

<p style="text-align: center;"><b>APPROVAL</b></p> <p style="text-align: center;"><i>Slw</i> </p>	<p style="text-align: center;"><b>REQUEST FOR COUNCIL ACTION</b></p>	<p style="text-align: center;"><b>MEETING DATE</b></p> <p style="text-align: center;"><b>10/07/2014</b></p>
<p style="text-align: center;"><b>REPORTS &amp; RECOMMENDATIONS</b></p>	<p style="text-align: center;"><b>The 2014 Senior Dining ADA Fire Alarm CDBG Project: Authorization to hire a design engineer, to accept a contract amendment for additional CDBG funding, to publish a related request for proposals, and to execute a project extension as needed</b></p>	<p style="text-align: center;"><b>ITEM NUMBER</b></p> <p style="text-align: center;"><i>G.6.</i></p>

Background: In 2010 the City let a contract for an ADA Compliant City Hall Fire Alarm System Project that was CDBG funded. That project completed Phase I of the project, the "First Floor West" and "Basement West." The approved "2014 Senior Dining ADA Fire Alarm Project," a 2014 CDBG project, was to address the "First Floor East," generally the Community Room/Senior Dining Facility and Building Inspection, and the "Basement East," generally the ground floor below the "First Floor East" including the hallway leading to the elevator. Together this would bring all components of the City's system up to code in one, single system, with one panel with direct notification to dispatch. (This is important, in part, because the old system no longer has repair parts available.) A CDBG application for \$67,000 was submitted for 2014; however, CDBG funding of only \$45,538 was initially awarded.

Update: The County has just indicated an ability to provide an additional \$11,384 as a "non-substantial amendment" to the project contract. Additionally, the S. 51st Street Sidewalk Project anticipates an additional cash reimbursement to the City for DPW staff and equipment charges of approximately \$14,500, which had not been anticipated. As such, adequate resources should be available to complete the project, but the City must move forward promptly and contract for the project prior to the end of the year in order to ensure retaining the base award and the additional amendment dollars. Construction will not likely be completed prior to the end of the year, but the County has indicated a contract extension would be provided as long as the contract is awarded and encumbered prior to year's end.

Going Forward: The following actions and authorities are needed to attempt to complete the anticipated, necessary steps for the project.

1. Authorize the Director of Administration to execute a professional services agreement with Czarnecki Engineering for project design and monitoring. (Czarnecki's involvement in the first project makes them the obvious choice as they would effectively be updating and double-checking their prior design work. They are familiar with CDBG requirements and the current status of our system. They were responsive and flexible the last time we used them. A contract for \$3,200 is attached.)
2. Authorize publication of a Request for Proposals to receive bids on the 2014 Senior Dining ADA Fire Alarm Project. (The bids would then be brought back to the Common Council for approval prior to the project commencing. As with Phase 1, if a bid is awarded by the Common Council, the Director of Administration would also engage Simplex, the equipment manufacturer for our new system, to prepare certain state required plans, which adds about \$1,500 to the total project.)

3. Authorize the Director of Administration to execute a non-substantial CDBG contract amendment for additional CDBG project funding, estimated at \$11,384.
4. Authorize the Director of Administration to execute a CDBG contract extension, as needed and provided by the County, to provide for the construction and installation period for the 2014 Senior Dining ADA Fire Alarm Project.

### **COUNCIL ACTION REQUESTED**

Motion to authorize, as detailed in the Council Action Sheet provided, the Director of Administration to execute a professional services agreement with Czarnecki Engineering, a contract amendment for additional CDBG funding, and a contract amendment for a project extension, all for the 2014 Senior Dining ADA Fire Alarm CDBG Project, and to authorize publication of a request for proposals for the project, with a recommendation thereon to be returned to the Common Council.



1121 MARLIN COURT – WAUKESHA, WISCONSIN 53186  
PHONE 262-513-2020 – FAX 262-513-2023 – WEBSITE [www.czeng.com](http://www.czeng.com)

October 3, 2014

Mark Luberda  
City of Franklin  
8901 West Drexel Avenue  
Franklin, Wisconsin 53132

Re: **Franklin City Hall - Fire Alarm Replacement**  
Electrical Work Fee Proposal

Dear Mark:

Thank you for considering Czarnecki Engineering, Inc. for this project. We understand this project will consist of the final phase of the installation of Simplex-Grinnell fire alarm system into the east-side of the building, basement and first floor. Based upon the information received from you thus far via email, we propose the following.

#### **BASIC SERVICES**

1. Determine project requirements from preliminary drawings, written criteria, and meetings. We will attend a kick-off meeting under BASIC SERVICES.
2. Prepare electrical drawings using AutoCAD Release 2013 from .dwg files based on floor plans from previous project. Design work done by our office will include:

#### **SCHEMATIC DESIGN**

- Provide one (1) site visit to document the existing conditions affected by the new design and review the findings with your office.
- Update AutoCAD files to reflect current wall/room conditions.

#### **DESIGN DEVELOPMENT**

- Provide electrical demolition/existing drawings based on our site observations.
- Design the extension of the existing Simplex-Grinnell fire alarm system and provide a new design layout.

#### **CONSTRUCTION DOCUMENTS**

- Attend one meeting at your office to review final locations.
- Provide a complete set of bidding electrical drawing the remaining fire alarm system design.
- Electrical specifications
- Provide twelve (12) sets of prints and specifications for your use in assembling for electrical contractor distribution.

CONTRACT ADMINISTRATION

- o Answer questions during bidding
- o Issue clarifications/addenda(s) as required
- o Review shop drawing submittals
- o One rough-in inspection
- o One final inspection

**FEES**

We would provide BASIC SERVICES for fixed fees as follow:

Services (BASIC) listed above as items 1 and 2:

**Three Thousand Two Hundred Dollars (\$3,200.00)**

**SUPPLEMENTAL SERVICES**

- o Preparation of record drawings.
- o Revisions to completed drawings **after** bidding for the purpose of value engineering or owner/architectural changes to the project to reduce cost (Hourly).
- o Expanded scope of work beyond what was given for the purpose of this proposal. (Hourly)

We would provide SUPPLEMENTAL SERVICES where authorized on a time and materials.

Our hourly rates are as follows:

Principal Rate:	\$100.00 per hour
Design Technician:	\$65.00 per hour

All accounts are payable 30 days from the billing date.

If this is acceptable, please sign and return one copy of this proposal. Thank you for the opportunity to be of service.

