THE CIVIL SERVICE SYSTEM

PERSONNEL ADMINISTRATION PROGRAM

(Also Known as the “Civil Service Rules)
(Previously the “Manual of Personnel Rules”)

As Approved by the

PERSONNEL COMMITTEE
And
COMMON COUNCIL
Of the
CITY OF FRANKLIN, WISCONSIN

THIS DOCUMENT SHOULD BE CONSIDERED IN
CONJUNCTION WITH THE EMPLOYEE HANDBOOK

DATED: January 1, 1990
CITY OF FRANKLIN, WISCONSIN
PERSONNEL COMMITTEE

The Civil Service System
Personnel Administration Program

Contents

PART 1

Article 1 CIVIL SERVICE RULES

Section 1.1 Origination and Adoption
Section 1.2 Purpose and Scope
  1.2.1 Purpose
  1.2.2 Scope
Section 1.3 Amendment
Section 1.4 Definition of Terms
  1.4.1 Appointing Authority
  1.4.2 Committee
  1.4.3 City
  1.4.4 Permanent Full-Time Employee
  1.4.5 Permanent Full-Time Position
  1.4.6 Permanent Part-Time Employee With Benefits
  1.4.7 Permanent Part-Time Position With Benefits
  1.4.8 Permanent Part-Time Employee Without Benefits
  1.4.9 Permanent Part-Time Position Without Benefits
  1.4.10 Temporary Full-Time Employee
  1.4.11 Temporary Part-Time Employee
  1.4.12 Emergency Employee
  1.4.13 Probation Period
Section 1.5 Unclassified Service
Section 1.6 Classified Service
  1.6.1 Uncertified Service
  1.6.2 Certified Service
Section 1.7 Designation of Agent

Article 2 POSITION CLASSIFICATION PLAN

Section 2.1 Classification of Positions
Section 2.2 Class Specifications
Section 2.3 Revisions of Positions
Section 2.4 Effect of Reallocation of Positions
Section 2.5 Classification Determination Disclosure
Article 3  COMPENSATION PLAN

Section 3.1  Origination and Revisions

Section 3.2  Administration
  3.2.1  Basis of Selection of a Salary Structure
  3.2.2  Appointment Rate
  3.2.3  Advancement
  3.2.4  Prohibited Practices

Section 3.3  Compensation
  3.3.1  Conversion of Monthly Rates to Hourly Rates
  3.3.2  Compensation Schedules
  3.3.3  Compensation Schedules Determination Disclosure
  3.3.4  Compensation Basis
    3.3.4.1  Full-Time Work
    3.3.4.2  Part-Time Work
  3.3.5  Salary Ordinance – Employee Fringe Benefits
  3.3.6  Worker’s Compensation

Section 3.4  Overtime
  3.4.1  Authorization
  3.4.2  Compensation

Section 3.5  Return from Military Service

Section 3.6  Promotion and Transfer

Section 3.7  Holiday Compensation
  3.7.1  Permanent Full-Time Employees
  3.7.2  Part-time, Temporary and Emergency Employees
  3.7.3  Personal Holidays

Section 3.8  Leaves of Absence
  3.8.1  Vacations
    3.8.1.1  Vacation Scheduling
    3.8.1.2  Permanent Part-Time Employees with Benefits
    3.8.1.3  Termination of Service
  3.8.2  Military Leave
  3.8.3  Sick Leave
    3.8.3.1  Eligibility
    3.8.3.2  Computation of Sick Leave Credit
    3.8.3.3  Maximum Sick Leave Accumulation
    3.8.3.4  Special Sick Leave Credit
    3.8.3.5  Use of Sick Leave Credit
  3.8.4  Bereavement Leave
  3.8.5  Jury Duty Leave
  3.8.6  Leaves of Absence Without Compensation
  3.8.7  Family And Medical Leave

Section 3.9  Continuity of Service Requirements
Article 4 APPLICATIONS

Section 4.1 Applications for Examination
Section 4.2 Defective Applications
Section 4.3 Rejection of Applications

Article 5 EXAMINATIONS

Section 5.1 Administration of Examinations
Section 5.2 Preparation of Examinations
Section 5.3 Scheduling Examinations
Section 5.4 Entrance Examinations
Section 5.5 Promotion Examinations
Section 5.6 Transfer Examinations
Section 5.7 Method of Rating Examinations
  5.7.1 Positions Requiring a Prepared Test
  5.7.2 Positions Not Requiring a Prepared Test
  5.7.3 Veterans Rating Adjustment
Section 5.8 Notification of Examination Results

Article 6 ELIGIBILITY LISTS

Section 6.1 Origination
Section 6.2 Entrance List
Section 6.3 Promotion List
Section 6.4 Transfer List
Section 6.5 Reinstatement List
Section 6.6 Combination of Lists
Section 6.7 Removal from Lists
Section 6.8 Duration of Lists
Section 6.9 Availability of Eligible Applicants

Article 7 RECRUITMENT

Section 7.1 Personnel Requisition
Section 7.2 Certification of Eligible Applicants
Section 7.3 Appointments
Section 7.4 Acceptance of Appointment
Section 7.5 Temporary Appointments
Section 7.6 Emergency Appointments
Section 7.7 Nepotism Prohibited

Article 8 PROBATIONARY SERVICE

Section 8.1 Probation Period
Section 8.2 Probation Period Reports
Section 8.3 Dismissal During Probation
Section 8.4 Restoration of Unsuccessful Appointee to Former Position
Article 9 DISCIPLINE

Section 9.1 Steps of Progressive Discipline
9.1.1 I'm Disappointed Memo
9.1.2 Verbal Warning
9.1.3 Written Warning
9.1.4 Suspension
9.1.5 Dismissal

Section 9.2 Not Discipline
Section 9.3 Right to Grieve

Article 10 ATTENDANCE AND LEAVE REGULATION

Section 10.1 Unexcused Absence

Article 11 REDUCTION OF PERSONNEL OR STATUS

Section 11.1 Authority
Section 11.2 Reduction Methods
  11.2.1 Transfer
  11.2.2 Lay Off
    11.2.2.1 Notification of Lay Off
    11.2.2.2 Bumping Rights During Layoff
    11.2.2.3 Reappointment List

Section 11.3 Resignation
Section 11.4 Demotion – Reduction in Status

Article 12 EMPLOYEE GRIEVANCES

Section 12.1 Authority to Grieve and Standard of Review
Section 12.2 Form of Grievance
Section 12.3 Steps of the Grievance Process
  12.3.1 Grievance Step 1
  12.3.2 Grievance Step 2
  12.3.3 Grievance Step 3

Section 12.4 Miscellaneous
  12.4.1 “Without Pay”
  12.4.2 Timelines
  12.4.3 Compensation for Time Spent

Article 13 EMPLOYEE COMPLAINTS

Article 14 WORKPLACE SAFETY

Section 14.1 Workplace Safety Defined
Section 14.2 Expanded Scope of Application
Section 14.3  Steps of the Workplace Safety Review Process
14.3.1 Safety Committees
14.3.2 Step 1 Workplace Safety Concern
14.3.3 Step 2 Workplace Safety Concern
14.3.4 Step 3 Workplace Safety Concern

Section 14.4  Fiscal Constraints of Decisions
Section 14.5  Future Considerations on Workplace Safety

Article 15  CONSTRUCTION OF THESE RULES

Section 15.1  Legality of Rules
Section 15.2  Overlapping Provisions Between The Civil Service System Personnel Administration Program and City of Franklin Labor Contracts
The Common Council of the City of Franklin ordained, within the Charter Ordinances, that “Pursuant to the provisions of Sections 62.11(5), 66.01, and 66.19 of the Wisconsin Statutes, there is hereby created a Civil Service System of selection, tenure and status” and that “The Civil Service System created pursuant to Section 64.01 of the Charter Ordinance No. 64 shall be administered by the Personnel Committee established pursuant to Ordinance No. 2001-1687, in part amending and as set forth within 10-12 of the Municipal Code, as set forth under such ordinance, which Personnel Committee, for such duties, shall be the Civil Service Board or Committee, as contemplated by Section 66.0509(4), Wis. Stats.”

ARTICLE 1 CIVIL SERVICE RULES

Section 1.1 ORIGINATION AND ADOPTION: The Personnel Committee shall prepare or cause to be prepared and revised as necessary rules governing all phases of the Personnel Administration Program of the City as it applies to the City’s classified employees and as is allowable under the scope of a Civil Service System authorized by the State of Wisconsin. Such rules shall be submitted to the Common Council for review and adoption after which the rules shall have the force of law.

Section 1.2 PURPOSE AND SCOPE:

1.2.1 PURPOSE - It is the purpose of these rules to supplement, interpret, and otherwise to give effect to provisions of Chapter 6 of Code of Ordinance.

1.2.2 SCOPE - The scope of these rules shall be restricted to classified or covered employees and to the provisions in respect to the following topics and areas of personnel administration, including any such related topics and areas as determined by the Personnel Committee as appropriate, as authorized by state statute for inclusion in a Civil Service System:

(a) employee selection,
(b) employee tenure,
(c) employee status,
(d) attendance
(e) leave regulation
(f) compensation and payrolls
(g) a grievance procedure that addresses employee terminations,
(h) employee discipline, and
(i) workplace safety
Section 1.3 AMENDMENT: The Personnel Committee shall submit proposed amendments of these rules to the Common Council whenever such amendments are deemed necessary by the Committee or as directed by the Common Council. Any such amendments shall not be inconsistent with the provisions of Chapter 6 of Ordinance, and following their adoption by the Common Council, the Committee shall properly make available or transmit such amendments to Appointing Authorities and classified employees.

