Hartford, our current carrier, proposed a continuation of the same coverage at the same rate of $0.193 per $1,000 of annual earnings for a total City-wide cost of about $48,713. This benefit is provided as per the Employee Handbook and approved labor contracts. Sun Life has quoted a rate of $0.186, a reduction of 3.63%. For administrative coordination, ease, and productivity, the City has historically selected the same provider for the LTD and Life/AD&D coverage. The potential $1,766 savings in Life/AD&D is outweighed by Sun Life's proposed 16.6% increase above the recommended LTD policy rate of The Hartford.

The Director of Administration recommends approval of a motion to authorize the Director of Administration to execute the Voluntary Long-Term Disability Insurance policy and Life and Accidental Death and Dismemberment Insurance policy documents with The Hartford for rates of $0.47 per $100 of payroll and $0.193 per $1,000 of earnings, respectively.

COUNCIL ACTION REQUESTED

Motion to authorize the Director of Administration to execute the Voluntary Long-Term Disability Insurance policy and Life and Accidental Death and Dismemberment Insurance policy documents with The Hartford for rates of $0.47 per $100 of payroll and $0.193 per $1,000 of earnings, respectively.

DOA - MWL

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APPROVAL	REQUEST FOR COUNCIL ACTION	MEETING DATE 12/06/2016
REPORTS & RECOMMENDATIONS	2017 Property and Casualty Insurance Coverage	ITEM NUMBER M.12.

For 2016, the City of Franklin continued its liability insurance plans with the League of Wisconsin Municipalities Mutual Insurance Plan (LWMMI), agent being R&R Insurance, and moved its property insurance plans to Chubb from the Local Government Property Insurance Fund (LGPIF) due to significant rate increases by LGPIF. LWMMI has served the City very well since 2004 and continues to be very responsive to municipal needs and flexible in policy language and application. The transition of moving the City's property insurance policies from LGPIF to Chubb for 2016 was seamless, and the City did not have any property claims to report for 2016.

The City's current insurance policies that it has with LWMMI/R&R Insurance were quoted for 2017 at the same premium level as 2016 except for small increases in the Auto Liability/Auto Physical Damage policies and the Storage Tank policy due to rate changes and an increase in the number of vehicles and thus an increase in vehicle values. For the 2017 Workers Compensation, however, the City's preliminary premium will decrease by $39,243 from the 2016 premium, subject to reconciliation of final salaries and wages. Workers Compensation is based on the State-calculated modification ratio for the last 3 years of claims history, which for 2017 the City's modification ratio decreased from 1.16 to 1.10 (6 points), due to the fall off of a significantly higher claims year. LWMMI continues to use United Heartland as its administrator for its Workers Compensation policy. As LWMMI will not write a policy without workers compensation, other quotes were not sought or necessary since the rates are statutorily set and since our full amount of LWMMI premiums are subject to potential annual dividends.

LWMMI's 2017 quotes maintain the same $5,000 deductible that was incorporated in 2015. Their deductible is slightly different in that it isn't a first dollar deductible where the customer pays everything up to the deductible first. As structured by the LWMMI, if costs incurred on the claim exceeds the amount of the deductible then we will owe the deductible. If costs remain below the deductible, costs will be absorbed by LWMMI. This structure works to our favor as our total premium was reduced by $35,878 in 2015 by accepting the $5,000 deductible (and as discussed above, the rates remain pretty much status quo again for 2017). The deductible option comes with a maximum cap on deductibles of $25,000. The City will likely save an additional $10,000 or more if applicable claims remain at 3 or lower.

Chubb, the City's current property policy provider, and Municipal Property Insurance Company (MPIC) provided 2017 property quotes to the City for its buildings, property in the open, and contractor's equipment. Both quotes are based on a $5,000 deductible for buildings and property in the open and a $1,000 deductible for contractor's equipment. Chubb is a private, A++ rated insurance company that has been around since 1882. MPIC was given authority to write property insurance in July 2015 and is jointly owned by Cities & Villages Mutual Insurance Company (CVMIC), the League of Wisconsin Municipalities Mutual Insurance Company (LWMMI), and Wisconsin Municipal Mutual Insurance Company (WMMIC). Chubb's 2017 property renewal quote is $66,880, which includes Terrorism. This quote is only $1,227 higher than this year's property policy premium, which includes $2,517,729 more in Buildings/Buildings Personal property value; $2,439,203 more in Property in the Open value; and $387,440 more in Mobile Equipment value. MPIC's property quote came in at $85,385. Chubb's policy also includes the following:

-Equipment breakdown coverage as part of their total policy limit (an additional policy for equipment breakdown is needed with MPIC).

-A $250,000 automatic blanket limit of insurance that applies to items such as fine arts; outdoor trees, shrubs, plants, or lawns; personal property of employees; accounts receivable; electronic data processing property, etc.
-A $250,000 added value to the Mobile Equipment (contractor's equipment) coverage for Fire and Police equipment that is not permanently mounted to the vehicles. Meaning if a Fire or PD vehicle was in an accident, the Auto Physical Damage policy would apply/cover the damage to the vehicle only, not any equipment in/on the vehicle that was damaged. This $250,000 added value would then cover any equipment in/on the vehicle that was also damaged in the accident.
-$2,000,000 worth of Business Income/Extra Expense coverage (i.e., if a natural disaster occurred that took City Hall or any of its other buildings out, costs up to $2,000,000 would be covered for setting up at a different location with computers, phones, everything necessary in order to do day-to-day business).
-Flood water coverage limits above the norm (includes inundation, back-up, and mud flow) based on the location of the buildings and if they reside in a floodplain zone.

Since the City's current liability and property insurance policies with LWMMI/R&R Insurance and Chubb are pretty much status quo for 2017, the Director of Administration recommends keeping these policies all the same for 2017 per their respective quotes.

The following table shows a summary of the 2016 premium costs and 2017 estimated premiums through R&R Insurance/LWMMI and Chubb for the City's liability and property insurance policies. The 2017 total estimated cost for both liability and property is $843,435, a decrease of $33,731 from 2016 due to the decrease in Worker's Compensation.

Coverage	2016 Cost	2017 Cost	Carrier
Property Insurance			
Buildings/BPP/PITO/Mobile Equipment/Boiler & Machinery	$65,653 w/Terrorism	$66,880 w/Terrorism	Chubb
Liability Insurance			
General Liability	90,550	90,550	R&R Ins./LWMMI
Police Professional	38,013	38,013	R&R Ins./LWMMI
Public Officials	47,771	47,771	R&R Ins./LWMMI
Auto Liability	26,759	27,422	R&R Ins./LWMMI
Auto Physical Damage	44,297	47,782	R&R Ins./LWMMI
Umbrella	15,520	15,520	R&R Ins./American Alt.
Crime & Monies/Securities	2,601	2,594	R&R Ins./CNA
Storage Tank	3,447	3,591	R&R-ACE
Workers Compensation	542,555	503,312	R&R Ins./United Heartland
Subtotal-Liability Insurance	**$811,513**	**$776,555**	R&R Ins./LWMMI
Total - Property & Liability	**$877,166**	**$843,435**	R&R/LWMMI & Chubb

The 2017 Insurance budget includes a total amount of $892,575. Also note that the City received a dividend check this year from the League of Wisconsin Municipalities in the amount of $38,308 for the 2015 policy year. As such, there are sufficient appropriations to fund the proposed policies. The prices above include for the approval of the additional $3,231 for terrorism coverage, unless otherwise directed, which was not previously obtained by the City prior to 2016. Please note that final costs for the year will vary as, for example, new vehicles and equipment are added for coverage during the year.