Very truly yours,

*Erv Stern*

Principal

---

Accepted By

Date

APPROVAL <i>slw</i>	REQUEST FOR COUNCIL ACTION	MTG. DATE 10/7/14
Reports & Recommendations	<b>SUBJECT:</b> A resolution awarding a contract to Miron Construction Co., Inc. in the amount of \$2,746,260 for the construction of a Water and Wastewater Operation and Maintenance Facility	<b>ITEM NO.</b> <i>6.7.</i>

**BACKGROUND**

Pursuant to the direction of the Common Council at their regular meeting of August 19, 2014, staff was directed to receive bids for the construction of a Water and Wastewater Operation and Maintenance Facility. This facility to be an expansion of the existing facility at 5550 W. Airways Avenue. Bids were received from six (6) qualified building contractors. The low bid received was from Miron Construction Co., Inc., Menasha, Wisconsin in the amount of \$2,746,620.

**ANALYSIS**

The bids received were competitive and believed to be in part due to a schedule which gives the contractors flexibility in scheduling and a reasonable completion date in August, 2015.

A letter of review and recommendation by the project designer is included herewith.

Staff is in agreement with the designer's recommendation to award the project to Miron Construction Co., Inc. in the amount of \$2,746,620. Also in agreement with not applying the \$12,900 alternate deduct.

**OPTIONS**

Award contract to Miron Construction Co., Inc. (keeping with project schedule).

or

Request more information.

or

Reject bids.

**FISCAL NOTE**

A project cost overview has been developed is submitted as a separate council action.

Financing of this project is half by Sewer Department and half from Water Utility resources.

**RECOMMENDATION**

Motion to adopt Resolution No. 2014-\_\_\_\_\_ a resolution awarding a contract to Miron Construction Co., Inc. in the amount of \$2,746,260 for the construction of a Water and Wastewater Operation and Maintenance Facility.

RJR/sg

STATE OF WISCONSIN: CITY OF FRANKLIN: MILWAUKEE COUNTY  
RESOLUTION NO. 2014 - \_\_\_\_\_

A RESOLUTION AWARDING A CONTRACT TO MIRON CONSTRUCTION CO., INC.  
IN THE AMOUNT OF \$2,746,620 FOR THE CONSTRUCTION OF A WATER  
AND WASTEWATER OPERATION AND MAINTENANCE FACILITY

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WHEREAS, the City of Franklin Water and Wastewater Operation Maintenance Facility, located at 5550 W. Airways Drive, requires significant addition to accommodate staff and equipment; and

WHEREAS, plans have been developed based on space needs analysis and expansion of existing building on existing site; and

WHEREAS, the Common Council at their meeting of August 19, 2014 authorized the bidding of the building; and

WHEREAS, the City of Franklin advertised and received six (6) bids for the construction of a Water and Wastewater Operation and Maintenance Facility; and

WHEREAS, the low bidder was Miron Construction Co., Inc. with a bid of \$2,746,620; and

WHEREAS, Miron Construction Co., Inc. of Menasha, Wisconsin is a qualified building contractor

NOW, THEREFORE, BE IT RESOLVED by the Mayor and Common Council of the City of Franklin that Miron Construction Co., Inc. be awarded the contract for the construction of a Water and Wastewater Operation and Maintenance Facility based on their low bid of \$2,746,620 in accordance with bid specifications and plans.

BE IT FURTHER RESOLVED that the Mayor and City Clerk are authorized and directed to execute a contract with Miron Construction Co., Inc. on behalf of the City.

Introduced at a regular meeting of the Common Council of the City of Franklin this \_\_\_\_\_ day of \_\_\_\_\_, 2014 by Alderman \_\_\_\_\_.

Passed and adopted at a regular meeting of the Common Council of the City of Franklin this \_\_\_\_\_ day of \_\_\_\_\_, 2014.

APPROVED:

\_\_\_\_\_  
Stephen R. Olson, Mayor

ATTEST:

\_\_\_\_\_  
Sandra L. Wesolowski, City Clerk  
AYES \_\_\_\_\_ NOES \_\_\_\_\_ ABSENT \_\_\_\_\_



collaborate / formulate / innovate

September 24, 2014

Mr. Ron Romeis, P.E.  
City Engineer  
9229 West Loomis Road  
Franklin, Wisconsin 53132-0160

SUBJECT: Water and Wastewater Operations and Maintenance Facility  
Project No. 2014-004  
Recommendation of Contract Award

Dear Mr. Romeis:

GRAEF attended the bid opening for the Water and Wastewater Operations and Maintenance Facility project on September 23, 2014. Six bids were received for the project. The bids ranged from \$2,746,620 to \$3,138,690. A tabulation of the bids is enclosed with this letter.

The low bidder for this work was Miron Construction Co., Inc. with a bid of \$2,746,620.00 for base bid No. 1 and a deduct of \$12,900.00 for Alternate Bid No. 1. Alternate No.1 included the elimination of six skylights. This was 0.7% lower than the second bid received by Scherrer Construction of \$2,765,998, and 8.4% lower than the engineer's opinion of probable cost of \$3,000,000.

Due to energy savings from the natural lighting of the skylights, we would not recommend accepting alternate bid. No. 1.

In summary, we recommend that the Water & Wastewater Operations and Maintenance Facility be awarded to Miron Construction Co., Inc. for an amount of \$2,746,620.00. Should you have any further questions, please feel free to contact us.

Sincerely,

A handwritten signature in blue ink that reads "Michael N. Paulos".

Michael N. Paulos, P.E., LEED AP, CDT  
Principal

MNP:mp  
L:\Jobs2013\20140168.02\Project\_Information\Correspondence\letter

cc: Dave Voss – Miron Construction Co., Inc.



APPROVAL <i>Slw</i>	REQUEST FOR COUNCIL ACTION	MTG. DATE 10/7/14
Reports & Recommendations	<b>SUBJECT:</b> Resolution for approval of relocation costs for We Energies facilities to relocate electric and gas service at 5550 W. Airways Avenue in the amount of \$10,559.75	<b>ITEM NO.</b> <i>G.8.</i>

**BACKGROUND**

The City has notified We Energies of the need to relocate its electric and gas services to accommodate the construction of proposed Water and Wastewater Operations and Maintenance Facility at 5550 W. Airways Avenue.

In that these facilities were placed in private easement previously acquired by We Energies, the cost of relocation believed to be that of the City's.

**ANALYSIS**

No alternative given that the building is central to site. The existing easement to be abandoned and new easement accepted. The cost as indicated in the We Energies invoices as electric relocation in the amount of \$7,036.23 and natural gas relocation in the amount of \$3,523.52, totalling \$10,559.75.

**OPTIONS**

No alternatives to this action. Decision is required to proceed with building construction in the fall of 2014.

**FISCAL NOTE**

This cost will be included in the Water and Wastewater Operations and Maintenance Facility project cost.

This relocation was presented to Water Commission and approved of 50% of the water portion of this cost.

**RECOMMENDATION**

Motion to adopt Resolution No. 2014-\_\_\_\_\_ a resolution authorizing the City Officials to approve payment of relocation costs to We Energies for relocation of electric and gas service at 5550 W. Airways Avenue in the amount of \$10,559.75.