Section 1.4 DEFINITION OF TERMS: The words and terms hereinafter mentioned, whenever used in these rules or in any regulations in force thereunder, shall be construed as follows:

1.4.1 APPOINTING AUTHORITY – shall mean the Common Council, Mayor, or any City Department Head having the authority by ordinance to make appointments to any subordinate office or position in a department of the City Government.

1.4.2 COMMITTEE – shall mean the City of Franklin Personnel Committee.

1.4.3 CITY – shall mean the City of Franklin, Wisconsin.

1.4.4 PERMANENT FULL-TIME EMPLOYEE – shall mean an employee who has been appointed as a result of a certification to a permanent, full-time position and who generally works at least 37.5 hours weekly and is expected to work 1950 hours or more per year, including paid leaves.

1.4.5 PERMANENT FULL-TIME POSITION – shall mean a position where the employee is expected to generally work at least 37.5 hours weekly and 1950 hours or more per year, including paid leaves, in a position authorized by the Common Council as a “Permanent Full-Time” position.

1.4.6 PERMANENT PART-TIME EMPLOYEE WITH BENEFITS – shall mean an employee who has been appointed as a result of a certification to a permanent, part-time position and who generally works less than 37.5 hours weekly and is not expected to work 1950 or more hours per year, including paid leaves, and whose category within a position classification has been designated by the Common Council as receiving benefits.

1.4.7 PERMANENT PART-TIME POSITION WITH BENEFITS – shall mean a position where the employee is generally expected to work less than 37.5 hours weekly and is not expected to work 1950 or more hours per year, including paid leaves, and whose category within a position classification has been designated by the Common Council as receiving benefits.

1.4.8 PERMANENT PART-TIME EMPLOYEE WITHOUT BENEFITS – shall mean an employee who has been appointed as a result of a certification to a permanent, part-time position and who generally works less than 37.5 hours weekly and is not expected to work 1950 or more hours per year, including paid leaves, and whose category within a position classification has been designated by the Common Council as receiving benefits.
paid leaves, and whose category within a position classification has been designated by the Common Council as not receiving benefits.

1.4.9 PERMANENT PART-TIME POSITION WITHOUT BENEFITS – shall mean a position where the employee is generally expected to work less than 37.5 hours weekly and is not expected to work 1950 or more hours per year, including paid leaves, and whose category within a position classification has been designated by the Common Council as not receiving benefits. Most typically such a position is scheduled for 19 or fewer hours per week.

1.4.10 TEMPORARY FULL-TIME EMPLOYEE – shall mean an employee who has been appointed without certification to a full-time position and who generally works at least 37.5 hours weekly and would be expected to work 1950 hours or more per year, including paid leaves, if annualized, but whose position is authorized for a limited duration, which is not expected to exceed nine continuous months of employment.

1.4.11 TEMPORARY PART-TIME EMPLOYEE – shall mean an employee who has been appointed without certification to a temporary part-time position and who generally works less than 37.5 hours weekly and is not expected to work 1950 or more hours per year, including paid leaves, if annualized, but whose position is authorized for a limited duration, which is not expected to exceed nine continuous months of employment.

1.4.12 EMERGENCY EMPLOYEE – shall mean an employee appointed without certification for short-term employment which may be required for special projects, unusual work loads, or emergency circumstances.

1.4.13 PROBATION PERIOD – shall mean the period when an appointed employee is required to demonstrate the fitness for the position by actual performance of duties.

Section 1.5 UNCLASSIFIED SERVICE: The following offices and positions in the public service of the City shall be designated as "unclassified service" and are not covered within the scope of the Civil Service System, except to any extent otherwise specified.

All officials elected by the citizens of the city,

Members of the Judiciary,

All members of any board or commission, including election officials,

Employees who are employed pursuant to a special contract or separate employment agreement with the City if also falling within another category setforth in this section,
All members of the Fire and Police Departments subject to Section 62.13 of the State Statutes and under the jurisdiction of the Police and Fire Commission, except to the extent as provided herein for workplace safety,

Officers of the City as set forth in Wisconsin Statutes Chapter 17, a list of which positions shall be maintained by Human Resources.

All supervisors of the City, a list of which shall be maintained by Human Resources, including all appointed deputies but not including positions identified as “lead workers” by the City,

Section 1.6 CLASSIFIED SERVICE: All offices and positions in the public service of the City, not included in Section 1.5, shall be designated as “classified service”.

1.6.1 UNCERTIFIED SERVICE: The following offices and positions in the classified service of the City shall be designated as “uncertified service” or “uncertified positions” and are covered within the scope of the Civil Service System, to the extent as specified herein, but do not require testing or certification in the filling of the positions, which positions may be filled in a manner as prescribed by the Municipal Code or, if not so prescribed, in a manner determined by the Director of Administration, as subject to the review of the Mayor.

Temporary, full or part-time employees,

Emergency employees authorized by the Mayor,

Employees who are employed pursuant to a special contract or separate employment agreement with the City,

1.6.2 CERTIFIED SERVICE: All offices and positions in the classified service of the City not included in 1.6.1 shall be designated as “certified service” or “certified positions”.

Section 1.7 DESIGNATION OF AGENT: The Director of Administration shall be designated as agent for the Committee and empowered to act for the Committee in routine administration, implementation, and interpretation, where required, of these rules. The Director of Administration will have no authority to abridge rules as provided for herein or to implement rules not provided for herein, and all such actions may be subsequently reviewed and approved, disapproved, or allowed to remain unaltered by the Committee.

ARTICLE 2 POSITION CLASSIFICATION PLAN

Section 2.1 CLASSIFICATION OF POSITIONS: The Director of Administration shall propose amendments or revisions of the classification system to the Committee based on duties, responsibilities, and employee qualifications of all certified positions in the classified service. The Committee shall submit classification recommendations to the Common Council for approval. All personnel budget records, financial records,
employee records and communications shall denote the appropriate titles established in the classification plan adopted by the Common Council. The Director of Administration shall review position classification matters and make recommendations to the Committee.

Section 2.2 CLASS SPECIFICATIONS: The Director of Administration shall prepare position classification specifications for every authorized class of positions in the classified service. The Committee shall review and authorize these specifications. The Director of Administration will submit approved specifications to appointing authorities.

Section 2.3 REVISIONS OF POSITIONS: The Director of Administration shall study the duties, responsibilities, and necessary employee qualifications for each requested new or revised position. Based on findings of the study, the Director of Administration may recommend to the Personnel Committee the appropriate classification to fit the duties, responsibilities, and employee qualifications.

2.3.1 Whenever a change of substance or significance is made in the duties and responsibilities of a position involving either the addition of new assignments or the taking away or modification of existing assignments, such changes shall be reported by the supervisor or Appointing Authority to Human Resources who will investigate such changes. If the investigation reveals the changes to be permanent and of such a nature that reclassification is justified, the Director of Administration will make a recommendation to the Committee which will subsequently recommend the appropriate class for the position to the Common Council for final action.

2.3.2 An employee in a certified classified position may request the consideration of a reclassification of the employee's position. The employee shall initially make a written request, setting forth the employee's reason for the change to the Appointing Authority. The Appointing Authority shall then forward such request to the Director of Administration, who shall proceed thereafter in a manner consistent with 2.3.1.

Section 2.4 EFFECT OF REALLOCATION OF POSITIONS: An employee occupying a position which is reclassified to a different class, as a result of approval by the Common Council, shall continue in the position only if eligible for or if acquiring eligibility to the new position in accordance with the rules governing promotion, transfer, or demotion.

Section 2.5 CLASSIFICATION DETERMINATION DISCLOSURE: An employee may seek information from the Director of Administration as to how the classification of a position(s) was derived. The Director of Administration will disclose the methods used.

ARTICLE 3 COMPENSATION PLAN

Section 3.1 ORIGINATION AND REVISIONS: The Director of Administration is responsible to prepare and to revise an equitable compensation plan fixing a salary
structure, such as but not limited to a minimum salary, salary range, single wage rate, or a wage schedule, for each class of positions in the certified classified service.

The Director of Administration shall submit such salary structure recommendations to the Common Council for approval.

Section 3.2 ADMINISTRATION:

3.2.1 BASIS OF SELECTION OF A SALARY STRUCTURE: A salary structure shall be directly related to the position-classification plan and determined with due regard to the structure of compensation for other classes.

3.2.2 APPOINTMENT RATE: Appointments to positions in the classified service shall normally be made at the established minimum rate of compensation. Appointments above the established minimum rate of compensation may only be made following recommendation by the Appointing Authority and the Director of Administration and with final approval by the Mayor; however, sufficient funding must be available within the adopted budget. Appointments at a rate below the established minimum rate may not be made.