COUNCIL ACTION REQUESTED

Motion to authorize the Director of Administration to renew and execute the City's casualty insurance plans with R&R Insurance/League of Wisconsin Municipalities Mutual Insurance (LWMMI) and Chubb for the upcoming 2017 year, as noted above; and including continuing the $5,000 liability deductible with LWMMI; and to further authorize release of premium payments in accordance with or as required by said policy documents.

DOA - MWL

APPROVAL	REQUEST FOR COUNCIL ACTION	MEETING DATE 12/06/2016
REPORTS & RECOMMENDATIONS	Committee of the Whole Recommendations	ITEM NUMBER M.13.

The following are items from the December 5, 2016 Committee of the Whole meeting:

(a) Presentation/Training on Affirmatively Furthering Fair Housing to Satisfy the City's Requirement as Part of Milwaukee County's 3-Year Cooperative Agreement and the Community Development Block Grant (CDBG) Program.

(b) An Ordinance to Repeal and Recreate § 229-4 of the Municipal Code, "Room Tax," Pertaining to the Establishment of Tourism Commission and the Collection and Appropriation of Room Tax Revenues.

(c) Preliminary Discussion on the City Hall Remodeling Project.

COUNCIL ACTION

As directed

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APPROVAL Slw PR	REQUEST FOR COUNCIL ACTION	MEETING DATE Dec 6, 2016
REPORTS & RECOMMENDATIONS	October, 2016 Financial Report	ITEM NUMBER M. 14.

Background

The October, 2016 Financial Report is attached.

The Finance Committee recommends acceptance of the report.

Highlights of the report are contained in the transmittal memo.

The Finance Director will be on hand to answer any questions.

COUNCIL ACTION REQUESTED

Motion to Receive and place on file

City of Franklin

Date: November 18, 2016

To: Mayor Olson, Common Council and Finance Committee Members

From: Paul Rotzenberg, Director of Finance & Treasurer Paul

Subject: October 2016 Financial Report

The October, 2016 financial reports for the General Fund, Debt Service Fund, TID3 Fund, TID 4 Fund, Solid Waste Fund, Capital Outlay Fund, Equipment Replacement Fund, Street Improvement Fund, Capital Improvement Fund, Development Fund, Utility Development, Self Insurance Fund and Employee Retirement Insurance Fund are attached.

The budget allocation is completed using an average of the last five years actual spending. Caution is advised in that spending patterns may have changed. Comments on specific and trending results are provided below to aid the reader in understanding or explaining current year financial results. Budget allocations are based upon the amended budget.

Cash & Investments Summary – is provided to aid in understanding the resources available to meet current activities. Cash & investments are positions with safety and liquidity as primary objectives as stated in the City's Investment policy. Investment returns are secondary in the investment decisions, while return potential is not ignored. The overdraft in the Retiree Health Fund relates to funds advanced by the General Fund to the Trust in excess of the Annually Required Contributions.

Cash & Investments in the General Government decreased $1,887,820 in the last month. Limited revenues and payroll costs caused expenditures to exceed revenues by $1,348,668 in October. Capital expenditures accounted for most of the balance of expenditures.

Collections of utility billings resulted in the increase in Water Utility balances. Much of that will be used to pay the supplier in November. Approximately $5 million of the demand deposits were reallocated to longer term maturities to capture additional investment income.

GENERAL FUND revenues of $22,190,855 are $246,161 less than budget. Two categories account for most of the declines in revenues when compared to Budget. Building permit revenue is down $175,511 on slower development activity. Only one major permit has been issued in 2016. Ambulance revenues of $876,127 are $81,199 behind budget. Fire Dept. staffing issues are also impacting fire inspection revenues, while the reduced commercial development is depressing Fire plan review revenues. Investment earnings are $38,866 greater than budget. While interest on the tax roll is $18,806 less than budget (but nearly identical to

last year), other investment earnings are much better than expected. Disability insurance recoveries make up much of the over budget Miscellaneous revenue.

Other items of note in the General Fund activity are:

- General Government expenditures are under budget due to vacant personnel positions and delayed spending in the Information Systems and Administration departments. Municipal Court labor will need additional labor appropriations to deal with employee benefit costs. Clerk labor costs are over budget as the result of a class and compensation plan employee appeal.
- Public Safety – overtime issues in both Police & Fire are pushing personnel costs higher. A budgeting accumulation issue excluded three police officers from the 2016 budget, the cost of which is being offset by reduced retiree health costs. Fuel costs are lower than budget on the reduced price of oil products, while the amount used is at expected levels. The receipt of an auto insurance claim recovery is temporarily reducing costs until the repair bills arrive. A longer term vacancy in Dispatch has reduced those personnel costs.
- Public Works underspending is related to a personnel issue and reduced fuel costs (note that gallons used is comparable to prior years). At the same time the annual $130,000 salt purchase has been received, several months earlier than prior years.
- Culture & Recreation – Parks labor costs are over budget related to summer projects.
- The Contingency spend relates to an encumbrance of a Baseball Commons professional fee. This will eventually get moved to TID 5 and was paid by the Developer.

Overall, General Fund expenditures of $20,585,453 are $659,513 underspent to budget.

A $1,605,402 surplus is $413,352 greater than budget. This surplus will slowly disappear as tax revenues are substantially collected, but personnel costs occur ratably across the year.

DEBT SERVICE – Debt payments were made March 1 as required. The April/May refunding activity reflects the sale of the new bonds.

TID3 – Tax revenues were slightly greater than the Budget. Expenditures for the S 27th Street project are composed of the sidewalk, street lighting, streetscaping and water main elements. Contractor invoices for this work are slowly arriving. The net cost (after expected CDBG grants for the College Ave pathway project have been included in TID 3).

TID4 – Tax receipts are $89,709 great than budget due to the overlapping taxing authorities tax levies. The TID retired the remaining portion of the Interfund Advance in February. No project costs are expected in 2016.

SOLID WASTE FUND – Revenue is comparable to budget and 2015. Tippage Fee costs are arriving late, and so are not reflected in results.

CAPITAL OUTLAY FUND – revenues are in line with budget. Public Safety purchases relate to six police squad cars and bullet proof vests, while the Public Works expenditure was the purchase of the stump grinder.

EQUIPMENT REPLACEMENT FUND – Revenues are in line with budget. Property sale revenues were much better than expected. Purchases include the Utility Tractor, Super Duty 75, Snow blower, Tandem Axel Truck, Wheel Loader and a Ford F-550.

STREET IMPROVEMENT FUND – Revenues are in line with budget. The City will qualify for Local Road Improvement Aids in 2016, with the application pending. The street improvement program is substantially complete, while contractor invoices are pending.

CAPITAL IMPROVEMENT FUND – Resources include landfill siting revenue which has been delayed related to reduced waste to the landfill. Reduced Park project activity has slowed the Impact fees coming from the Development fund.