Also to abandon existing and accept new easements.

RJR/sg  
Encl.

STATE OF WISCONSIN: CITY OF FRANKLIN: MILWAUKEE COUNTY  
RESOLUTION NO. 2014 - \_\_\_\_\_

RESOLUTION FOR APPROVAL OF RELOCATION COSTS FOR WE ENERGIES FACILITIES  
TO RELOCATE ELECTRIC AND GAS  
SERVICE AT 5550 W. AIRWAYS AVENUE IN THE AMOUNT OF \$10,559.75

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WHEREAS, the City of Franklin is constructing a Water and Wastewater Operation and Maintenance Facility at 5550 W. Airways Avenue; and

WHEREAS, this construction has required We Energies to relocate its existing electric and gas service facilities; and

WHEREAS, the relocation costs total \$10,559.75.

NOW, THEREFORE, BE IT RESOLVED by the Mayor and the Common Council of the City of Franklin that We Energies be paid a charge totaling \$10,559.75 for relocation of electric and gas service facilities at 5550 W. Airways Avenue in accordance with its request for reimbursement.

BE IT FURTHER RESOLVED that City Officials be authorized and directed to execute the agreement and resulting payment.

Introduced at a regular meeting of the Common Council of the City of Franklin this \_\_\_\_\_ day of \_\_\_\_\_, 2014 by Alderman \_\_\_\_\_.

Passed and adopted at a regular meeting of the Common Council of the City of Franklin this \_\_\_\_\_ day of \_\_\_\_\_, 2014.

APPROVED:

\_\_\_\_\_  
Stephen R. Olson, Mayor

ATTEST:

\_\_\_\_\_  
Sandra L. Wesolowski, City Clerk

AYES \_\_\_\_\_ NOES \_\_\_\_\_ ABSENT \_\_\_\_\_

**DISTRIBUTION EASEMENT  
ELECTRIC AND GAS**

Document Number

WR NO.      **3599212 & 3599221**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, **CITY OF FRANKLIN, a municipal corporation**, hereinafter referred to as "Grantor", owner of land, hereby grants and warrants to **WISCONSIN ELECTRIC POWER COMPANY, a Wisconsin corporation doing business as We Energies**, hereinafter referred to as "Grantee", a permanent easement upon, within, beneath, over and across a part of Grantor's land hereinafter referred to as "easement area".

The easement area is described as strips of land of various widths being a part of **Parcel 1 of Certified Survey Map No. 5511 and Parcel 2 of Certified Survey Map No. 6167**, all in the **Northwest 1/4 of Section 26, Township 5 North, Range 21 East**, City of Franklin, Milwaukee County, Wisconsin.

The location of the easement area with respect to Grantor's land is as shown on the attached drawing, marked Exhibit "A", and made a part of this document.

RETURN TO:  
We Energies  
PROPERTY RIGHTS & INFORMATION GROUP  
231 W. MICHIGAN STREET, ROOM A252  
PO BOX 2046  
MILWAUKEE, WI 53201-2046

899-9990-068  
(Parcel Identification Number)

1. **Purpose:** The purpose of this easement is to install, operate, maintain repair, replace and extend underground utility facilities, conduit and cables, electric pad-mounted transformers, manhole, electric pad-mounted vacuum fault interrupter, concrete slabs, power pedestals, riser equipment, terminals and markers, together with all necessary and appurtenant equipment under and above ground, as well as pipeline or pipelines with valves, tieovers, main laterals and service laterals, together with all necessary and appurtenant equipment under and above ground, including cathodic protection apparatus used for corrosion control, as deemed necessary by Grantee, for the transmission and distribution of electric energy, signals, television and telecommunications services; natural gas and all by-products thereof, or any liquids, gases, or substances which can or may be transported or distributed through a pipeline, including the customary growth and replacement thereof. Trees, bushes, branches and roots may be trimmed or removed so as not to interfere with Grantee's use of the easement area.
2. **Access:** Grantee or its agents shall have the right to enter and use Grantor's land with full right of ingress and egress over and across the easement area and adjacent lands of Grantor for the purpose of exercising its rights in the easement area.
3. **Buildings or Other Structures:** Grantor agrees that no structures will be erected in the easement area or in such close proximity to Grantee's facilities as to create a violation of all applicable State of Wisconsin electric and gas codes or any amendments thereto.
4. **Elevation:** Grantor agrees that the elevation of the ground surface existing as of the date of the initial installation of Grantee's facilities within the easement area will not be altered by more than 4 inches without the written consent of Grantee.
5. **Restoration:** Grantee agrees to restore or cause to have restored Grantor's land, as nearly as is reasonably possible, to the condition existing prior to such entry by Grantee or its agents. This restoration, however, does not apply to the initial installation of said facilities or any trees, bushes, branches or roots which may interfere with Grantee's use of the easement area.
6. **Exercise of Rights:** It is agreed that the complete exercise of the rights herein conveyed may be gradual and not fully exercised until some time in the future, and that none of the rights herein granted shall be lost by non-use.
7. **Binding on Future Parties:** This grant of easement shall be binding upon and inure to the benefit of the heirs, successors and assigns of all parties hereto.

**Grantor:**

**CITY OF FRANKLIN, a municipal corporation**

By \_\_\_\_\_

(Print name and title): \_\_\_\_\_

By \_\_\_\_\_

(Print name and title): \_\_\_\_\_

Personally came before me in \_\_\_\_\_ County, Wisconsin on \_\_\_\_\_,

the above named \_\_\_\_\_, the \_\_\_\_\_

and \_\_\_\_\_, the \_\_\_\_\_

of the CITY OF FRANKLIN, a municipal corporation, for the municipal corporation, by its authority, and pursuant to Resolution File

No. \_\_\_\_\_ adopted by its \_\_\_\_\_ on \_\_\_\_\_.