3.2.3 ADVANCEMENT: Where the minimum and maximum limits of compensation are established for a position in the certified classified service or where consistent with the salary structure as adopted, advancement to the next higher rate within the limits of compensation for such position shall be based entirely upon meritorious performance during tenure in the position at the last rate of compensation and upon any other such factor incorporated into the salary structure for that position. The Appointing Authority shall prepare a written efficiency evaluation and conduct an evaluation interview with each employee at least annually. Recommendations as to any merited advancement to the next higher rate of the applicable compensation shall be based on the written evaluation and upon any other such factor incorporated into the salary structure for that position. The Appointing Authority will submit the efficiency evaluation report and recommendation to Human Resources. The Director of Administration or his/her designee will review all evaluations and will approve advancement only when advancement is clearly justified, recommended by the Appointing Authority, and the compensation increase will not exceed the budgetary limit for the department as approved by the Common Council for the current fiscal year. Advancements in the same classification may not be made more frequently than one (1) time per year, without the approval of the Mayor, except that a probationary employee at the completion of the probation period who demonstrates ability exceeding the compensation rate, may advance to a proper rate in line with such demonstrated ability.

Comment [M25]: Enables consideration of step and grade plans as is most common in our current structures

Comment [M26]: Consistent with the Salary Administration Policy.

Comment [M27]: Existing language seems to assume a step and grade plan, but requires satisfactory performance. See 3.6.

Comment [M28]: Reconcile with contracts

Comment [M29]: Enables annual steps as is currently common, if so approved in a salary structure.

Comment [M30]: Consistent with current non-rep plan. Verify.
3.2.4 PROHIBITED PRACTICES: No employee may accept or receive reward, gift, or other form of remuneration of any consequence, consistent with standards as set forth in State Statutes, in addition to regular compensation from any source for the performance of their duties.

Section 3.3 COMPENSATION:

3.3.1 CONVERSION OF MONTHLY RATES TO HOURLY RATES: Hourly rate equivalents of monthly salaries shall be determined according to the following conversion table:

<table>
<thead>
<tr>
<th>Salary Base</th>
<th>Hourly Rate Equivalent</th>
</tr>
</thead>
<tbody>
<tr>
<td>40 hour week</td>
<td>1/173 of monthly salary</td>
</tr>
</tbody>
</table>

All hourly rate equivalents of monthly salaries shall be reported to the nearest one (1) cent but shall be computed based upon the level of accuracy of the payroll system (for example, four decimal places).

3.3.2 COMPENSATION SCHEDULES: The compensation of employees occupying certified classified positions shall be on the basis of appropriate schedules of monthly salaries or hourly rates prescribed for the respective classes of positions. The schedules of monthly and hourly rates will be recommended by the Director of Administration and adopted by the Common Council in a separate "Salary Ordinance". The Salary Ordinance shall be reviewed at least annually and recommendations of revisions or modifications will be submitted by the Director of Administration to the Common Council whenever the Director of Administration deems necessary. At any time the Salary Ordinance is revised all employees occupying positions affected will automatically advance to the new rate as appropriate within the salary structure, provided the employee is maintaining satisfactory performance, in order to maintain the same place within the salary schedule. All such compensation increases shall be effective as specified by the Common Council in the Salary Ordinance.

3.3.3 COMPENSATION SCHEDULES DETERMINATION DISCLOSURE: An employee may seek information from the Director of Administration on how the compensation schedules were determined. The Director of Administration will disclose the methods used.

3.3.4 COMPENSATION BASIS: The monthly salary and hourly rate structure or ranges set forth in the Salary Ordinance shall be based on full-time employment at the established normal working hours for the respective classes of positions.

3.3.4.1 FULL-TIME WORK: All full-time employees shall be compensated consistent with the monthly salary or hourly rates prescribed in the Salary Ordinance for their respective classes of positions.
3.3.4.2 PART-TIME WORK: Compensation to employees occupying part-time, temporary, or emergency positions shall be based on the established hourly rates and/or the hourly rate equivalent of approved salary as provided in Section 3.3.1, if so established.

3.3.5 SALARY ORDINANCE – EMPLOYEE FRINGE BENEFITS: The Common Council will review employees' fringe benefits including, but not limited to, health, dental, life insurance, pensions, holiday, longevity, severance pay; and from time to time, approve amendments to benefits provided for City employees. These provisions will be addressed elsewhere and not within the Civil Service System Personnel Administration Program.

3.3.6 WORKER'S COMPENSATION: An employee who sustains an injury while performing within the scope of employment, as provided by Chapter 102 of the Wisconsin Statutes (Worker's Compensation Act), shall receive full net salary consistent with base earnings prior to the injury excluding overtime, not gross salary, in lieu of Worker's Compensation payments for the period of time the employee may be temporarily totally or temporarily partially disabled because of said injury, not to exceed one year from the date of injury. Continued eligibility for Worker's Compensation shall be reviewed every thirty (30) days by the respective Department Head and Human Resources. This policy shall not limit policies or actions of the City to promote a return to work or light duty.

Section 3.4 OVERTIME: All employees shall use time sheets so the total amount of time worked, including overtime, is clearly recorded.

3.4.1 AUTHORIZATION: An Appointing Authority, or duly authorized supervisor, may authorize short periods of overtime work in emergencies or to meet irregular day-to-day operational needs. Extended overtime work required by extraordinary circumstances over and above normal day-to-day operational needs must be approved by the Common Council if additional appropriations are required.

3.4.2 COMPENSATION: Employees, only as required by the Fair Labor Standards Act (FLSA), shall be paid at the rate of one-and-one-half (1½) times the base rate for all hours worked above a forty-hour work week. All overtime work performed on Sundays and holidays shall be paid at the rate of double time, for such hours that exceed forty hours for that given work week. Overtime may be paid in compensatory time in a manner as prescribed in the Employee Handbook and at the discretion of the employee. Employees called in on emergency duties (hours other than regularly scheduled hours) shall be guaranteed one (1) hour pay at the appropriate overtime rate, for such hours that exceed forty hours for that given work week. This one-hour guarantee shall not apply to call-ins which are consecutively prior to or subsequent to (in other words, "concurrent with") the employee's regular schedule.
Section 3.5 RETURN FROM MILITARY SERVICE: Salaries following return from military service shall be as per a separate policy as adopted by the Common Council and incorporated into the Employee Handbook.

Section 3.6 PROMOTION AND TRANSFER: When a regular employee is promoted to a position having a higher compensation schedule, the rate of compensation shall be adjusted to be at the lowest rate in the higher schedule that will provide an increase of at least five percent over the rate received immediately prior to such promotion. When a regular employee is transferred from one position to another position having the same compensation schedule, the rate of compensation will remain unchanged. Subsequent advancement, in either case, to the next higher rate in the compensation schedule may be only on the basis set forth in Section 3.2.3.

Section 3.7 HOLIDAY COMPENSATION: The declared holidays for the City shall be addressed in the Employee Handbook.

3.7.1 PERMANENT FULL-TIME EMPLOYEES: Each permanent full-time employee shall be compensated for the declared holidays, in addition to any time worked and based upon their regular schedule, provided that the employee meets the following conditions:

(a) The employee has completed thirty (30) working days of continuous service as of the date of the holiday;

(b) The employee has worked the last regular work day prior to and the next regular work day immediately following such holiday unless the holiday occurs during the following listing periods.

   (1) A period of paid sick leave;
   (2) An approved vacation period;
   (3) When the employee is on jury duty as provided in Section 3.8.4;
   (4) During the week in which the employee leaves for, or returns from, an approved leave of absence.

Eligible permanent employees shall be entitled to eight (8) hours holiday compensation at their straight time hourly rate and/or hourly rate salary equivalent exclusive of shift and overtime premiums for each such holiday or, with approval of the Department Head, may take an alternate day off. If permanent employees, except for the position classification of “Dispatcher”, are required and authorized to work on any declared holiday listed in the Salary Ordinance, the compensation for time worked on such a holiday shall be deemed overtime and employees shall be compensated for such time at the rate of double time.

3.7.2 PART-TIME, TEMPORARY AND EMERGENCY EMPLOYEES: Employees who are employed on a permanent part-time, with-benefit basis shall be eligible for all declared holidays subject to the conditions set forth in Section 3.7.1; however, holiday compensation is in proportion to the...
scheduled time such employees normally work. If such permanent part-time employees are required and authorized to work on any declared holiday, the compensation for time worked on such a holiday shall be deemed overtime and employees shall be compensated for such time as provided in Section 3.4.2. No non-permanent part-time employees, temporary employees or emergency employees shall be eligible for holiday compensation.

3.7.3 PERSONAL HOLIDAYS: Personal Holidays must be taken within the calendar year at a time mutually agreed upon between the employee and the Department Head, except up to two days may be carried over with the approval of the Department Head and Director of Administration in the event of special circumstances, solely as determined by the City. The Department Head shall not unreasonably withhold consent. Employees' requests for personal days shall be made twenty-four (24) hours in advance to the employee's supervisor, except in cases of emergency. A new employee shall have their Personal Holiday allowance for the then current year prorated based upon the portion of the year remaining. Personal Holiday’s must be used for a minimum two-hour period.

Section 3.8 LEAVES OF ABSENCE:

3.8.1 VACATIONS: Each permanent full-time employee in the City service who has, as of the employee's anniversary date of employment, one (1) year of continuous service as defined in Section 3.9, shall be eligible for a period of vacation leave with pay each year. The length of the vacation period of each eligible employee shall be based on the employee's continuous service as of the anniversary date of employment according to the eligibility schedule listed in the benefit section of the Salary Ordinance.

The Salary Ordinance authorizes vacation periods for civil service employees. This may change from time to time, as set forth in the Salary Ordinance for the approved vacation eligibility schedule.