Project expenditures for Public Safety include the Police radio Dispatch project and Fire Station #1 roof repairs. Public Works costs relate to the St Martin's Road project and Emergency Vehicle Preemption system. Culture & Recreation expenditures relate to Kayla's Playground ($172,847), College Ave pathway ($127,482), River Park bridge engineering ($103,631) and Pleasant View trail ($27,600).

DEVELOPMENT FUND – Impact fee collections were impacted by the Building Permit on the Autumn Leaves project. Impact fee collections now exceed budget by $17,021.

Transfers to the Debt Service fund were made in March to support the prior Police, Fire and Library projects. $140,103 of park impact fees have been transferred to the Capital Improvement fund to date.

UTILITY DEVELOPMENT FUND – Some Special Assessments have been collected upon property sales. The bulk of the Special Assessment collections occur in December when billing the tax roll.

SELF INSURANCE FUND – Premium revenues are approximately equal to budget. Claims costs are slightly less than budget. The budget includes a claims contingency to deal with extraordinary claims up to the Aggregate Insurance limit. Current claims experience would indicate that some of that extra claims cost will be incurred this year.

The fund operated at a $539,835 deficit thru October.

RETIREE HEALTH FUND – Insurance results generated a $53,228 Implicit rate subsidy thru October. That reflects higher than normal claims for retirees as compared to active employees. The Annual Required Contribution no longer is large enough to cover the Implicit rate subsidy The Retiree Health fund now owes the General Fund $203,466 for 2015 & 2016 claims costs.

Caution is advised when reviewing results over such a short period of time.

Investment results have generated $296,603 of returns. No October investment results are reflected, as the information was not available at statement preparation time. The fund is substantially invested in passive index investments, generating market rates (for the various investment classes – reduced by nominal investment expenses). Investment results in the equity markets can be volatile, caution is advised.

City of Franklin
Cash & Investments Summary
October 31, 2016

	Cash	American Deposit Management	Institutional Capital Management	Local Government Invest Pool	Fidelity Investments	Total	September Total
General Fund	$ (861,853)	$ (1,355)	$ 8,489,041	$ 1,235,861	$ -	$ 8,861,694	$ 10,418,100
Debt Service Funds	31,255	130,317	438,971	-	-	600,543	638,519
TIF Districts	51,554	1,743,003	1,225,033	-	-	3,019,589	3,037,789
Nonmajor Governmental Funds	781,933	1,506,824	10,233,893	-	-	12,522,650	12,797,888
Total Governmental Funds	**2,889**	**3,378,789**	**20,386,938**	**1,235,861**	**-**	**25,004,476**	26,892,296
Sewer Fund	21,443	292,823	510,013	-	-	824,279	866,325
Water Utility	1,428,912	592,231	-	-	-	2,021,142	680,738
Self Insurance Fund	8,925	-	2,895,335	-	-	2,904,260	3,068,856
Retiree Health Fund	(203,466)	-	-	-	4,932,406	4,728,941	4,812,851
Property Tax Fund	21,518	50	-	1,368	-	22,937	7,938
Other Trust Funds	10,906	-	-	-	-	10,906	9,057
Total Other Funds	**1,288,238**	**885,104**	**3,405,348**	**1,368**	**4,932,406**	**10,512,465**	9,445,765
Grand Total Cash & Investments	**1,291,127**	**4,263,893**	**23,792,286**	**1,237,229**	**4,932,406**	**35,516,941**	36,338,061
Average Rate of Return		0.43%	1.01%	0.43%			
Maturities:							
Demand	1,291,127	2,548,893	15,159	1,237,229	501,129	5,593,537	11,242,832
Fixed Income & Equities	-	-	-	-	3,433,564	3,433,564	3,751,603
2016 - Q4	-	1,470,000	3,000,390	-	-	4,470,390	4,470,932
2017 - Q1	-	245,000	1,504,965	-	172,769	1,922,734	1,917,730
2017 - Q2	-	-	-	-	-	-	-
2017 - Q3	-	-	2,526,419	-	-	2,526,419	1,500,000
2017 - Q4	-	-	3,005,374	-	-	3,005,374	3,014,581
2018	-	-	3,145,028	-	171,877	3,316,905	4,343,585
2019	-	-	4,006,176	-	151,088	4,157,264	2,162,803
2020	-	-	5,592,884	-	149,984	5,742,868	3,754,996
2021	-	-	995,891	-	177,927	1,173,818	178,999
2022	-	-	-	-	174,069	174,069	-
	1,291,127	**4,263,893**	**23,792,286**	**1,237,229**	**4,932,406**	**35,516,941**	36,338,061

City of Franklin

2015 Financial Report

General Fund Summary

For the Ten months ended October 31, 2016 and 2015

Revenue	2016 Original Budget	2016 Amended Budget	2016 Year-to-Date Budget	2016 Year-to-Date Actual	Var to Budget Surplus (Deficiency)	2015 Year-to-Date Actual
Property Taxes	$ 16,248,800	$ 16,248,800	$ 16,248,800	$ 16,286,598	$ 37,798	$ 16,203,311
Other Taxes	785,000	785,000	505,372	489,153	(16,219)	462,609
Intergovernmental Revenue	2,321,200	2,321,200	1,887,904	1,909,035	21,131	2,342,538
Licenses & Permits	847,350	847,350	762,186	596,932	(165,254)	589,770
Law and Ordinance Violations	440,000	440,000	382,181	423,848	41,667	415,428
Public Charges for Services	1,544,975	1,544,975	1,266,617	1,084,991	(181,626)	1,239,368
Intergovernmental Charges	203,200	203,200	130,593	111,273	(19,320)	109,344
Investment Income	205,200	205,200	171,000	209,866	38,866	201,261
Miscellanous Revenue	160,100	160,100	145,347	162,159	16,812	141,906
Transfer from Other Funds	1,100,000	1,100,000	937,016	917,000	(20,016)	917,000
Total Revenue	$ 23,855,825	$ 23,855,825	$ 22,437,016	$ 22,190,855	$ (246,161)	$ 22,622,535
				98.90%		

Expenditures	2016 Original Budget	2016 Amended Budget		2016 Year-to-Date Budget	2016 Year-to-Date Actual		Var to Budget Surplus (Deficiency)	2015 Year-to-Date Actual
General Government	$ 3,060,350	$ 2,998,097	A	$ 2,635,703	$ 2,488,759	E	$ 146,944	$ 2,287,862
Public Safety	16,128,832	16,142,346	A	13,553,629	13,259,832	E	293,797	13,355,292
Public Works	3,612,003	3,695,043	A	2,892,274	2,718,423	E	173,851	2,423,241
Health and Human Services	684,191	684,191		584,267	550,489	E	33,778	535,982
Other Culture and Recreation	191,911	193,911		162,559	149,086	E	13,473	166,965
Conservation and Development	579,858	634,573	A	518,163	467,545	E	50,618	494,109
Contingency and Unclassified	552,500	607,520	A	258,613	12,546		246,067	90,667
Anticipated underexpenditures	(470,220)	(470,220)	A	(383,142)	-		(383,142)	-
Transfers to Other Funds	1,224,000	1,224,000		1,022,900	1,024,000		(1,100)	524,000
Encumbrances	-	-		-	(85,227)		85,227	(190,618)
Total Expenditures	$ 25,563,425	$ 25,709,461		$ 21,244,966	$ 20,585,453		$ 659,513	$ 19,687,500
					96.90%			
Excess of revenue over (under) expenditures	(1,707,600)	(1,853,636)		$ 1,192,050	1,605,402		$ 413,352	2,935,035
Fund balance, beginning of year	9,049,908	9,049,908			9,049,908			8,633,112
Fund balance, end of period	$ 7,342,308	$ 7,196,272			$ 10,655,310			$ 11,568,147