\_\_\_\_\_  
Notary Public Signature, State of Wisconsin

\_\_\_\_\_  
Notary Public Name (Typed or Printed)

(NOTARY STAMP/SEAL)

My commission expires \_\_\_\_\_

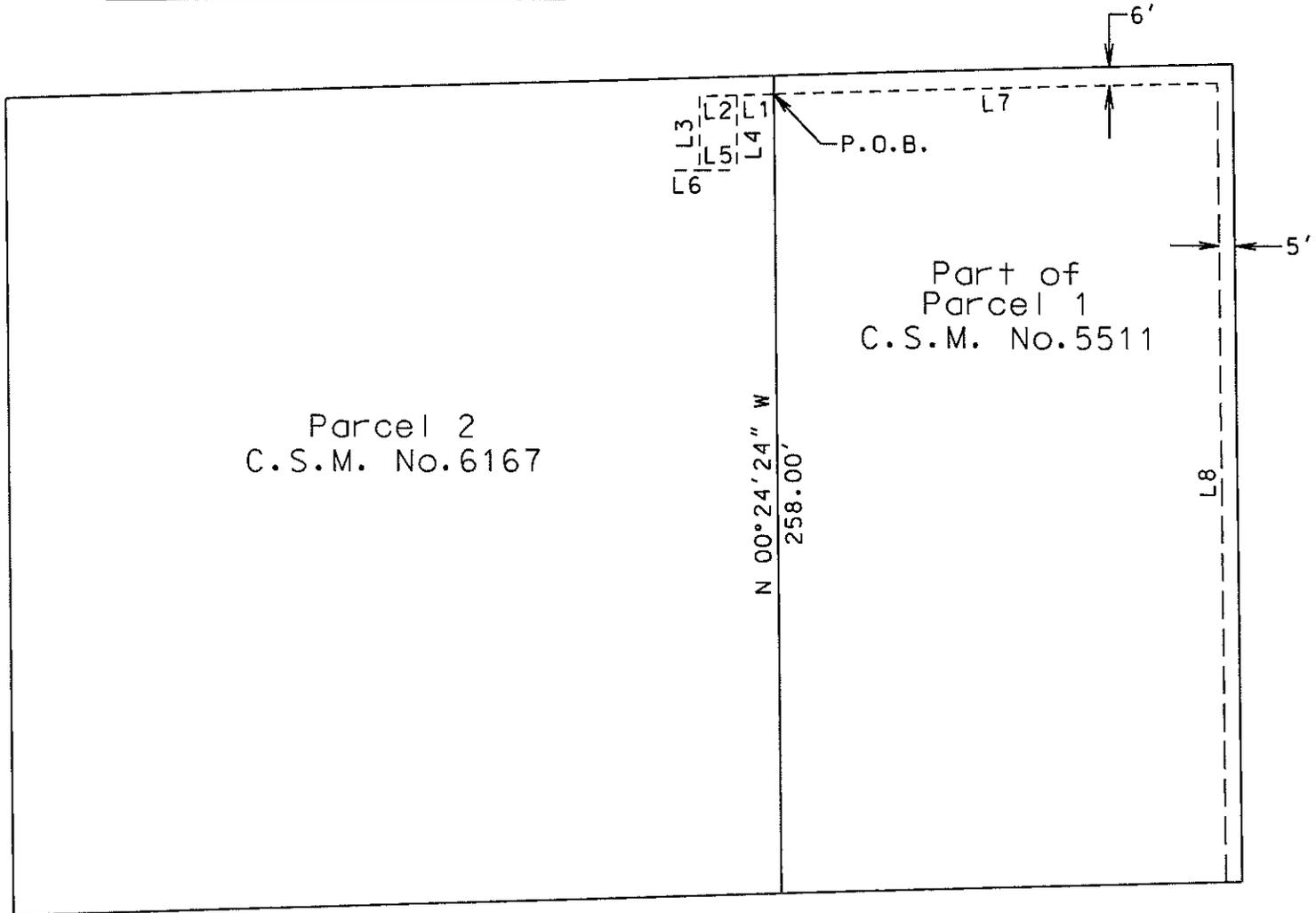
This instrument was drafted by Tonya Peters on behalf of Wisconsin Electric Power Company, PO Box 2046, Milwaukee, Wisconsin 53201-2046.



C/L 12' wide We-Energies Esmt.		
NUMBER	BEARING	DISTANCE
L1	S 88° 22' 14" W	12.10'
L2	S 88° 22' 14" W	12.22'
L3	SOUTH	23.87'
L4	SOUTH	24.22'
L5	WEST	12.21'
L6	WEST	8.02'
L7	N 88° 22' 14" E	144.80'

C/L 10' wide We-Energies Esmt.		
NUMBER	BEARING	DISTANCE
L8	S 00° 30' 30" E	257.99'

----- = C/L 12' wide We-Energies Easement  
 - - - - - = C/L 10' wide We-Energies Easement



West Airways Avenue

EXHIBIT "A"



N.W. 1/4 Sec. 26-5-21  
 City of Franklin  
 Milwaukee County, WI

DRAWN BY: T. Turner
DATE: 4/17/14
WR NUMBER: 3599212 & 3599221
REVISIONS: _____



September 11, 2014

City of Franklin  
Ronnie Asunsion  
9929 W Loomis Rd  
Franklin, WI 53132

Subject: Invoice for natural gas facility relocation work at 5550 W Airways Ave, Franklin WR 3599221

Dear Customer:

Thank you for contacting us about your natural gas facility relocation request at the above address.

Please review the following cost information:

- The cost for your natural gas facility relocation is: \$3,523.52. This amount is valid for 90 days from the date of this letter.
- Additional charges will apply if installation is needed from Dec.1 through March 31.
- The cost applies only to our natural gas facilities. If any other utilities need to be relocated, please contact the appropriate providers.
- Additional charges may apply if unusual or unforeseen conditions are encountered during the relocation.
- Any excavated area will be backfilled with existing soil at no cost to you. Surface restoration in the public right-of-way will be completed according to municipal guidelines. Additional restoration work you may have requested is included in your installation cost.

Please sign and return this letter, sketch and your payment in the envelope provided. Payment may also be made online by visiting [www.we-energies.com/payconstructionbill](http://www.we-energies.com/payconstructionbill) or by phone at 855-570-0998. Your site is ready for service relocation when all items on the ready for service card are completed. We will schedule the relocation when we have your completed card.

If you have questions, please call me. I look forward to working with you to make your project a success.

Sincerely,

*Geri Gaglione / PMS*

Geri Gaglione  
Energy Services Consultant  
Phone #: (414) 423-5008  
Fax #: (414) 423-0561

Accepted By: \_\_\_\_\_ Date: \_\_\_/\_\_\_/\_\_\_

Title: \_\_\_\_\_

Work Request #: 3599211

Enclosure

**RETURN THIS COPY**  
**GAS**





we energies

4800 W. Rawson Ave.  
Franklin, WI 53132-8707  
www.we-energies.com

September 11, 2014

City of Franklin  
Ronnie Asunsion  
9229 W Loomis Rd  
Franklin, WI 53132

**REVISED**

Subject: Invoice for electric facility relocation work at 5550 W Airways Ave, Franklin WR 3599212

Dear Customer:

Thank you for contacting us about your electric facility relocation request at the above address.

Please review the following cost information:

- The cost for your electric facility relocation is: \$7,036.23. This amount is valid for 90 days from the date of this letter.
- Additional charges will apply if installation is needed from Dec.1 through March 31.
- The cost applies only to our electric facilities. If any other utilities need to be relocated, please contact the appropriate providers.
- Additional charges may apply if unusual or unforeseen conditions are encountered during the relocation.
- Any excavated area will be backfilled with existing soil at no cost to you. Surface restoration in the public right-of-way will be completed according to municipal guidelines. Additional restoration work you may have requested is included in your installation cost.
- If another quote is requested within 12 months, there may be a charge for preparing the new quote.