3.8.1.1 VACATION SCHEDULING: Each supervisor shall maintain accurate records of vacation leave credit and shall schedule vacation leaves, with particular regard to the continuous service of employees, in accord with operating requirements, and, insofar as possible, with the written request of the employees.

Vacation leave credit may be applied, at the written request of the employee and with the approval of the Appointing Authority, to periods of absence due to sickness, injury, disability or Military leave. A holiday occurring during an employee's approved vacation period shall not be considered a day of vacation leave.

The right to choose a vacation shall be based upon seniority; however, no employee shall choose more than one (1) week of vacation irrespective of the number of weeks to which is entitled between June 15 and September
15 until such time as all other employees have been given an opportunity to select a one (1) week vacation period during said dates. This provision shall be further governed by the following:

(a) There shall be no overlapping vacations unless approved by the appropriate Department Head.

(b) In the event that an employee in a seniority position fails to select a vacation period prior to March 31, the employee shall lose the seniority position and not be permitted to select until all persons subsequent to such employee in seniority shall have selected. Said vacation schedule shall be posted no later than February 15 by the Department Head or designee.

3.8.1.2 PERMANENT PART-TIME EMPLOYEES WITH BENEFITS: Permanent, part-time employees with benefits, who work a regularly-established number of hours a day, may be eligible for a period of vacation leave each year under the same conditions that a permanent, full-time employee may be eligible, except that compensation for each week of vacation leave shall be reduced to coincide with the number of regular scheduled weekly hours. If a regularly-scheduled, part-time employee is duly certified, appointed and accepted as a permanent employee, continuous service with the City service for vacation purposes shall be recomputed to establish a date which will reflect and be the equivalent of full-time service.

3.8.1.3 TERMINATION OF SERVICE: An employee who terminates or is terminated, dies or retires from City service, shall receive compensation for unused portion of accumulated vacation leave up to the date of termination.

3.8.2 MILITARY LEAVE: Each permanent employee who is required to take a period of training for the purpose of retaining status as a member in an organized unit of the reserve corps of the United States Army, Navy, Air Force, Marine Corps, Coast Guard and the National Guard and who is ordered to active duty, shall be granted a leave of absence for a period not in excess of two weeks annually upon submission to the Appointing Authority evidence of receipt of authentic orders.

Employees may be granted Military leave without compensation except in the event that such employees, with the approval of the Appointing Authority, may apply regular vacation leave credit to such periods of approved Military leave.

3.8.3 SICK LEAVE:

3.8.3.1 ELIGIBILITY: Each permanent full-time employee and each permanent part-time employee with benefits shall be eligible for compensable sick leave credit based upon periods of completed service with
the City, which credit may be available for use after the first six (6) months of continuous service.

3.8.3.2 COMPUTATION OF SICK LEAVE CREDIT: Each eligible employee shall be credited with compensable sick leave up to the maximum sick leave accumulation at the rate of the number of hours equivalent to one (1) average regular scheduled workday period (i.e., prorated), exclusive of overtime and authorized meal periods, for each month of completed service after becoming eligible. For the purpose of sick leave credit, completed service shall include absence due to declared City holidays, to approved vacation leaves, and to disability arising from injuries sustained in the course of City employment, as evidenced by applicable worker’s compensation payments. All other periods of absence shall not be included as completed service.

3.8.3.3 MAXIMUM SICK LEAVE ACCUMULATION: Unused sick leave credit of any eligible employee may not exceed 180 days.

3.8.3.4 SPECIAL SICK LEAVE CREDIT: If an employee has not taken sick leave during a specified four month period (January through April, May through August, and September through December), the employee shall receive a bonus of one sick day.

3.8.3.5 USE OF SICK LEAVE CREDIT: Eligible employees, upon the approval of their supervisor, may use sick leave credit in accordance with the provisions listed below, to claim compensation for periods of absence due to illness, injury, or quarantine due to contagious disease which could be communicated to other employees (except where Worker's Compensation applies).

(a) Employees shall make every reasonable effort to inform their immediate supervisor of any such absence prior to the beginning of their work shift or as soon as possible thereafter. Failure to do so within twenty-four (24) hours from the beginning of their work shift on each day of absence may be cause for denial of use of sick leave credit for the period of absence, except an employee may provide a notice for a multiple day period.

(b) Sick leave credit may not be used to cover a period of absence less than one hour of the employee's regularly-scheduled daily work hours.

(c) The use of sick leave credit in excess of three (3) consecutive days to cover periods for reason of illness, injury, or quarantine may be granted only after an employee presents to the supervisor, a written statement from the employee’s physician stating the nature of the illness and certifying that the employee's condition prevents or prevented the employee from performing the duties of the position and, when applicable, that the employee may return to work and can perform the duties of the position. An employee with any illness or
injury of more than seven (7) consecutive calendar days must present such a written statement weekly from a physician.

(d) Sick leave credit may be used in accordance with State, Federal, and Local Family Medical Leave Act (FMLA) provisions.

(e) Supervisors shall ensure sick leave credit used by employees under their jurisdiction is properly and accurately submitted for accounting within the time-keeping system.

(f) Sick Leave Abuse: Any employee fraudulently obtaining sick leave approval or any supervisor falsely certifying to sick leave approval for absence from work for unapprovable reasons, may be disciplined. Any employee exhibiting a pattern of excessive sick leave use, as determined by the City, which pattern can be established by consistent annual use of sick leave credits of more than 6 days without a valid medical reason, as reasonably determined by the City, may be disciplined. Any employee exhibiting a pattern of periodic or cyclical or event-based sick leave use, as reasonably determined by the City, may be disciplined.

(g) A holiday occurring during an employee's absence due to approved sick leave shall not be considered a day of sick leave.

(h) All unused, accumulated sick leave credit is automatically cancelled if an employee resigns, is discharged, or is laid off except that employees who are laid off, for reasons not discreditable to them, will retain their unused accumulated sick leave credit provided they are reappointed within one (1) year from the date of the lay off.

(i) The Director of Administration shall cause to be maintained a current record of each employee's sick leave credit accumulation and shall make appropriate monthly reports available to supervisors and employees.

3.8.4 BEREAVEMENT LEAVE:

3.8.4.1 All regular full-time and part-time employees with benefits shall receive up to three (3) working days off with pay as bereavement leave to arrange and/or attend funeral activities in the event of a death within the employee’s immediate family. “Immediate family” shall be defined as the employee’s spouse, child, father, mother, sister, brother, father-in-law and mother-in-law. The death of a brother-in-law, sister-in-law, daughter-in-law, son-in-law, grand-children, grandparents, or aunt or uncle of the employee or the employee’s spouse shall result in one (1) working day off with pay.

3.8.4.2 When a bereavement leave of absence occurs during an employee's vacation, it shall be considered as part or all of the leave granted up to the amount of days authorized.
3.8.4.3 All applications for bereavement leave shall be in writing on such form as presented by the City and submitted to the appointing authority at the time of request for such leave. Employees who fail to return to work on the specified date without receiving an extension shall be subject to disciplinary action.

3.8.4.4 Bereavement leave is to be used to arrange and/or attend funeral activities. Confirming documentation may be required.

3.8.4.5 Bereavement leave is not deducted from sick leave.

3.8.5 JURY DUTY LEAVE: Jury duty leave shall be as adopted by the Common Council and set forth within the Employee Handbook.

3.8.6 LEAVES OF ABSENCE WITHOUT COMPENSATION: Leaves of absence without compensation may be granted and shall be administered in accordance with the policy as adopted by the Common Council and set forth within the Employee Handbook.

3.8.7 FAMILY AND MEDICAL LEAVE: The Family and Medical Leave Policy shall be in accordance with state and federal requirements and with the policy as adopted by the Common Council and set forth within the Employee Handbook.

Section 3.9 CONTINUITY OF SERVICE REQUIREMENTS: Continuity of Service Requirements shall be administered in accordance with the policy as adopted by the Common Council and set forth within the Employee Handbook.

ARTICLE 4 APPLICATIONS

Section 4.1 APPLICATIONS FOR EXAMINATION: All applicants for examination must satisfy all requirements in the announcement issued for each examination. Human Resources may require applicants to submit proof of age, citizenship, and military service at the time of examination. Applicants shall not be questioned in regard to race, color, religious or political opinions or affiliations, national origin, disability, marital status, citizenship status, membership in the armed services, sexual orientation, ancestry, pregnancy, or any other protected characteristic as established by law, except to the extent as necessary to track non-discrimination statistics as may be required. The Committee may verify statements contained in any application and shall obtain any additional information relative to character and fitness as they deem necessary.

Section 4.2 DEFECTIVE APPLICATIONS: Human Resources, acting on behalf of the Committee, may return defective applications to applicants with notice to amend or correct the application. Such amended or corrected applications shall be considered as original applications provided they are resubmitted and received by the Committee prior to the expiration of the time limit for receiving applications.