A Represents an amendment to Adopted Budget

E Represents an ecumbrance for current year from prior year

City of Franklin
Debt Service Funds
Balance Sheet
October 31, 2016 and 2015

	2016 Special Assessment	2016 Debt Service	2016 Total	2015 Special Assessment	2015 Debt Service	2015 Total
Assets						
Cash and investments	$ 516,148	$ 84,395	$ 600,543	$ 473,157	$ 1,357	$ 474,514
Taxes receivable	-	-	-	-	-	-
Special assessment receivable	111,456	-	111,456	135,635	-	135,635
Total Assets	$ 627,604	$ 84,395	$ 711,999	$ 608,792	$ 1,357	$ 610,149
Liabilities and Fund Balance						
Unearned & unavailable revenue	$ 111,456	$ -	$ 111,456	$ 135,635	$ -	$ 135,635
Due to other funds	-	-	-	-	-	-
Unassigned fund balance	516,148	84,395	600,543	473,157	1,357	474,514
Total Liabilities and Fund Balance	$ 627,604	$ 84,395	$ 711,999	$ 608,792	$ 1,357	$ 610,149

Statement of Revenue, Expenses and Fund Balance
For the Ten months ended October 31, 2016 and 2015

Revenue	2016 Special Assessment	2016 Debt Service	2016 Year-to-Date Actual	2016 Amended Budget	Variance to Budget	2015 Special Assessment	2015 Debt Service	2015 Year-to-Date Actual	2015 Annual Budget	Variance to Budget
Property Taxes	$ -	$ 1,500,000	$ 1,500,000	$ 1,500,000	$ -	$ -	$ 1,600,000	$ 1,600,000	$ 1,600,000	$ -
Special Assessments	4,052	-	4,052	-	4,052	22,889	-	22,889	-	22,889
Investment Income	6,070	2,382	8,452	-	8,452	4,030	395	4,425	-	4,425
Total Revenue	10,122	1,502,382	1,512,504	1,500,000	12,504	26,919	1,600,395	1,627,314	1,600,000	27,314
Expenditures:										
Debt Service:										
Principal	-	1,300,000	1,300,000	1,300,000	-	-	595,000	595,000	520,000	(75,000)
Interest	-	265,588	265,588	266,711	1,123	-	312,011	312,011	418,365	106,354
Bond Issuance Cost	-	53,789	53,789	53,789	-	-	-	-	-	-
Interfund Interest Expense	-	-	-	-	-	-	3,561	3,561	3,561	-
					-					-
Total expenditures	-	1,619,377	1,619,377	1,620,500	1,123	-	910,572	910,572	941,926	31,354
Transfers in	-	170,931	170,931	205,000	(34,069)	-	282,493	282,493	416,926	(134,433)
Transfers out	-	-	-	-	-	(100,000)	-	(100,000)	-	100,000
Refunding Bond Issuance		5,770,000	5,770,000	5,770,000	-	-	-			
Premium (Discount) on Refunding Bonds		154,202	154,202	154,202	-					
Repayment of Refunded bonds		(5,895,000)	(5,895,000)	(5,895,000)	-					
Net change in fund balances	10,122	83,138	93,260	113,702	(22,688)	(73,081)	972,316	899,235	1,075,000	24,235
Fund balance, beginning of year	506,026	1,257	507,283	507,283		546,238	(970,959)	(424,721)	(424,721)	
Fund balance, end of period	$ 516,148	$ 84,395	$ 600,543	$ 620,985		$ 473,157	$ 1,357	$ 474,514	$ 650,279	

City of Franklin
Tax Increment Financing District #3
Balance Sheet
October 31, 2016 and 2015

	2016	2015
Assets		
Cash & investments	$ 1,775,879	$ 3,117,825
Accounts & interest receivable	-	-
Total Assets	$ 1,775,879	$ 3,117,825
Liabilities and Fund Balance		
Accounts payable	$ -	$ 396
Due to other funds	-	-
Line of credit advance from Development Fund	550,000	1,700,000
Total Liabilities	550,000	1,700,396
Unassigned fund balance	1,225,879	1,417,429
Total Fund Balance	1,225,879	1,417,429
Total Liabilities and Fund Balance	$ 1,775,879	$ 3,117,825

Statement of Revenue, Expenses and Fund Balance
For the Ten months ended October 31, 2016 and 2015

	2016 Annual Budget	2016 Amended Budget	2016 Year-to-Date Budget	2016 Year-to-Date Actual	2015 Year-to-Date Actual
Revenue					
General property tax levy	$ 1,708,000	$ 1,708,000	$ 1,708,000	$ 1,730,642	$ 1,681,577
State exempt computer aid	420,000	420,000	420,000	355,862	421,710
Investment income	3,000	3,000	2,796	10,038	101,438
Total revenue	2,131,000	2,131,000	2,130,796	2,096,542	2,204,725
Expenditures					
Transfer to other funds	-	-	-	62,289	-
Debt service principal	650,000	650,000	650,000	650,000	20,000
Debt service interest & fees	86,750	86,750	86,675	86,519	65,399
Administrative expenses	13,020	13,020	9,893	35,530	15,436
Interfund interest	22,668	22,668	17,584	17,818	49,408
Capital outlays	1,205,000	3,525,289	2,456,826	2,359,317	3,305,320
Encumbrances	-	-	-	(1,344,350)	(2,320,289)
Total expenditures	1,977,438	4,297,727	3,220,978	1,867,123	1,135,274
Revenue over (under) expenditures	153,562	(2,166,727)	$ (1,090,182)	229,419	1,069,451
Fund balance, beginning of year	996,460	996,460		996,460	347,978
Fund balance, end of period	$ 1,150,022	$ (1,170,267)		$ 1,225,879	$ 1,417,429

City of Franklin
Tax Increment Financing District #4
Balance Sheet
October 31, 2016 and 2015

	2016	2015
Assets		
Cash & investments	$ 1,252,011	$ 104,408
Total Assets	$ 1,252,011	$ 104,408
Liabilities and Fund Balance		
Accounts payable	$ -	$ 396
Interfund advance from Development Fund	-	238,000
Total Liabilities	-	238,396
Unassigned Fund Balance	1,252,011	(133,988)
Total Liabilities and Fund Balance	$ 1,252,011	$ 104,408

Statement of Revenue, Expenses and Fund Balance
For the Ten months ended October 31, 2016 and 2015