Please sign and return this letter, sketch and your payment in the envelope provided. Payment may also be made online by visiting [www.we-energies.com/payconstructionbill](http://www.we-energies.com/payconstructionbill) or by phone at 855-570-0998. Your site is ready for service relocation when all items on the ready for service card are completed. We will schedule the relocation when we have the completed card and the municipal inspection or affidavit (if applicable).

If you have questions, please call me. I look forward to working with you to make your project a success.

Sincerely,

*Geri Gaglione /PAS*

Geri Gaglione  
Energy Services Consultant  
Phone #: (414) 423-5008  
Fax #: (414) 4230561

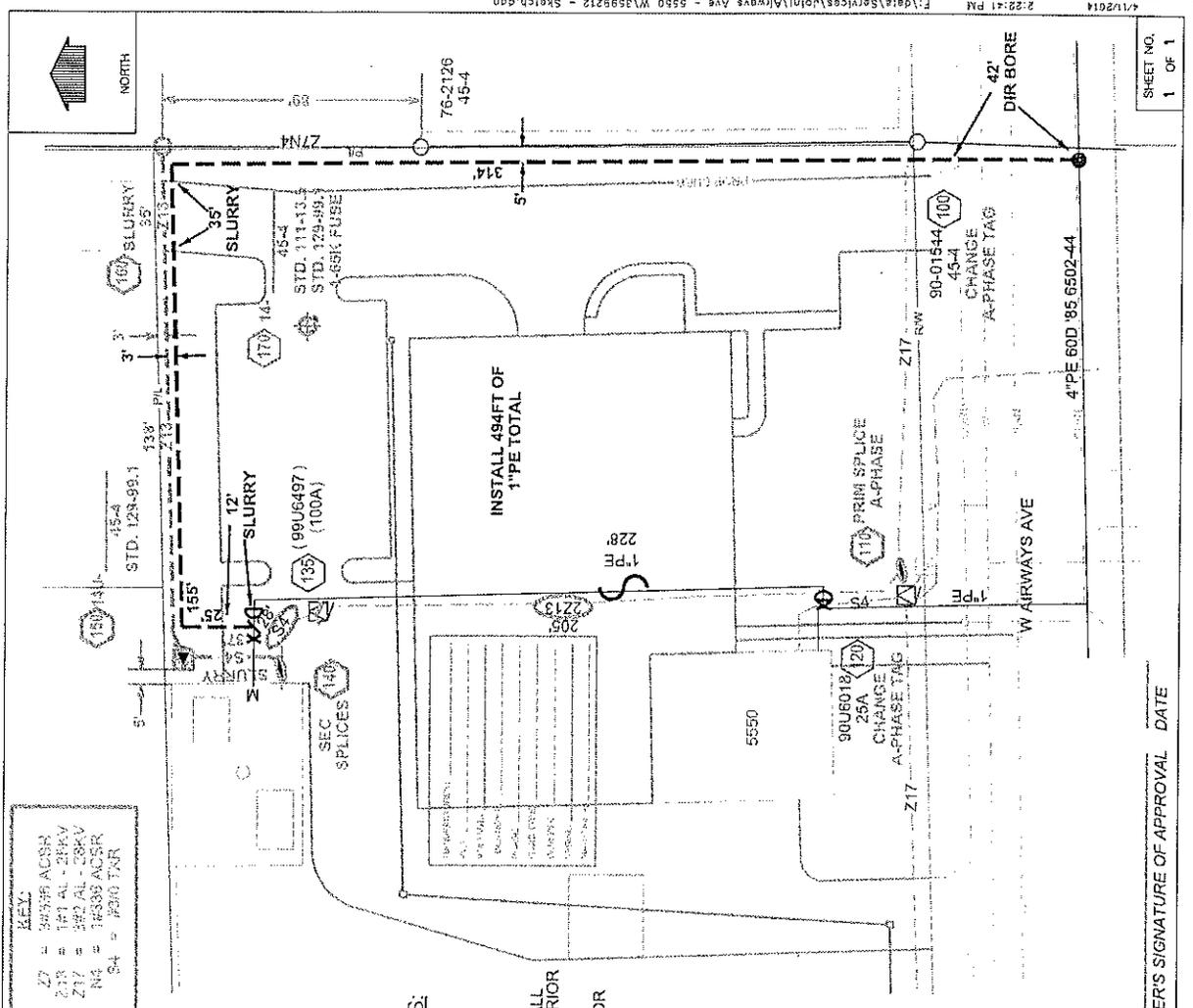
Accepted By: \_\_\_\_\_ Date: \_\_\_/\_\_\_/\_\_\_

Title: \_\_\_\_\_

Work Request #: 3599212

Enclosure

**RETURN THIS COPY**  
*Electric*



**COMMON INFORMATION**

STAKING REQUIREMENTS:  SURVEYOR  DESIGNER  STAKED  NOT NEEDED

RESTORE PRIVATE PROPERTY:  WE ENERGIES  CUSTOMER

WORK IS APPROX. 728 FT. DIRECTION OF CL OF S. 58TH ST. NEAREST CROSS STREET (ALSO FOR GAS SERVICE TAG)