Section 4.3 REJECTION OF APPLICATIONS: The Committee may reject any defective or incomplete application and may reject any application which indicated therein that the applicant does not possess the minimum qualifications for the position.
ARTICLE 5 EXAMINATIONS

Section 5.1 ADMINISTRATION OF EXAMINATIONS: Absent specific action of the Committee, the Director of Administration or his/her designee shall identify and select the appropriate test to administer. The Human Resources Coordinator, acting on behalf of the Committee, shall administer written, oral, and/or performance examinations to determine the suitability of applicants for positions in the classified certified service of the City. Examination shall be administered on a competitive basis and, in order to avoid the possibility of discrimination, the identity of applicants shall be concealed in all examinations. Whenever they deem it necessary, the Committee shall have the authority to appoint, subject to funding as appropriated by the Common Council for such purpose, an examining agent experienced in the particular field or line of work for which candidates for a position are to be examined. The Committee may refuse to examine an applicant, or after examination may refuse to certify an eligible person and may remove the name from the eligibility list, for any of the following reasons:

(a) Disability of such a manner that it reasonably relates to the applicant's ability to adequately undertake the job-related responsibilities of the employment, as set forth in Section 111.34 of the Wisconsin Statutes;

(b) Addiction to the habitual use of intoxicating beverages to excess or use of drugs;

(c) Conviction of a crime if the circumstances substantially relate to the circumstances of the particular job, as set forth in Section 111.335 of the Wisconsin Statutes;

(d) Making a false statement of any material fact or practiced or attempt to practice deception or fraud in the employment application.

(e) Cheating or deception on or in relation to an examination.

Section 5.2 PREPARATION OF EXAMINATIONS: The Committee shall establish adequate examinations for each class of positions in the City certified classified service. The Committee shall establish a list of which classes of positions in the City certified classified service requires a prepared test and which examination requires only a review by Human Resources as to meeting the established education, experience, and minimum qualifications as set forth in the job description. Examinations shall relate to those matters which, in the judgment of the Committee (or Human Resources acting on their behalf where necessary), will test fairly the ability and suitability of an applicant to perform, with reasonable efficiency, the duties of the positions for which examinations are administered. Examinations may be assembled or unassembled and may include written, oral, physical, application review, or performance tests, or any combination of such tests. No question in any examination shall relate to race or religious or political opinions or affiliations.

Section 5.3 SCHEDULING EXAMINATIONS: The Human Resources Coordinator, on behalf of the Committee, shall schedule entrance, promotion, and transfer examinations to fill vacancies in the City certified classified service and/or to provide eligibility lists for positions where vacancies are likely to occur. The Human

Comment [M61]: Worded to also address those positions where a prepared test is not available or used.

Comment [M62]: This section was changed to reflect that we review applications prior to testing and do not simply publish a notice and administer a test to everyone who shows up.
Resources Coordinator, on behalf of the Committee shall provide notice of the scheduling of each examination via mail, email, verbal discussion, or by some other commonly accepted communication to all qualified applicants.

Section 5.4 ENTRANCE EXAMINATIONS: Entrance examinations shall be opened to all applicants, except permanent full-time and permanent part-time with benefits City employees, who meet the minimum requirements fixed by the Committee with regard to experience, education, character, physical fitness, and such other factors as may be related to the ability and suitability of the applicants to perform with reasonable efficiency, the duties of the positions for which entrance examinations are scheduled.

Section 5.5 PROMOTION EXAMINATIONS: Promotion examinations shall be open to all permanent City employees eligible to receive benefits or working at least 30 hours per week and who meet the minimum requirements fixed by the Committee with regard to experience, education, character, physical fitness, and such other factors as may relate to the ability and suitability of such employees to perform, with reasonable efficiency, the duties of the positions for which promotion examinations are scheduled. Promotion examinations may be limited to a single department or a subdivision thereof if deemed by the Committee to be in the interest of the City service.

Section 5.6 TRANSFER EXAMINATIONS: Transfer examinations shall be scheduled, as the Committee or Human Resources acting on their behalf deems necessary, for the purpose of determining if permanent City employees, who have properly requested transfer to a position in the same class or to another class with the same maximum salary limit, have the necessary qualifications to perform, with reasonable efficiency, the duties of the positions to which they seek transfer.

Section 5.7 METHOD OF RATING EXAMINATIONS: The Committee shall oversee the development and implementation of sound measurement techniques and procedures for the evaluation of the training and experience of applicants and for rating the results of tests used in all examinations for the purposes of rejecting unqualified applicants and establishing the names of eligible applicants on eligibility lists in proper order according to the relative ability and qualification of applicants. The Committee shall review the findings of each examining agent or committee and shall give due consideration to such findings when establishing eligibility lists.

5.7.1 POSITIONS REQUIRING A PREPARED TEST: The minimum rating by which eligibility may be achieved by such applicants in each examination shall be established by the Committee, individually or from time-to-time. Such minimum ratings shall also apply to the rating of each part of the examination; therefore, applicants shall be required to attain at least a minimum rating on each part of the examination in order to be considered eligible for employment. The total earned rating of each applicant shall be the total of the earned rating on each part of the examination in accordance with the weights established for each such part prior to the date of examination.
5.7.2 POSITIONS NOT REQUIRING A PREPARED TEST: For positions not requiring a prepared test and only requiring a review by Human Resources, per Section 5.2, Human Resources shall evaluate each satisfactory application to determine if it meets the established education, experience, and minimum qualifications as set forth in the job description and shall rate each such satisfactory application as “Meets Minimum Qualifications” or “Does Not Meet Minimum Qualifications.” To aid Appointing Authorities in selection, Human Resources may also provide a ranking or subjective review of those meeting minimum qualifications; however, such subjective review may not take into consideration any of the factors listed throughout this policy which may be considered potentially discriminatory but may consider the training and experience of applicants in order to determine the relative ability and qualification of applicants.

5.7.3 VETERANS RATING ADJUSTMENT: A veteran of any war of the United States who has received an honorable discharge, and who has attained the minimum rating on each part of the examination considered under 5.7.1, shall have five (5) points added to the earned rating and the order of the veteran's name on the entrance eligibility list shall be determined on the basis of such adjusted rating. Any such veteran to whom the United States Veteran Administration has accorded at least a ten (10) percent compensable disability rating shall have ten (10) points added to the total earned rating and the order of the veteran's name on the entrance eligibility list shall be determined on the basis of such adjusted rating provided the disability is compensable at the time of examination. Proof of veteran status shall be provided by veterans in the form of discharge papers, a certified or photostatic copy of such, or other satisfactory evidence of honorable military service and discharge. Veterans with a disability rating shall submit proof of such disability rating on such form as may be required by the Committee and the disability must be certified by the appropriate federal agency responsible for the administration of veterans' affairs. The burden and requirement of proof and of knowledge of a Veterans Rating Adjustment shall be entirely upon the applicant, and the City is not required to amend its process or reconsider actions taken to accommodate notification of Veterans status that was not presented and proved in a timely manner. Veterans shall not be eligible for adjustment of total earned ratings on promotion or transfer examinations.

Section 5.8 NOTIFICATION OF EXAMINATION RESULTS: Each applicant who takes an examination shall be notified in writing as to whether the minimum rating was attained in such examination and, if attained, the applicant's standing on the eligibility list. Each such applicant shall be entitled to inspect their own rating and examination papers, but examination papers shall not be open to the general public. Such inspection shall only be permitted at such hours and in such places as specified by Human Resources. If the Committee makes a subsequent adjustment of the final rating of an applicant, Human Resources shall promptly notify the applicant of such adjustment, the reasons therefore, and any change made in the order in which the applicant's name appears on the eligibility list.
ARTICLE 6 ELIGIBILITY LISTS

Section 6.1 ORIGINATION: After each examination, Human Resources shall prepare, and the Committee shall approve in a final manner and form as they shall determine appropriate, an entrance, promotion, or transfer eligibility list of those candidates who have attained minimum ratings as established by the Committee for that examination. Names of eligible candidates shall be placed on the list in numerical order of final ratings starting with the highest rating. In case of ties in the final rating, names of such tied candidates shall be placed on the list in alphabetical order. Human Resources shall also prepare, and the Committee shall approve in a final manner and form as they shall determine appropriate, a list of employees on lay-off status who are eligible for reinstatement.

Section 6.2 ENTRANCE LIST: An entrance list shall consist of the names of all applicants who have attained the required minimum ratings as a result of an entrance examination. Such names shall be arranged in the numerical order of final rating.

Section 6.3 PROMOTION LIST: A promotion list shall consist of names of all permanent City employees who have attained the required minimum ratings as a result of a promotion examination. Such names shall be arranged in numerical order of final rating.

Section 6.4 TRANSFER LIST: A transfer list shall consist of the names of permanent City employees who have attained required minimum ratings as a result of a transfer examination. Such names shall be arranged in numerical order of final rating.

Section 6.5 REINSTATMENT LIST: A reinstatement list shall consist of names of permanent City employees who have been laid off from their positions in accordance with these rules and who are eligible for rehire. Such names shall be arranged in order of length of continuous service with the City starting with the longest period of continuous service.

Section 6.6 COMBINATION OF LISTS: Two eligibility lists of the same type and for the same class of positions may be consolidated by the Committee in a manner fair to eligible people on both lists.

Section 6.7 REMOVAL FROM LISTS: The Committee may remove the following from the eligibility list:

1. Any person certified to fill a permanent position in the City classified service and who accepts such appointment or refuses such position when it is offered by the Department Head, or who fails to present him/herself for duty within such reasonable period of time as prescribed by the Appointing Authority;

2. Any person who made false statements, subsequently discovered in the application;

3. Any permanent employee who refuses to accept a requested transfer;
Any permanent employee on lay-off status who refuses reinstatement or who
fails to report on reinstatement within the period of time prescribed by the
Committee;

Any person who fails to notify the Committee in writing of a change of
address.