	2016 Annual Budget	2015 Amended Budget	2016 Year-to-Date Budget	2016 Year-to-Date Actual	2015 Year-to-Date Actual
Revenue					
General property tax levy	$ 1,200,000	$ 1,200,000	$ 1,200,000	$ 1,289,709	$ 1,009,060
State exempt computer aid	19,000	19,000	19,000	18,043	19,631
Payment in Lieu of Taxes	92,000	92,000	$ 92,000	91,206	92,021
Investment income	-	-	-	2,429	382
Total revenue	1,311,000	1,311,000	1,311,000	1,401,387	1,121,094
Expenditures					
Debt service/interfund interest	5,415	5,415	$ 4,432	920	11,965
Administrative expenses	40,855	52,955	44,129	22,340	41,345
Capital outlays	-	-		-	-
Encumbrances	-	-	-	(12,100)	(12,100)
Total expenditures	46,270	58,370	48,561	11,160	41,210
Revenue over (under) expenditures	1,264,730	1,252,630	$ 1,262,439	1,390,227	1,079,884
Fund balance, beginning of year	(138,216)	(138,216)		(138,216)	(1,213,872)
Fund balance, end of period	$ 1,126,514	$ 1,114,414		$ 1,252,011	$ (133,988)

City of Franklin
Solid Waste Collection Fund
Balance Sheet
October 31, 2016 and 2015

	2016	2015
Assets		
Cash and investments	$ 694,755	$ 625,288
Accrued Receivables	2,094	-
Total Assets	$ 696,849	$ 625,288
Liabilities and Fund Balance		
Accounts payable	$ 142,577	$ 144,261
Accrued salaries & wages	292	544
Restricted fund balance	553,980	480,483
Total Liabilities and Fund Balance	$ 696,849	$ 625,288

Statement of Revenue, Expenses and Fund Balance
For the Ten months ended October 31, 2016 and 2015

Revenue	2016 Adopted Budget	2016 Year-to-Date Budget	2016 Year-to-Date Actual	2015 Year-to-Date Actual
Grants	$ 69,200	69,200	$ 65,995	$ 69,191
User Fees	1,179,915	1,179,889	1,200,255	1,172,069
Landfill Operations-tippage	335,000	248,743	253,324	252,227
Investment Income	2,000	1,772	9,148	2,264
Sale of Recyclables	5,050	4,208	905	390
Total Revenue	1,591,165	1,503,812	1,529,627	1,496,141
Expenditures:				
Personal Services	23,669	19,587	15,072	16,162
Refuse Collection	667,931	556,609	549,058	547,847
Recycling Collection	357,306	297,755	303,187	302,395
Leaf & Brush Pickups	54,345	45,288	35,573	35,501
Tippage Fees	425,000	354,167	330,362	327,718
Miscellaneous	3,500	2,917	3,224	2,420
Printing	1,800	1,500	115	-
Total expenditures	1,533,551	1,276,323	1,236,591	1,232,043
Revenue over (under) expenditures	57,614	227,489	293,036	264,098
Fund balance, beginning of year	260,944		260,944	216,385
Fund balance, end of period	$ 318,558		$ 553,980	$ 480,483

City of Franklin
Capital Outlay Fund
Balance Sheet
October 31, 2015 and 2014

	2016	2015
Assets		
Cash and investments	$ 603,848	$ 761,999
Total Assets	$ 603,848	$ 761,999
Liabilities and Fund Balance		
Accounts payable	$ 6,057	$ 37,279
Miscellaneous claims payable	6,422	12,508
Encumbrance	68,280	23,047
Assigned fund balance	523,089	689,165
Total Liabilities and Fund Balance	$ 603,848	$ 761,999

Statement of Revenue, Expenses and Fund Balance
For the Ten months ended October 31, 2015 and 2014

Revenue	2016 Original Budget	2016 Amended Budget	2016 Year-to-Date Budget	2016 Year-to-Date Actual *	2015 Year-to-Date Actual
Property Taxes	$ 437,100	$ 437,100	$ 437,100	$ 437,100	$ 433,200
Grants	-	-	-	4,425	4,923
Landfill Siting	67,000	67,000	67,000	67,000	67,000
Investment Income	4,500	4,500	3,750	9,483	6,955
Miscellanous Revenue	25,000	25,000	17,438	30,999	20,559
Transfers from Other Funds	-	-	-	-	475,000
Transfers from Fund Balance	-	-	-	-	-
Total Revenue	533,600	533,600	525,288	549,007	1,007,637
Expenditures:					
General Government	105,800	287,289	190,781	90,888	51,401
Public Safety	620,868	654,775	558,805	491,935	409,613
Public Works	86,850	95,299	82,542	94,830	139,505
Health and Human Services	3,500	3,500	2,917	902	-
Culture and Recreation	29,000	31,169	24,496	12,690	9,403
Conservation and Development	4,250	4,250	3,542	833	2,948
Contingency	50,000	44,743	37,286	-	8,950
Total expenditures	900,268	1,121,025	900,369	692,078	621,820
Revenue over (under) expenditures	(366,668)	(587,425)	(375,081)	(143,071)	385,817
Fund balance, beginning of year	662,952	662,952		666,160	303,348
Fund balance, end of period	$ 296,284	$ 75,527		$ 523,089	$ 689,165

* Amount shown is actual expenditures plus encumbrance

City of Franklin
Equipment Replacement Fund
Comparative Balance Sheet
October 31, 2015 and 2014

	2016	2015
Assets		
Cash and investments	$ 2,421,167	$ 2,330,167
Total Assets	$ 2,421,167	$ 2,330,167
Liabilities and Fund Balance		
Accounts payable	$ -	$ 1,034
Encumbrance	83,262	23,646
Assigned fund balance	2,337,905	2,305,487
Total Liabilities and Fund Balance	$ 2,421,167	$ 2,330,167

Comparative Statement of Revenue, Expenses and Fund Balance
For the Ten months ended October 31, 2015 and 2014

	2016 Original Budget	2016 Year-to-Date Budget	2016 Year-to-Date Actual *	2015 Year-to-Date Actual
Revenue:				
Property Taxes	$ 342,600	$342,600	$ 342,600	$ 339,500
Landfill	200,000	200,000	200,000	100,000
Investment Income	20,000	16,667	24,023	27,763
Transfers from Other Funds	-	-	-	-
Property Sales	15,000	12,500	81,279	9,306
Total revenue	577,600	571,767	647,902	476,569
Expenditures:				
Public Safety	-	-	-	180,131
Public Works	655,000	578,301	614,640	180,624
Total expenditures	655,000	578,301	614,640	360,755
Revenue over (under) expenditures	(77,400)	(6,534)	33,262	115,814
Fund balance, beginning of year	2,304,643		2,304,643	2,189,673
Fund balance, end of period	$ 2,227,243		$ 2,337,905	$ 2,305,487

* Amount shown is actual expenditures plus emcumbrance

City of Franklin
Street Improvement Fund
Balance Sheet
October 31, 2015 and 2014

	2016	2015
Assets		
Cash and investments	$ 166,707	$ 260,078
Accrued receivables	-	-
Total Assets	$ 166,707	$ 260,078
Liabilities and Fund Balance		
Accounts payable	$ -	$ 13,953
Encumbrances	-	-
Assigned fund balance	166,707	246,124
Total Liabilities and Fund Balance	$ 166,707	$ 260,077