**ELECTRIC INFORMATION**

OPER MAP #: 4176-7448-04 FEEDERLINE #: Z 77871

CATY JOINT USE #: \_\_\_\_\_ TEL JOINT USE #: \_\_\_\_\_

**PROPOSED GAS SERVICE INFORMATION**

MTR SIZE: \_\_\_\_\_ MTR TYPE:  BFV  RELIGHT

SERV PIPE SIZE: 1" \_\_\_\_\_ OF \_\_\_\_\_ CORNER  CURB VLV  REIN PIPING

MTR LOC: \_\_\_\_\_ FT. \_\_\_\_\_ CONSTRUCTION TYPE: \_\_\_\_\_

**WE Energies** ELEC WR **OB 3599212** GAS WR **OB 3599221**

CITY / TOWN / VILLAGE: C - FRANKLIN  
 CUST/PROJ NAME: C - FRANKLIN  
 PROJECT LOCATION: 5550 W AIRWAYS AVE

WORK DESCRIPTION: RELOCATE EXISTING GAS AND ELECTRIC FACILITIES

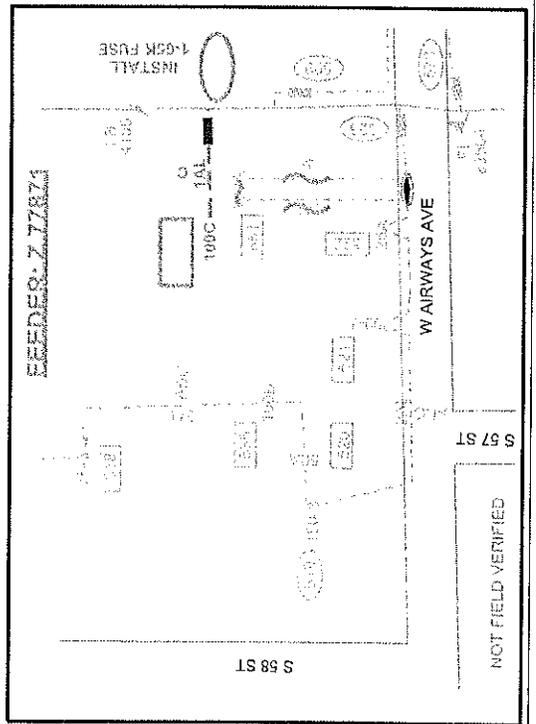
PREPARED BY: JOHN D. HARENKE  
 E-MAIL: john.harenke@we-energies.com  
 OFFICE #: 414-423-5026 CELL #: 282-689-0466  
 PAGER #: \_\_\_\_\_ IO #: 75687/RS202610  
 PROJECT ID: \_\_\_\_\_ CCS #: \_\_\_\_\_ DATE PREPARED: 04/09/14 DATE REVISED: \_\_\_\_\_

**JOB INFO:**  
 SECTION / TOWN / RANGE: NW1/4 SEC26, T 5N, R21E  
 SITE VISIT COMPLETED BY: JOHN D. HARENKE 414-423-5026  
 JOB OWNER: GERRI GAGLIONE 414-423-4008

**MAIN CONTACTS**

CONTRACTOR/BUILDER:  
 PLUMBER/HVAC:  
 ELECTRICIAN:  
 CUSTOMER: RONNIE ASCUNSION 414-425-7510

**CONTINGENCIES & COMMENTS:**  
 DIGGERS HOTLINE DIG REQUIRED.  
 WE ENERGIES WILL NOT RESTORE.  
 WE ENERGIES WILL NOT HAUL SPOIL.  
 CUSTOMER IS REQUIRED TO LOCATE ALL PRIVATE UNDERGROUND FACILITIES PRIOR TO INSTALLATION.  
 WE ENERGIES IS NOT RESPONSIBLE FOR ROOT DAMAGE.



CUSTOMER'S SIGNATURE OF APPROVAL \_\_\_\_\_ DATE \_\_\_\_\_

SHEET NO. 1 OF 1

RETURN THIS COPY

Electric

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<b>APPROVAL</b> <i>Slw</i>	<b>REQUEST FOR COUNCIL ACTION</b>	<b>MTG. DATE</b> 10/7/14
Reports & Recommendations	<b>SUBJECT:</b> Authorization to enter into agreement with Armor Shield for the preparation and repainting of above ground fuel storage tank in DPW yard, 7979 W. Ryan Road.	<b>ITEM NO.</b> 6.9.

**BACKGROUND**

At the Common Council of September 23, 2014 staff requested authorization to enter into agreement with Armor Shield, the qualified low quoter for the repainting of two (2) 10,000 gallon fuel storage tanks in the DPW yard. The quoted price being \$9,350.

Prior to giving this authorization it was recommended that this quote be sent through process.

**ANALYSIS**

The Board of Public Works met at a special meeting on September 25, 2014. The Board reviewed the three quotes received and authorized the publishing of a Class I Notice of Intent to Enter into Agreement with Armor Shield for the repainting of the above ground fuel storage tanks.

The notice was published in the local paper (The Now section of the Journal Sentinel), for comments/concerns.

**OPTIONS**

Authorize entering into agreement

Or

Deny authorization transfer funds and rebid in 2015

**FISCAL NOTE**

Funds to perform this work were included in 2014 Public Works Capital budget.

**RECOMMENDATION**

Motion to authorize staff to enter into an agreement with Armor Shield for the repainting of two (2) 10,000 gallon fuel storage tanks, not to exceed \$9,350.

RJR/db  
Encl.

**CITY OF FRANKLIN  
OFFICIAL NOTICE of PROPOSED  
REPAINTING of ABOVE GROUND  
FUEL STORAGE TANKS**

Notice is hereby given that the City of Franklin will be accepting a proposal from Armor Shield of Slinger, WI dated April 20, 2014 to prepare the surface and then paint two (2) 10,000 gallon fuel tanks located at the DPW yard, 7979 W. Ryan Road.

Included in the proposal is the necessary supervision, labor, equipment and materials to perform the work.

The total price of this preparation and painting is \$9,350.00.

Questions regarding this project can be directed to Acting City Engineer, Ronald J. Romeis, at (414) 425-7510.

RJR/db

APPROVAL <i>Slw</i>	REQUEST FOR COUNCIL ACTION	MEETING DATE September 23, 2014
REPORTS & RECOMMENDATIONS	Authorization To Enter An Agreement For The Repainting Of Above Ground Fuel Storage Tanks	ITEM NUMBER <i>G.10.</i>

Staff requested proposals from three (3) contractors to prep and refinish the two (2) above ground fuel storage tanks at the Public Works Yard. Three contractors inspected the tanks and made recommendations on how to proceed. The three recommendations are as follows:

1. Armor Shield Slinger, WI
  - No Lead Tank:
    - a. sand blast complete
    - b. full coat encapsulating primer
    - c. full coat Imron Polyurethane top coat
  - Diesel Tank:
    - a. pressure wash & spot sand blast
    - b. full coat encapsulating primer
    - c. full coat Imron Polyurethane top coat

Total cost \$9,350.00
2. Porta-Painting, Inc Waukesha, WI
  - No Lead Tank:
    - a. sand blast complete
    - b. 2 coats epoxy primer
    - c. 1 coat urethane finish
  - Diesel Tank:
    - a. pressure wash & power tool clean rust
    - b. 1 coat epoxy primer
    - c. 1 coat urethane finish

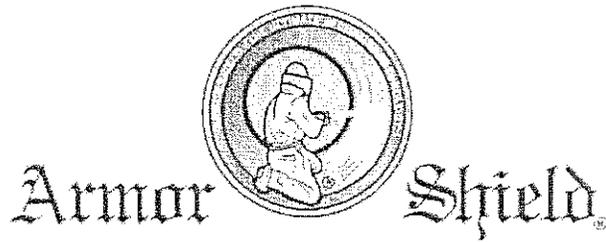
Total cost \$11,485.00
3. Thomas A Mason Co, Inc Milwaukee, WI
  - No Lead Tank:
    - a. power tool clean
    - b. 1 coat primer
    - c. 2 coats polyurethane
  - Diesel Tank:
    - a. power tool clean
    - b. 1 coat primer
    - c. 2 coats polyurethane

Total cost \$12,710.00

Staff recommends to proceed with Armor Shield to prep and paint the above ground fuel storage tanks, and to have the City Attorney review the agreement.