Any person subsequently found to have cheated on the examination.

Section 6.8 DURATION OF LISTS: A list shall remain in force for one (1) year
from the date on which originally established by the Committee except as follows:

(1) Before the expiration date of the list, the Committee may extend the time for
six (6) month periods during which a list remains in force when the needs of
the City service so require, but such extended time may not exceed three (3)
years from the date on which the list was originally established, and

(2) The list may be decertified earlier by the Committee for any such, non-
discriminatory reason that the Committee deems appropriate and in the best
interest of the City.

Any action of the Committee reducing or extending the time period which a list shall
be in force shall be duly entered in the meeting minutes of the Committee and the
reasons for such extended time period shall be adequately explained therein.

Section 6.9 AVAILABILITY OF ELIGIBLE APPLICANTS: Each applicant listed
on the eligibility list shall file with Human Resources any changes affecting
availability for employment. Human Resources, acting on behalf of the Committee,
may use whatever methods it deems proper to determine availability of applicants
listed on an eligibility list. Whenever an eligible applicant submits a written statement
to Human Resources or the Committee restricting the conditions of availability for
employment, the name shall be withheld from any certification which does not meet
the conditions specified, to the extent possible or practicable as determined by the
Human Resources. Such an eligible applicant may file a new written statement with
the Committee in the duration of the eligibility list to modify or void any prior
statement filed as to the condition(s) under which available for employment.

ARTICLE 7 RECRUITMENT

Section 7.1 PERSONNEL REQUISITION: A Department Head may submit a
Personnel Requisition to Human Resources to fill a new position or vacancy in an
existing position in the classified service, and Human Resources, acting at the
direction of the Mayor, shall make the Committee aware of such requisition in a
manner and form as prescribed by the Committee.

Section 7.2 CERTIFICATION OF ELIGIBLE APPLICANTS: Upon receipt of an
approved personnel requisition for a position for which a valid eligibility list is in
place, Human Resources, acting on behalf of the Committee, shall provide the names,
adresses, and final ratings of three (3) eligible persons standing highest on the
appropriate eligibility list. If the personnel requisition specifies more than one (1) position vacancy for the class of position, Human Resources shall in the same manner provide four (4) names more than the existing vacancies. The list as provided in Article 6 of these rules shall be used in the following order for certification:

(a) Reinstatement list,
(b) Transfer list,
(c) Promotion list,
(d) Entrance list.

Human Resources shall only provide names from a certified entrance list when no person is eligible for reinstatement, transfer, or promotion. If special requirements, skills, or knowledge are specified in the Position Specifications in the personnel requisition and the Committee after investigation deems that the facts and reasons specified show a need for the special requirements, skills, or knowledge for effective performance of the duties of the position, certification shall be limited to such qualified persons on the appropriate lists.

Section 7.3 APPOINTMENTS: The Appointing Authority shall examine the applications of certified applicants in regard to education, experience, and other applicable factors and may conduct individual interviews of such candidates, which interviews may not consider any of the discriminatory factors referenced in these rules except to the extent that they may specifically impact the ability to perform the essential functions of the job. Based upon the specific requirements of the position, examination results, and individual interviews, the Appointing Authority may make a decision as to which certified applicant or applicants will be chosen for the vacancy or vacancies and shall immediately inform Human Resources in writing of the resulting appointment or appointments. The Appointing Authority will establish a time period for reporting for duty, notify the appointed applicant of appointment and established time period for reporting for duty, and specify the time and place of reporting as well as any other pertinent information.

Section 7.4 ACCEPTANCE OF APPOINTMENT: If a certified person presents himself/herself for duty within a reasonable period of time as the Appointing Authority shall prescribe, that person shall be deemed to have accepted the appointment and been appointed, otherwise the person shall be deemed to have declined the appointment.

Section 7.5 TEMPORARY APPOINTMENTS: Temporary appointments for short term employment may be made from eligibility lists intended for permanent employment if an appropriate list is in place. If no appropriate list exists or if certification from lists is impractical because of non-availability of the eligible applicant for temporary work, the Mayor may authorize the temporary appointment of any qualified individual or Human Resources may elect to pursue establishment of an eligibility list through the Committee. Successive emergency appointments of the same person to the same position shall not total more than the equivalent of six (6) months full time work in a twelve (12) month period, unless specifically authorized by the Committee. The acceptance or refusal by an eligible applicant for a temporary appointment shall not affect the applicant's standing on an eligibility list for a
permanent position. Any period of employment under a temporary appointment is not part of the probationary service period in the event of subsequent appointment to a regular position.

Section 7.6   EMERGENCY APPOINTMENTS: When an emergency condition makes it impossible to fill a position in the City classified service in accordance with these rules, the Mayor may appoint any qualified person to such position to prevent stoppage of public business, inability to adequately commence public business, or loss or serious inconvenience to the public. Any person so appointed shall only be employed during such emergency condition, which shall not exceed thirty (30) working days in any twelve (12) month period, unless otherwise approved by the Committee. There shall be no emergency if the Mayor had or, in the exercise of due diligence should have had, reasonable notice of a clear employment condition. The Mayor shall report all emergency appointments to the Committee and the Common Council as soon as reasonably possible following such emergency appointments. Any period of employment under an emergency appointment is not part of the probationary service period in case of subsequent appointments to a regular position.

Section 7.7   NEPOTISM PROHIBITED: No person shall be appointed to any position in the City service subject to these rules who is related by blood (whether of whole or half blood), or through marriage to the appointing officer, or to any member of the appointing board or body or to any direct superior, or any elective or appointive City official who also is the appointing officer. This prohibition includes appointments to classified positions.

Relationship as here defined, shall extend to all persons related as closely as first cousin when the relationship is by blood, or more closely related than first cousin where the relationship is through marriage, cases of husbands of sisters-in-law and wives of brothers-in-law. In the event nepotism occurs after appointment (marriage), within six (6) months after the event causing the nepotism, the employee concerned must seek a transfer, promotion, etc., or the City will unilaterally take action to eliminate this rule infraction.

ARTICLE 8   PROBATIONARY SERVICE

Section 8.1   PROBATION PERIOD: All appointments, whether original, probationary, or by reinstatement or transfer to a position of a different class or in a different department than in which the employee had previously served and intended to be permanent shall be for a probation period of six (6) months of actual continuous service. No transfer, promotion or appointment shall be deemed final until the appointee has satisfactorily completed the probation period. An Appointing Authority with the approval of the Director of Administration may extend a probationary period for up to an additional 6 months based upon satisfactory need. The Committee may establish a longer probation period for a position at the time of certification of the list if such extensions do not exceed one (1) year and if deemed to be in the interest of City service. The probation period shall be regarded as an integral part of the selection process and shall be utilized by close observance of the employee's work and work habits for the purpose of obtaining the most effective adjustment to the position.
and for rejecting any employee whose work performance or work habits do not meet work standards.

Section 8.2 PROBATION PERIOD REPORTS: The Department Head shall, no less than two (2) weeks prior to the expiration of an employee's probation period, report to Human Resources in writing as to whether or not the services and conduct of the employee have been satisfactory and whether or not the employee will continue in the position or whether probation will be extended. Annually, Human Resources may provide the Committee with a summary report of end of probation period actions.

Section 8.3 DISMISSAL DURING PROBATION: The Appointing Authority, with approval of the Director of Administration, may dismiss an employee any time during the established probation period if such employee, after adequate opportunity to qualify, shall be found incompetent, unqualified, or otherwise unsuited, in the opinion of the Appointing Authority, to perform satisfactorily the duties of the position, including but not limited to interacting well with others within the scope of the office or work environment. In the event of such dismissal, the Appointing Authority shall submit to Human Resources a written statement of reasons for dismissal together with such other service rating reports and forms as Human Resources may require. Any employee who, during the probation period, is found to have been appointed through fraud or error, shall be dismissed within ten (10) working days of notification to or by Human Resources.

Section 8.4 RESTORATION OF UNSUCCESSFUL APPOINTEE TO FORMER POSITION: A permanent City employee, appointed from a transfer or promotion eligibility list to a new position who does not successfully complete the probation period in the new position shall be reinstated in the position, or in a position of the same class, or similar class if in the best interest of the City, occupied by the employee immediately prior to transfer or promotion in line with established continuous service with the City. All other unsuccessful appointees shall be dismissed in accordance with other provisions of these rules. Actions under this Section 8.4 shall not be considered a demotion.

ARTICLE 9 DISCIPLINE: An overall intent of discipline is to maintain the efficiency and integrity of City service. The tenure of all City employees shall be based on reasonable standards of job performance and personal and professional conduct. As such, discipline may result when an employee’s actions do not conform with generally accepted standards of good behavior, when an employee has misconduct or violates a policy or work rule (including, but not limited to, those in the Employee Handbook and in this Personnel Administration Rules), when an employee’s performance is not acceptable or exhibits incompetence, when the employee’s conduct is detrimental to the interest of the City, or at any other such time as deemed reasonable by the City. Additional examples of actions warranting disciplinary action as listed in the Employee Handbook are incorporated herein by reference. This policy is intended to comply with Wis. Stats. Section 66.0509.