Statement of Revenue, Expenses and Fund Balance
For the Ten months ended October 31, 2015 and 2014

	2016 Original Budget	2016 Year-to-Date Totals	2015 Year-to-Date Totals
Revenue:			
Property Taxes	$ 693,500	$ 693,500	$ 687,300
Landfill Siting	133,000	133,000	133,000
Investment Income	5,500	4,649	5,503
Local Road Improvement Aids	70,000	-	-
Refunds and Reimbursements	-	28,855	2,441
Transfer from General Fund	-	-	25,000
Total revenue	902,000	860,004	853,244
Expenditures:			
Street Reconstruction Program - Current Year	940,000	939,252	836,557
Street Reconstruction Program - Prior Year(s)	-	-	1,399
Total expenditures	940,000	939,252	837,956
Revenue over (under) expenditures	(38,000)	(79,248)	15,288
Fund balance, beginning of year	245,955	245,955	230,836
Fund balance, end of period	$ 207,955	$ 166,707	$ 246,124

City of Franklin
Capital Improvement Fund
Balance Sheet
October 31, 2016 and 2015

	2016	2015
Assets		
Cash and investments	$ 2,699,598	$ 1,792,175
Accrued receivables	847	847
Total Assets	$ 2,700,445	$ 1,793,022
Liabilities and Fund Balance		
Accounts payable	$ 246,762	$ 83,949
Contracts Payable	-	118,746
Accrued payables	52,000	-
Encumbrance	410,281	761,155
Assigned fund balance	1,991,402	829,172
Total Liabilities and Fund Balance	$ 2,700,445	$ 1,793,022

Statement of Revenue, Expenses and Fund Balance
For the Ten months ended October 31, 2016 and 2015

	2016 Original Budget	2016 Amended Budget	2016 Year-to-Date Totals	2015 Year-to-Date Totals
Revenue:				
Block Grants	$ 65,193	$ 65,193	$ -	$ -
Landfill Siting	498,000	498,000	296,717	356,275
Transfers from Other Funds	-	-	62,289	-
Transfers from General Funds	1,200,000	1,200,000	1,000,000	-
Transfers from Impact Fees	420,953	420,953	140,103	506,167
Transfers from Connection Fees	500,000	500,000	-	-
Bond Proceeds	1,000,000	1,000,000	-	-
Refunds & Reimbursements	-	-	87,355	-
Investment Income	5,000	5,000	6,759	4,245
Total revenue	3,689,146	3,689,146	1,593,223	866,687
Expenditures:				
General Government	495,000	479,500	4,343	-
Public Safety	548,800	666,364	383,582	78,897
Public Works	921,000	1,098,918	249,740	143,886
Culture and Recreation	1,242,209	1,491,217	537,815	1,582,011
Sewer & Water	500,000	614,849	116,177	173,089
Contingency	68,350	25,007	-	35,233
Bond/Note Issuance Cost	50,000	50,000	-	-
Total expenditures	3,825,359	4,425,855	1,291,657	2,013,116
Revenue over (under) expenditures	(136,213)	(736,709)	301,566	(1,146,429)
Fund balance, beginning of year	1,689,836	1,689,836	1,689,836	1,975,601
Fund balance, end of period	$ 1,553,623	$ 953,127	$ 1,991,402	$ 829,172

City of Franklin
Development Fund
Comparative Balance Sheet
October 31, 2016 and 2015

	2016	2015
Assets		
Cash and investments	$ 3,847,599	$ 3,063,366
Due From TID 3	275,000	850,000
Total Assets	$ 4,122,599	$ 3,913,366
Liabilities and Fund Balance		
Accounts payable	$ -	$ -
Payable to Developers- Oversizing	20,702	
Non-Spendable Fund Balance - Advances	275,000	850,000
Encumberance	3,321	3,321
Assigned fund balance	3,823,576	3,060,045
Total Fund Balance	4,101,897	3,913,366
Total Liabilities and Fund Balance	$ 4,122,599	$ 3,916,687

Comparative Statement of Revenue, Expenses and Fund Balance
For the Ten months ended October 31, 2016 and 2015

	2016 Original Budget	2016 Amended Budget	2016 Year-to-Date Budget	2016 Year-to-Date Actual	2015 Year-to-Date Actual
Revenue:					
Impact Fee: Parks	$ 175,000	$ 175,000	$ 159,456	$ 191,893	$ 131,756
Impact Fee: Southwest Sewer Serv	-	-	-	-	2,928
Impact Fee: Administration	5,000	5,000	4,541	4,730	3,300
Impact Fee: Water	200,000	200,000	175,306	195,377	115,766
Impact Fee: Transportation	37,000	37,000	30,897	8,234	18,306
Impact Fee: Fire Protection	40,000	40,000	34,981	28,478	25,281
Impact Fee: Law Enforcement	73,000	73,000	63,811	52,900	46,849
Impact Fee: Library	55,000	55,000	49,808	54,209	36,852
Total Impact Fees	585,000	585,000	518,800	535,821	381,038
Investment Income	25,000	25,000	20,834	42,426	27,427
Interfund Interest Income	11,334	11,334	9,445	8,909	29,974
Total revenue	621,334	621,334	549,079	587,156	438,439
Expenditures:					
Other Professional Services	15,000	18,321	15,820	-	10,073
Transfer to Debt Service:					
Law Enforcement	205,006	205,006	153,755	63,044	67,122
Fire	42,958	42,958	32,218	42,957	42,974
Transportation	73,613	73,613	55,210	10,000	23,393
Library	134,040	134,040	100,530	54,930	49,004
Total Transfers to Debt Service	455,617	455,617	341,713	170,931	182,493
Transfer to Capital Improvement Fund:					
Park	420,953	420,953	180,815	140,103	506,167
Total Transfers to Capital Improveme	420,953	420,953	180,815	140,103	506,167
Water Fees	500,000	500,000	416,667	25,878	-
Total expenditures	1,391,570	1,394,891	955,015	336,912	698,733
Revenue over (under) expenditures	(770,236)	(773,557)	(405,936)	250,244	(260,294)
Fund balance, beginning of year	3,851,653	3,851,653		3,851,653	4,170,339
Fund balance, end of period	$ 3,081,417	$ 3,078,096		$ 4,101,897	$ 3,910,045

City of Franklin
Utility Development Fund
Comparative Balance Sheet
October 31, 2015 and 2014

	2016	2015
Assets		
Cash and investments - Water	$ 406,599	$ 255,531
Cash and investments - Sewer	591,189	449,335
Special Assessment - Water Current	290,434	396,930
Special Assessment - Water Deferred	349,060	297,810
Special Assessment - Sewer Current	99,831	70,898
Special Assessment - Sewer Deferred	363,553	475,203
Reserve for Uncollectable	(80,182)	-
Total Assets	$ 2,020,484	$ 1,945,707
Liabilities and Fund Balance		
Accounts payable	$ -	$ -
Unearned Revenue	1,022,696	1,240,841
Total Fund Balance	997,788	704,866
Total Liabilities and Fund Balance	$ 2,020,484	$ 1,945,707

Comparative Statement of Revenue, Expenses and Fund Balance
For the Ten months ended October 31, 2015 and 2014