### COUNCIL ACTION REQUESTED

Motion to authorize staff to enter into an agreement with Armor Shield for the repainting of two (2) 10,000 gallon fuel storage tanks, not to exceed \$9,350.00.



April 20, 2014

Mr. Jerry Schaefer  
City of Franklin  
7979 W. Ryan Rd.  
Franklin, WI 53132  
Ph: 414.659.2719  
Email: jschaefer@franklinwi.gov

PROPOSAL

Remove stickers and decals from tanks. Erect debris barrier as required. Pressure wash exteriors of tanks to remove chalking. Sandblast and abrasively sand rusted areas. Sandblast pump islands. Apply one (1) full coat of DuPont 25P encapsulating primer to tanks, pump islands and appurtenances. Apply one (1) full coat of DuPont Imron Polyurethane top coat to tanks, pump island, appurtenances, piping and conduits. Test vents and valves for proper operation. Clean area of debris.

1 - 10,000 gallon aboveground gasoline storage tank.....\$5,400.00 each  
1 - 10,000 gallon aboveground diesel storage tank.....\$3,950.00 each

NOTE:

- Disposal of spent blast media by customer.
- Chain link fence around tanks to be removed and reinstalled by customer.

We hereby propose to furnish labor and materials complete in accordance with the above specifications for the sum of: AS ABOVE

With payment to be made as follows: NET 10 DAYS

By: George J. Bennett

George J. Bennett, President

ACCEPTED BY: \_\_\_\_\_

DATE: \_\_\_\_\_

Note: This proposal may be withdrawn by us if not accepted within thirty (30) days.

Armor Shield • 4623 Hillcrest Pkwy. • Slinger, WI 53086  
Ph: 262.644.6447 • Fax: 262.644.8231 • [www.armorshield.com](http://www.armorshield.com)

<b>APPROVAL</b> <i>Steve Paul</i>	<b>REQUEST FOR COUNCIL ACTION</b>	<b>MEETING DATE</b> Oct 7, 2014
<b>REPORTS &amp; RECOMMENDATIONS</b>	<b>August 2014 Monthly Financial Report</b>	<b>ITEM NUMBER</b> <i>G.10.</i>

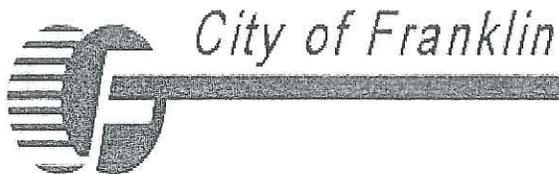
**Background**

The August, 2014 Monthly Financial Report is attached. Items of note are included in the cover memo from the Director of Finance & Treasurer.

The Finance Committee did not meet in September and therefore did not review these statements.

**COUNCIL ACTION REQUESTED**

Action: Motion to Receive and place on File



Date: September 23, 2014  
To: Mayor Olson, Common Council and Finance Committee Members  
From: Paul Rotzenberg, Director of Finance & Treasurer *Pol*  
Subject: August 2014 Financial Report

Enclosed are the following reports:

General Fund Summary	Debt Service Fund
TID Funds (3 & 4)	Solid Waste Collection Fund
Sanitary Sewer Fund	Capital Outlay Fund
Equipment Replacement Fund	Capital Improvement Fund
Street Improvement Fund	Development Fund
Self Insurance Fund	Post Employment Benefits Trust Fund

The new financial software is resulting in greater visibility to financial transactions and permitting the Finance Dept to record certain activity quicker. The software also captures encumbrances. That was not possible before. This capability will now provide earlier visibility to expenditures and how that might impact budget performance. That will be reflected in the statements as certain items appear earlier in 2014 than in 2013. As the year progresses, those differences will shrink. You will also note accounts payable balances in 2014, where none existed in 2013. This is a result of the quicker recording of activity.

The presentation in each fund is meant to provide a picture of progress in relation to the budget at this time. The budget is broken down by month. The normal criteria for year to date budgets are based upon the previous five year timing of expenditures. Caution is advised, when reviewing variances that are either favorable or unfavorable. As noted above, the timing of the budget may not follow current year experience or steps taken by department heads may mitigate early unfavorable experience.

**GENERAL FUND** - For YTD August, 2014, the General Fund had \$21,241,410 in revenue. Revenue is more than the \$20,970,758 budgeted by August, 2014. Property Tax collections are complete for 2014. Uncollected Personal Property taxes will result in a small portion of unpaid property taxes. Other Tax revenue of \$1,065,020 is \$4,651 unfavorable to budget – with cable franchise fees having the largest unfavorable performance (\$23,987). Intergovernmental revenue of \$1,793,870 represents receipts from other governmental programs, with Transportation Aids the largest. Most of the other budgeted Intergovernmental revenue will arrive later in the year. On the other hand, Public Charges for Services revenue of \$843,373 is \$36,434 unfavorable to budget. Building permits are seeing the greatest shortfall to budget

(\$33,368). A correction to Health Clinic Services related to 2013 totaling \$26,600 is reducing that revenue line in 2014. Other Revenues are comparable to budget, with the exception of Interest & Investment income. The \$186,685 of investment income is 417% of budget. Early 2014 had market interest rates decline and thus the City's US Treasury note investments increased in value. That increase in market value is driving investment income and will fluctuate as interest rates change over time.

General Government expenditures include encumbrances. General Government is performing favorable to budget, with a few exceptions. Some professional service costs are expected to be delayed into the fourth quarter. Information Services has seen invoices processed faster this year compared to 2013.

Public Safety: costs of \$9,992,761 are favorable to budget by \$1,065,427. Personnel costs account for the bulk of the savings to budget. Reduced retiree health costs (as compared to budget and last year) are the result of an actuarial estimate change after the budget was adopted.

Public Works costs of \$2,228,377 are \$34 favorable to budget. Public Works costs include \$87,000 of the salt encumbrance. Public Works experienced an increase in costs for snow removal in January and February. Overtime costs of \$69,893 thru Aug are 206% of budget and principally relate to the snow removal issue.

The first quarter snow removal operations are also exceeding budget appropriations in non-personnel costs. Fuel costs and Salt supplies are the most significant issues. Common Council authorized \$102,000 of contingency funds to replenish salt supplies. \$23,000 of additional contingency funds was appropriated for a major repair of a snow plow in February. These two charges have exhausted the available contingency appropriation for 2014. The \$950,000 Restricted Contingency remains unused.

Overall, General Fund expenditures of \$14,887,430 are \$1,210,018 favorable to budget. The principal reasons for the favorable performance is deferred wage costs due to timing of payrolls, favorable Retiree Health costs noted in Public Safety and reduced Health costs, as the budgeted rate increase did not occur.