Section 9.1 STEPS OF PROGRESSIVE DISCIPLINE: Disciplinary action will be progressive when appropriate and may include, but is not limited to, any of four steps: verbal warnings, written warnings, suspensions with or without pay, and dismissal (termination of employment). It is the responsibility of each supervisor and
department head, who may seek assistance from Human Resources, to evaluate the circumstances and facts of employee actions objectively and apply with a reasonable form of discipline; however, failure of each supervisor or Department Head to do so shall not lessen the appropriate disciplinary action or forgive the employee’s action. The nature and severity of the offense, and the employee’s prior record, and any other factor deemed reasonable by the City on a case-by-case basis may be considered in administering disciplinary measures; however, there may be circumstances when one or more steps are bypassed. Certain types of employee actions or problems are serious enough to justify either a suspension or termination of employment without going through progressive discipline steps, even in the event when an employee’s prior work record was clean. The City reserves the right, in its sole discretion, to impose disciplinary action as it determines may be reasonable and appropriate to the particular circumstances.

9.1.1 “I’M DISAPPOINTED MEMO” - An “I’m Disappointment Memo” is not a disciplinary action, but a method for informing an employee of concerns that could lead to disciplinary action. They may be considered as part of the employee’s prior record, but are not grievable.

9.1.2 VERBAL WARNING: Verbal warnings are typically the first step of progressive discipline. In a verbal warning the employee is informed of their action or inaction that warranted the verbal warning and should be informed of the performance expectation to avoid additional steps of progressive discipline. The issuance of a verbal warning should be documented in writing and should be provided to the employee. Failure to document a verbal warning does not eliminate it from future consideration, but it may impact its consideration in considering an employee’s prior record.

9.1.3 WRITTEN WARNING: Written Warnings should be prepared by the supervisor involved and are to be signed by the employee and placed in the employee’s personnel file. A copy of a written warning should be retained by the employee.

9.1.4 SUSPENSION: A Department Head may, for the purpose of discipline, suspend any employee in the City classified service under the Department Head's jurisdiction, with or without compensation for a reasonable period of time not to exceed thirty (30) calendar days in any twelve (12) month period. Prior to issuing the suspension, the Department Head must review all pertinent information specifying and supporting the reasons for discipline, the discipline to be imposed, the effective date, and summarizing the investigation that occurred with the Director of Administration, who must concur with the discipline to be imposed or else the matter shall be reviewed by the Mayor. A copy of such suspension statement shall be provided to the employee.

9.1.5 DISMISSAL: 9.1.5.1 A dismissal is a discharge or termination of employment made as a result of disciplinary action. A Department Head, for the purpose of discipline and with the approval of the Director of Administration, who may require such documentation as he determines is necessary, may dismiss an employee under
the Department Head’s jurisdiction, but no dismissal shall take effect until the Appointing Authority submits to such employee a written statement specifying the reasons therefor and files a copy of such statement, and other required forms, immediately with the Director of Administration.

9.1.5.2 A “dismissal” shall include action taken by the employer to terminate an individual’s employment for misconduct or performance reasons, but shall not include the following personnel actions:
(a) Voluntary quit,
(b) Layoff or failure to be recalled from layoff at the expiration of the recall period,
(c) Retirement
(d) Job abandonment, “no-call, no-show” or other failure to report to work, or
(e) Termination of employment due to medical condition, lack of qualification or license, or other similar inability to perform job duties.

Section 9.2 NOT DISCIPLINE: Discipline does not include the following:
(a) Placing an employee on paid administrative leave pending an internal investigation,
(b) Counselings, meetings, or other pre-disciplinary action,
(c) Actions taken to address work performance, including use of a performance improvement plan or job targets.
(d) Demotion, transfer, or change in job assignment, or
(e) Other personnel actions taken by the employer that are not a form of progressive discipline.

Section 9.3 RIGHT TO GRIEV: An employee who has received discipline in the form of a verbal warning, written warning, suspension, or dismissal may grieve the disciplinary action in accordance with Article 12.

ARTICLE 10 ATTENDANCE AND LEAVE REGULATION

Section 10.1 UNEXCUSED ABSENCE: An employee who expects to be absent from duty shall report that reason to the supervisor prior to the date of absence whenever possible and in no case later than twenty-four (24) hours from the time the employee failed to report for duty. Failure to report such absence within the specified time limit may be grounds for disciplinary action. Failure to provide reasons acceptable to the supervisor or an unreported absence, as defined above, shall be deemed an unexcused absence. All unexcused absences in excess of three (3) continuous working days shall have the effect of termination of employment.

ARTICLE 11 REDUCTION OF PERSONNEL OR STATUS

Section 11.1 AUTHORITY: The authority to lay off personnel shall not be vested in the Committee, but a layoff may occur to a classified employee when determined necessary by the Mayor and/or Common Council due to lack of work or funds or for other causes outside the employee's control and which do not reflect discredit upon the service of the employee. An Appointing Authority shall have the authority to dismiss
employees for just cause in connection with their position, and to accept resignations of employees.

Section 11.2   REDUCTION METHODS:

11.2.1 TRANSFER: Whenever practical, employees scheduled for lay off shall be temporarily transferred to positions in other departments to do work which they are qualified to perform. Such transfer shall not displace any employee with a greater period of continuous service. Any employee having been transferred to avoid lay off shall be given an opportunity to re-transfer back to the former position and department in line with the employee's continuous service when work becomes available in the regular department.

11.2.2 LAY OFF: An Appointing Authority who has been given authority to reduce personnel may lay off such employees as necessary to accomplish the amount of reduction as required. The Appointing Authority, with approval of the Director of Administration after his/her review of performance evaluation records, may lay off employees based upon performance and prior performance evaluations in order to retain the employees that are in the best interest of the City with regard to service delivery. In the event the Director of Administration determines there is not a clear distinction in performance records for employees in the classes of positions affected or in the event there are multiple employees with similar performance records, the employee in the classes of positions who have the least amount of continuous service shall be laid off first in the inverse order of their continuous service. Additionally, an employee with greater continuous service who lacks the necessary ability may be laid off and an employee with lesser continuous service who has the ability may be retained.

Section 11.2.2.1 NOTIFICATION OF LAY OFF: An Appointing Authority shall give written notice to the employee of a planned lay off a minimum of two (2) weeks before the date of lay off, unless otherwise specified by action of the Common Council. Unless the notice of lay off was prepared by the Director of Administration, an Appointing Authority shall submit copies of all notices of lay off to the Director of Administration prior to or on the date of notification of lay off.

In the case of temporary or emergency employment, the Appointing Authority, when appointing such employee, shall specify the approximate expected duration of such employment, if known.

Section 11.2.2.2 “BUMPING RIGHTS” DURING LAYOFF: Employees who are notified that they are to be layed off do not have “bumping rights” (the ability to take the position of another employee of a lower classification and cause that employee to be layed off) unless the Common Council has so directed upon ordering the lay off(s).
Section 11.2.2.3 An employee who has been layed off shall be placed on a Reappointment List, as set forth in Section 6.5, for a period of 1 year.

Section 11.3 RESIGNATION: Employees desiring to resign from the City service in good standing shall submit to their department heads or other Appointing Authority, a written resignation at least fourteen (14) calendar days prior to the date of resignation, unless the department head or Appointing Authority, because of extenuating circumstances, agrees to permit a shorter period of notice. Failure to comply with this provision shall be entered on the service record of the employee and may be cause for denying future employment with the City. The Committee shall be notified immediately of all resignations and employees who fail to resign in good standing.

Section 11.4 DEMOTION – REDUCTION IN STATUS: The Common Council may authorize or direct the demotion of individual positions or classes of positions at their discretion, which demotion may take the form of a downward adjustment of the pay schedule. An affected employee will be provided a minimum of a two week advance notice of the effective date of a demotion. No demotion shall be made as a disciplinary action.

ARTICLE 12 EMPLOYEE GRIEVANCES: This policy is intended to comply with Section 66.0509 Wis. Stats. and applies to all employees in classified service.

Section 12.1 AUTHORITY TO GRIEVE AND STANDARD OF REVIEW: Employees in the City classified service may resort to the grievance procedures prescribed herein when they have a grievance pertaining to discipline or dismissal (termination). Grievances should be evaluated at each step in relation to reasonable standards of job performance and personal and professional conduct, as expressed more fully in Article 9 “Discipline”, and in relation to whether the disciplinary action as taken is reasonable or appropriate, and not arbitrary and capricious given the circumstances associated with the grieved disciplinary action. No punitive action shall be carried out against any employee who files a grievance based simply on the fact that they filed a grievance. A “just cause” standard is not required to be met in the issuance or review of disciplinary action. Employees will be afforded minimal due process, meaning provided a written or verbal notice of the allegation(s) and provided an opportunity to be heard, prior to imposition of discipline and for Steps 1 and 2 of the grievance process. The grievance process does not involve a hearing before a court of law; thus, the rules of evidence will not be followed. The Committee may review the circumstances of the grievance and determine, at their sole discretion and on a case-by-case basis, the extent of due process that shall apply during Step 3.

Section 12.2 FORM OF GRIEVANCE: Any written grievance filed under this policy must contain the following information, except as noted in 12.3.1:
(a) The name and position of the employee filing it.
(b) A statement of the issue involved,
(c) A statement of the relief sought,
(d) The date(s) the event(s) giving rise to the grievance took place,
(e) The identity of the policy, procedure, or rule that is being challenged,
(f) The steps the employee has taken to review the matter, either orally or in writing, with the employee’s supervisor, and
(g) The employee’s signature and date.