	2016 Original Budget	2016 Year-to-Date Budget	2016 Year-to-Date Actual	2015 Year-to-Date Actual
Revenue:				
Special Assessments				
Water	$ 101,150	$ 54,350	$ 40,243	$ -
Sewer	94,100	56,931	29,816	4,460
Connection Fees				-
Water	4,100	4,100	-	2,069
Sewer	35,000	32,226	15,300	24,780
				-
Total Impact Fees	234,350	147,607	85,359	31,309
Special Assessment Interest	58,000	23,185	2,384	(245)
Investment Income	1,650	1,375	3,042	1,371
Total revenue	294,000	172,167	90,785	32,435
Transfer to Capital Improvement Fund:				
Water	250,000	-	-	-
Sewer	250,000	109,976	-	-
Total Transfers to Capital Improven	500,000	109,976	-	-
Revenue over (under) expenditures	(206,000)	62,191	90,785	32,435
Fund balance, beginning of year			907,003	672,431
Fund balance, end of period			$ 997,788	$ 704,866

City of Franklin
Self Insurance Fund - Actives
Balance Sheet
October 31, 2016 and 2015

	2016	2015
Assets		
Cash and investments	$ 2,904,260	$ 2,683,314
Accounts receivable	288	384
Interfund advance receivable	275,000	1,088,000
Prepaid expenses	57,500	57,500
Total Assets	$ 3,237,048	$ 3,829,198
Liabilities and Net Assets		
Accounts payable	$ 44,501	$ 81,292
Claims payable	270,500	370,500
Unrestricted net assets	2,922,047	3,377,406
Total Liabilities and Fund Balance	$ 3,237,048	$ 3,829,198

City of Franklin Self Insurance Fund - Actives
Statement of Revenue, Expenses and Fund Balance
For the Ten months ended October 31, 2016 and 2015

Revenue	2016 Budget	2016 Year-to-Date Budget	2016 Year-to-Date Actual	2015 Year-to-Date Actual
Medical Premiums-City	$ 2,419,184	2,034,195	$ 2,062,039	$ 2,146,874
Medical Premiums-Employee	384,955	322,236	348,699	345,243
Other - Investment Income, etc.	56,004	46,670	46,355	58,971
Medical Revenue	2,860,143	2,403,101	2,457,093	2,551,088
Dental Premiums-City	112,600	79,631	89,178	87,084
Dental Premiums-Retirees	5,750	4,839	3,456	3,456
Dental Premiums-Employee	55,150	55,150	47,112	44,166
Dental Revenue	173,500	139,620	139,746	134,706
Total Revenue	3,033,643	2,542,721	2,596,839	2,685,794
Expenditures:				
Active Employees-Medical				
Medical claims - Current Year	2,275,000	1,769,931	1,889,803	1,505,313
Excess claims	600,000	466,795	-	-
Medical claims - Prior Year	-	-	264,043	194,552
Prescription drug claims	-	-	250,880	259,071
Refunds-Stop Loss Coverage	-	-	(238,999)	(91,315)
Total Claims-Actives	2,875,000	2,236,726	2,165,727	1,867,621
Medical Claim Fees	215,000	188,860	161,019	140,785
Memberships	-	-	3,120	3,180
Miscellaneous Wellness	18,876	17,482	17,585	66,400
Section 125 administration Fee	6,200	4,646	2,117	761
Stop Loss Premiums	675,000	577,585	594,048	494,304
ACA Fees	70,000	70,000	27,143	35,203
Total Medical Costs-Actives	3,860,076	3,095,299	2,970,759	2,608,254
Active Employees-Dental				
Dental claims - Current Year	150,000	121,684	134,045	118,002
Dental claims - Prior Year	2,000	1,965	12,624	10,137
Dental Claim Fees	12,000	10,364	11,198	8,145
Total Dental Costs-Actives	164,000	134,013	157,867	136,284
Retirees-Dental				
Dental claims - Current Year	5,200	4,509	7,209	3,345
Dental claims - Prior Year	900	720	668	563
Dental Claim Fees	200	176	171	113
Total Dental Costs-Retirees	6,300	5,405	8,048	4,021
Total Dental Costs	170,300	139,418	165,915	140,305
Total Expenditures	4,030,376	3,234,717	3,136,674	2,748,559
Revenue over (under) expenditures	(996,733)	$ (691,996)	(539,835)	(62,765)
Net assets, beginning of year	3,461,882		3,461,882	3,440,171
Net assets, end of period	$ 2,465,149		$ 2,922,047	$ 3,377,406

City of Franklin
City of Franklin Post Employment Benefits Trust
Balance Sheet
October 31, 2016 and 2015

Assets	**2016**	**2015**
Cash and investments	$ -	$ -
Investments held in trust - Fixed Inc	1,470,866	1,226,680
Investments held in trust - Equities	3,534,624	3,561,800
Accounts receivable	3,520	6,947
Due from Water Utility	178	1,460
Total Assets	$ 5,009,188	$ 4,796,887
Liabilities and Net Assets		
Accounts payable	$ 5,847	$ 8,208
Claims payable	45,000	57,482
Due to City	203,466	7,747
Net assets held in trust for post emp	4,754,875	4,723,450
Total Liabilities and Fund Balance	$ 5,009,188	$ 4,796,887

City of Franklin Post Employment Benefits Trust
Statement of Revenue, Expenses and Fund Balance
For the Ten months ended October 31, 2016 and 2015

Revenue	**2016 Year-to-Date Actual**	**2015 Year-to-Date Actual**
ARC Medical Charges - City	$ 174,680	$ 148,954
Medical Charges - Retirees	83,392	85,444
Implicit Rate Subsidy	53,228	119,583
Interest Income	-	-
Medical Revenue	311,300	353,981
Expenditures:		
Retirees-Medical		
Medical claims - Current Year	110,463	395,777
Medical claims - Prior Year	33,093	22,505
Prescription drug claims	78,476	65,856
Refunds-Stop Loss Coverage	3,239	(183,424)
Total Claims-Retirees	225,271	300,714
Medical Claim Fees	15,365	8,151
Stop Loss Premiums	68,191	41,860
Miscellaneous Expense	255	225
ACA Fees	2,218	3,031
Total Medical Costs-Retirees	311,300	353,981
Revenue over (under) expenditures	-	-
Annual Required Contribution-Net	(59,713)	206,913
Other - Investment Income, etc.	296,603	98,298
Total Revenues	236,890	305,211
Net Revenues (Expenditures)	236,890	305,211
Net assets, beginning of year	4,517,985	4,418,239
Net assets, end of period	$ 4,754,875	$ 4,723,450

APPROVAL Slw	REQUEST FOR COUNCIL ACTION	MEETING DATE 12/06/16
LICENSES AND PERMITS	MISCELLANEOUS LICENSES	ITEM NUMBER H.1.

See attached list from meeting of December 6, 2016.

COUNCIL ACTION REQUESTED

City of Franklin
9229 W. Loomis Road
Franklin, WI 53132-9728
414-425-7500

License Committee
Agenda*
Aldermen's Room
December 6, 2016 – 5:45 pm

1.	**Call to Order & Roll Call**	**Time:**		
2.	**Applicant Interviews & Decisions**			
License Applications Reviewed		**Recommendations**		
Type/ Time	**Applicant Information**	**Approve**	**Hold**	**Deny**
Operator 2016-17 5:50 p.m.	**Reiss C Stapleton** 6380 S 35th St., #4 Franklin, WI 53132 Walgreens – S. 76th St			
Operator 2016-17	**Matthew T Albrecht** S103 W20703 Heather Ln Muskego, WI 53150 Kwik Trip #287			
People Uniting for the Betterment of Life and Investment in the Community (PUBLIC) Grant	**Federation of Croatian Societies, Inc.** Fee Waiver: May-October 2017 (Beer Gardens Fundraiser), 6/18/16 (Father's Day Picnic), 7/1/17-6/30/18 (Class B Beer plus Reserve Class B Liquor), 7/14/16-7/16/16 (Croatian Fest), 2017 (Sewer/Water permits) Dates of Event: May-October 2017, 6/18/16, 7/1/17-6/30/18, 7/14/16-7/16/16, and TBD (sewer/water) Location: 9100-9400 S. 76th Street			
People Uniting for the Betterment of Life and Investment in the Community (PUBLIC) Grant	**Franklin Civic Celebration** Fee Waivers: Temporary Operator's Licenses, Entertainment & Amusement License, Class B Beer & Wine License, Soda License, and Park Permits. Date of Event: 7/1/17 - 7/4/17 Location: Franklin City Hall, 9229 W Loomis Rd & Lions Legend Park I			
People Uniting for the Betterment of Life and Investment in the Community (PUBLIC) Grant	**Franklin Historical Society – Barn Restoration** Fee Waiver: Park Permit Date of Event: TBD 2016 Location: Lions Legend Park 1			
People Uniting for the Betterment of Life and Investment in the Community (PUBLIC) Grant	**Franklin Lions Club – Meetings & Fund Raisers** Fee Waivers: St Martins Fair Permit, Park Permits, Temporary Class B Beer and Wine Licenses, and Temporary Operator's Permit Date of Events: 4/15/17, 7/25/17, 8/8/17, 9/3-9/4/17, and 9/12/17 Locations: St Martins Fair, Legend Park 1, Ken Windl Park			
People Uniting for the Betterment of Life and Investment in the Community (PUBLIC) Grant	**Franklin Park Concerts – Free Concerts** Fee Waivers: Park Permits, Band Shell Fees, Temporary Entertainment and Amusement License Date of Events: 6/25/17, 7/9/17, 7/23/17, 8/6/17, and 8/20/17 Location: Lions Legend Park 1			
People Uniting for the Betterment of Life and Investment in the Community (PUBLIC) Grant	**Franklin Police Department – National Night Out** Fee Waivers: Temporary Entertainment & Amusement, Food License Date of Event: 07/31/17 Location: Franklin Library, 9151 W Loomis Rd			

Type/ Time	Applicant Information	Approve	Hold	Deny
People Uniting for the Betterment of Life and Investment in the Community (PUBLIC) Grant	**St Martin Of Tours Church – Fundraisers & Fair** Fee Waivers: Fund Labor Day Fair Permit, Temporary Class B Beer & Wine, Temporary Entertainment & Amusement, and Temp Operator's Licenses Date of Event(s): 1/14/17, 1/29/17, 3/11/17, 3/25/17 and 9/3 – 9/4/17. Location: St Martins Fair and St Martin of Tours Church or School, 7963 S 116th St			
People Uniting for the Betterment of Life and Investment in the Community (PUBLIC) Grant	**St Paul's Lutheran School – School Picnic** Fee Waiver: Park Permit Date of Event: 6/1/17 noon-3pm Location: Vernon Barg Pavilion – Lions Legend II			
People Uniting for the Betterment of Life and Investment in the Community (PUBLIC) Grant	**VFW Post 10394 (Franklin/Hales Corners) – Fund Raiser** Fee Waivers: Temporary Entertainment & Amusement, Temporary Class B Beer, St Martin's Fair Permit Date of Event: 9/4-9/5/16 Location: Post Property, 11300 W Church St			
People Uniting for the Betterment of Life and Investment in the Community (PUBLIC) Grant	**Xaverian Missionaries – Annual Mission Festival** Fee waivers: Extraordinary Event License, Temporary Class B Beer and Wine License, Temporary Operator Licenses, Temporary Food Licenses, and Sign Permits. Date of Event: 6/24-6/25/16 Location: Xaverian Missionaries, 4500 Xavier Dr			
3.	**Adjournment**			
		Time		

*Notice is given that a majority of the Common Council may attend this meeting to gather information about an agenda item over which they have decision-making responsibility. This may constitute a meeting of the Common Council per State ex rel. Badke v. Greendale Village Board, even though the Common Council will not take formal action at this meeting.

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APPROVAL	REQUEST FOR COUNCIL ACTION	MEETING DATE
ZB Slw		12/6/16
Bills	**Vouchers and Payroll Approval**	**ITEM NUMBER** I. 1

Attached are vouchers dated November 11, 2016 through December 1, 2016 Nos. 162880 through Nos. 163075 the amount of $ 1,117,140.67. Included in this listing are EFT's Nos. 3309 through Nos. 3323 and Library vouchers totaling $ 11,326.47. Voided checks in the amount of $ (7,091.81) are separately listed.

Vouchers approved at the Council meeting dated November 15, 2016 that are included on this distribution listing:

Crowley Construction	$17,972.30
Franklin Aggregates	$106.58
Johnson's Nursery	$1,806.00
Lites & Lamps	$3,200.00
Napa	$219.33
Payne & Dolan	$2,868.83
Tillmann Growers	$13,140.00
Time Warner	$235.00
TOTAL	**$39,548.04**

Early release disbursements dated November 11, 2016 through November 30, 2016 under Resolution 2013-6920 in the amount of $ 760,422.94 are provided on a separate listing and are also included in the complete disbursement listing.

The net payroll dated November 25, 2016 is $ 378,543.40 previously estimated at $ 360,000.00. Payroll deductions for November 25, 2016 are $ 369,971.91, previously estimated at $ 366,000.00.

The estimated payroll for December 9, 2016 is $ 388,000.00 with estimated deductions and matching payments of $ 209,000.00.

Attached is a list of property tax payments dated November 11, 2016 through December 1, 2016, EFT Nos. 122 in the amount of $ 84.14. These payments have been released as authorized under Resolution 2013-6920.

Vouchers in the amount of $ 94,744.88 were not included in the distribution listing. Payments to be issued and released upon Council approval.

Humana	$55,235.56
Principal Mutual	$39,509.32
TOTAL	**$94,744.88**

COUNCIL ACTION REQUESTED

Motion approving the following:

- City vouchers with an ending date of December 1, 2016 in the amount of $ 1,117,140.67 and
- Payroll dated November 25, 2016 in the amount of $ 378,543.40 and payments of the various payroll deductions in the amount of $ 369,971.91, plus City matching payments and
- Estimated payroll dated December 9, 2016 in the amount of $ 388,000.00 and payments of the various payroll deductions in the amount of $ 209,000.00, plus City matching payments and
- Property Tax payments with an ending date of December 1, 2016 in the amount of $ 84.14 and
- The release of various vendor payments not to exceed $ 94,744.88.

Finance Dept – KM