Section 12.3 STEPS OF THE GRIEVANCE PROCESS: All grievances must be presented in the order of the provisions of this section, and no grievance shall be entitled to redress unless the grievance is made within ten (10) working days from issuance of the disciplinary action in question. Any grievance or complaint affecting the financial status of any employee on which a grievance has been filed, or which is settled by a City representative, shall not be retroactive to a date prior to the date of filing of the grievance unless the circumstances of the case made it impossible for the aggrieved employee to know that a grievance existed.

12.3.1 GRIEVANCE STEP 1: An employee having a grievance shall first present it to their immediate supervisor in writing, requiring only 12.2 (a), (b), (c), and (g). The grievance must be filed with the immediate supervisor within five (5) business days of receiving the disciplinary action that is the subject of the grievance. The immediate supervisor shall discuss the grievance with the employee and make reasonable effort to effect an immediate mutually satisfactory settlement, not inconsistent with these personnel rules. The immediate supervisor should provide a written response to the grievance within five (5) business days. The immediate supervisor may confer with their Department Head or Human Resources in considering the grievance, which conferral shall not be considered to invalidate the Step 2 process.

12.3.2 GRIEVANCE STEP 2: If the grievance has not been settled to the satisfaction of the employee at Step 1, the aggrieved employee must prepare and file a written grievance with the Department Head within five (5) business days. The Department Head or his/her designee will investigate the facts giving rise to the grievance and inform the employee of his/her decision in writing, if possible within the (10) business days of receipt of the grievance. If the Department Head issued the grievance under question and provided the Step 1 review, then the Director of Administration shall provide the Step 2 review and response. If the immediate supervisor did not provide the written response within five (5) business days, the employee shall submit the Step 2 grievance within fifteen (15) days of receipt of the disciplinary action that is the subject of the grievance. The Department Head shall reply in writing within ten (10) business days.

12.3.3 GRIEVANCE STEP 3: If the grievance has not been settled to the satisfaction of the employee at Step 2, the employee may within five (5) working days from the date of receipt of the notice of disposition of the grievance at Step 2 submit written request to the Human Resources Coordinator for a Committee hearing. The employee may provide supplemental written material to the written grievance submitted for Step 2. The Committee shall schedule a hearing and provide the employee with at least five (5) days advanced notice, which notice period the employee
may waive. Human Resources shall notify all persons concerned of the scheduled date for the hearing, and such notification should be made at least five (5) working days prior to the scheduled date of the hearing. The Committee may table the matter for a subsequent meeting if, in the discretion of the Committee, such action is necessary in order to more thoroughly consider the merits of the grievance. The Committee shall make a decision, which shall be final and binding provided it is within the scope of authority granted them by the Common Council, as addressed below. The Director of Administration, acting on behalf of the Committee, shall state such decision in writing to the employee, with a copy to the employee's Department Head and immediate supervisor, which should happen within ten (10) working days after the hearing. If, in the opinion of the Committee or the Director of Administration, the decision of the Committee has a fiscal impact exceeding budget levels or expectations, the aspect of the decision which is fiscal in nature shall be considered advisory and that portion shall be submitted to the Common Council for consideration. In such instance, the employee is not entitled to a hearing before the Common Council.

Section 12.4 MISCELLANEOUS:
12.4.1 "WITHOUT PAY": Any employee suspended without pay or terminated, remains in such “without pay” status during the grievance process. A grievance decision that returns an employee to at pay status may be eligible for reimbursement of lost base wages during the suspension or termination period, depending upon the nature of the Committee decision.

12.4.2 TIMELINES: If the employee fails to meet the deadlines set forth above, the grievance will be considered resolved. If it is impossible to comply with the deadlines due to meeting notice requirements or meeting preparation, the grievance will be reviewed at the next possible meeting date.

12.4.3 COMPENSATION FOR TIME SPENT: An employee will not be compensated for time spent in processing his/her grievance through the various steps of the grievance procedure, except the reviews at Step 1 and Step 2 may occur during regular business hours and the grievant’s participation at those steps, as requested by the supervisor or Department Head, will be compensated provided the employee remains in a “paid” status.

ARTICLE 13 EMPLOYEE COMPLAINTS: An employee in the classified service may resort to the complaint procedure when they have a complaint or a dispute with respect to the interpretation, meaning, or application of the provisions of the City’s policies or procedures as the complaint may relate to the topics and areas under the Scope of these rules as addressed in Section 1.2.2, except as related to employee safety which is addressed in Article 14 of these rules. The complaint procedure shall be as set forth in the Employee Handbook. The complaint procedure shall be considered a grievance procedure for those such topics and areas. The complaint process does not involve a “just cause” standard, does not require any level of due process, and does not involve a hearing before a court of law; thus, the rules of evidence will not be followed.
ARTICLE 14  WORKPLACE SAFETY:

Section 14.1:  “WORKPLACE SAFETY” DEFINED:  “Workplace Safety” is defined as conditions of employment affecting an employee’s physical health or safety, the safe operation of workplace equipment and tools, safety of the physical work environment, personal protective equipment, workplace violence, and training related to the same.

Section 14.2:  EXPANDED SCOPE OF APPLICATION:  Article 14 shall be applicable to all classified employees and to all Police and Fire Department employees falling under 62.13 of the Wisconsin Statutes.

Section 14.3:  STEPS OF THE WORKPLACE SAFETY REVIEW PROCESS:

14.3.1 SAFETY COMMITTEES:  Each Department, or multiple Departments working together, may establish a “Safety Committee,” or such similar group, for the purpose of addressing safety in the workplace in order to create a forum for employee concerns, issues, and desires relative to workplace safety.  Safety Committee procedures and actions shall be in accordance with the processes set up by the Department(s), with guidance from Human Resources.

14.3.2 STEP 1 WORKPLACE SAFETY CONCERN:  If a classified employee has a workplace safety concern that is not being resolved at a “Safety Committee”, the employee may submit their concern in writing to their immediate supervisor.  The immediate supervisor shall discuss the workplace safety concern with the employee and make a reasonable effort to effect an immediate mutually satisfactory settlement.  The immediate supervisor should provide a written response to the complainant within (5) business days.  If the Department Head is the immediate supervisor, the employee may begin at Step 2.

14.3.3 STEP 2 WORKPLACE SAFETY CONCERN:  If the complaint has not been settled to the satisfaction of the employee at Step 1, the employee must prepare, sign, and file a written statement detailing the workplace safety concern with the Department Head.  The Department Head or his/her designee will investigate the workplace safety concern and inform the employee of his/her decision in writing.

14.3.4. STEP 3 WORKPLACE SAFETY CONCERN:  If the complaint has not been settled to the satisfaction of the employee at Step 2, the employee may request in writing to the Director of Administration to present the workplace safety concern to the Director of Administration.  The Director of Administration shall investigate the workplace safety concern.  If the Director of Administration agrees with the Department Head, then the Director of Administration shall inform the employee of his/her decision in writing, which decision shall be final.  If the Director of Administration does not agree with the Department Head relative to the appropriate disposition of the workplace safety concern, the Department Head and Director of Administration shall
present the two perspectives on the matter to the Mayor, whose decision shall be final.

Section 14.4: FISCAL CONSTRAINTS OF DECISIONS: Determinations issued in the steps of the Workplace Safety Review Process may only be carried out if adequate budget authority is available and if implementation is within the scope of authority of the individual rendering the determination.

Section 14.5: FUTURE CONSIDERATIONS ON WORKPLACE SAFETY: As working conditions and equipment and service demands continually are revised, determination issued during the Workplace Safety Review Process should be considered temporary in nature. Workplace Safety Review Process determinations should be considered non-binding and at the sole discretion of the City, because operational, budgetary, managerial, and program matters may affect the situation that initiated the concern or the service level as impacted. If a determination made hereunder is effectively voided or altered by subsequent action of the City, an employee may submit the same or a similar workplace safety concern for review.

ARTICLE 15 CONSTRUCTION OF THESE RULES

Section 15.1 LEGALITY OF RULES: The provisions of these rules are severable and if any section or sections, paragraph or paragraphs, sentence or sentences, clause or clauses, word or words, of these rules shall be held to be unconstitutional or invalid, by a valid judgment or decree of any court or competent jurisdiction, such particular section or sections, paragraph or paragraphs, sentence or sentences, clause or clauses, word or words, may be rejected or deleted without affecting, impairing, or invalidating the remaining sections, paragraphs, clauses, or words of these rules. It is hereby declared that it is the intent of the Common Council that these rules and each word, clause, sentence, paragraph, and section thereof would have been enacted, had such unconstitutional or invalid section or sections, paragraph or paragraphs, sentence or sentences, clause or clauses, word or words, not have been included herein.

Section 15.2 OVERLAPPING PROVISIONS BETWEEN THE CIVIL SERVICE SYSTEM PERSONNEL ADMINISTRATION PROGRAM AND CITY OF FRANKLIN LABOR CONTRACTS: The City's policy is to give interpretation priority to labor contract language over language found in these Civil Service System Personnel Administration Program when the purpose and intent of the provisions are the same. The Civil Service System Personnel Administration Program will be followed when no related language is found in the respective union's labor contract or it is silent on the topic.