**DEBT SERVICE** and **TID 3 & 4** – Tax receipts have occurred, and interfund loan payments made. Principal and interest payments were made timely. See following comments under Interfund loans.

**Interfund Loans** - TID 3 made a \$9.695 million loan payment March 1. \$6.195 million was funded when Northwestern Mutual Life repaid a loan in February. The TID did not have sufficient funds on hand to make the remaining payment. The Development and Self Insurance Funds each advanced \$1.1 million to fund the payment. These advances were reflected in the 2014 budget. It is anticipated that the advances will be repaid by 2018. The interest costs for TID3 become interest income to the other funds, keeping the funds all in house.

Interfund loans to TID 3 are \$3,350,000. Total Interfund loans to TID 4 (no advances in 2014) are \$1,238,000. The Debt Service Fund (no advances in 2014) has Interfund loan balances of \$1,075,000. The Sewer Fund has a \$1,909,497 (\$1,404,457 in 2014) advance from the General Fund. Total interfund loans at July 31, 2014 are \$7,572,497.

**SOLID WASTE FUND** – Revenue is comparable to budget. Solid Waste costs are very close to budget as well.

**SANITARY SEWER FUND** – No new revenues were recorded in August. Revenues get recorded calendar quarterly when service billings go out. MMSD costs are recorded quarterly as well. Costs are favorable to expectations. Interest costs are recorded as paid. A \$1.1 million Clean Water Fund loan payment was made in May. Thru August, the operating deficit of \$28,861 is favorable to the expected deficit of \$120,594.

**CAPITAL OUTLAY FUND** – revenues and costs are in line with budget.

**EQUIPMENT REPLACEMENT FUND** – Revenues are in line with budget. Investment income has exceeded budget. Planned vehicle purchases have now occurred.

**CAPITAL IMPROVEMENT FUND** – The Police have nearly completed the investment in the Video System carried forward from 2013. While work on the Pleasant View Park project is well underway.

**STREET IMPROVEMENT FUND** – Revenues are in line with budget, and minimal expenditure activity has occurred.

**DEVELOPMENT FUND** – 2014 Impact fees totaled \$484,346 and are favorable to budget. Expenditures of \$84,489 are favorable to budget. Insufficient balances were on hand at February 28 to fund loan payments that are to be financed by Impact fees. As Impact fees are collected in the future, they will be used to reduce future Debt Service levy. \$100,000 of the Park Impact fees have been used on the Pleasant View Park project.

**SELF INSURANCE FUND** – Reduced city premiums for 2014 are the results of holding premiums constant for 2014 compared to a planned 3% increase. Claims costs thru August have been favorable to budget. Thru August, the fund has a \$221,299 surplus, compared to a planned \$81,080 surplus. This compares favorably to 2013 at this time, when the fund had a \$73,217 deficit. Caution is advised as claims can come in sporadically.

**RETIREE HEALTH FUND** – This fund has two components, current year health activities and investment results on the fund.

Current year health results have an \$80,035 deficit (requiring an Implicit Rate subsidy). The 2014 subsidy compares rather favorably to the \$387,699 2013 subsidy. Investment gains of \$270,129 thru August compare favorably to both 2013 which saw a \$118,122 gain thru August and budget of \$201,400.

City of Franklin  
2014 Financial Report  
General Fund Summary  
For the Eight months ended August 31, 2014 and 2013

Revenue	2014		2014		2014		2013		2013		Variance Favorable (Unfavorable)
	Amended Budget	Year-to-Date Budget	Year-to-Date Actual	Variance Favorable (Unfavorable)	Amended Budget	Year-to-Date Budget	Year-to-Date Actual	Variance Favorable (Unfavorable)			
Property Taxes	\$ 16,220,400	\$ 16,179,346	\$ 16,201,001	\$ 21,655	\$ 16,330,000	\$ 16,291,023	\$ 16,198,350	\$ (92,673)			
Other Taxes	1,770,500	1,069,671	1,065,020	(4,651)	1,802,000	1,201,333	1,077,069	(124,264)			
Intergovernmental Revenue	2,549,550	1,727,623	1,793,870	66,247	2,542,600	1,695,067	1,537,639	(157,428)			
Licenses & Permits	864,300	613,818	603,490	(10,328)	753,000	502,000	643,519	141,519			
Law and Ordinance Violations	444,000	310,698	299,737	(10,961)	450,000	300,000	294,534	(5,466)			
Public Charges for Services	1,416,400	879,807	843,373	(36,434)	1,323,500	882,333	875,030	(7,303)			
Intergovernmental Charges	125,000	49,214	54,965	5,751	125,000	83,333	43,784	(39,549)			
Investment Income	138,500	44,667	186,685	142,018	142,000	43,333	(99,606)	(142,939)			
Miscellaneous Revenue	74,700	95,914	193,269	97,355	91,000	112,001	211,978	99,977			
Transfer from Other Funds	400,000	-	-	-	-	-	-	-			
<b>Total Revenue</b>	<b>\$ 24,003,350</b>	<b>\$ 20,970,758</b>	<b>\$ 21,241,410</b>	<b>\$ 270,652</b>	<b>\$ 23,559,100</b>	<b>\$ 21,110,423</b>	<b>\$ 20,782,297</b>	<b>\$ (328,126)</b>			
			101.29%				98.45%				
Expenditures	2014		2014		2014		2013		2013		Variance Favorable (Unfavorable)
	Amended Budget	Year-to-Date Budget	Year-to-Date Actual	Variance Favorable (Unfavorable)	Amended Budget	Year-to-Date Budget	Year-to-Date Actual	Variance Favorable (Unfavorable)			
General Government	\$ 2,934,266	\$ 2,122,480	\$ 1,794,873	\$ 327,607	\$ 2,928,263	\$ 1,911,111	\$ 1,799,268	\$ 111,843			
Public Safety	16,121,722	11,058,188	9,992,761	1,065,427	16,178,990	10,785,423	10,344,539	440,884			
Public Works	3,532,000	2,228,411	2,228,377	34	3,522,359	2,363,368	2,082,864	280,504			
Health and Human Services	657,804	435,703	387,094	48,609	659,002	439,334	381,904	57,430			
Other Culture and Recreation	173,682	104,384	127,977	(23,593)	171,901	114,601	104,511	10,090			
Conservation and Development	471,758	304,210	232,542	71,668	408,010	272,007	228,193	43,814			
Contingency and Unclassified	1,077,500	84,272	123,806	(39,534)	1,012,075	715,698	-	715,698			
Anticipated underexpenditures	(360,300)	(240,200)	-	(240,200)	(350,000)	(233,333)	-	(233,333)			
Transfers to Other Funds	400,000	-	-	-	85,982	64,921	-	64,921			
Encumbrances	-	-	-	-	-	-	-	-			
<b>Total Expenditures</b>	<b>\$ 25,008,432</b>	<b>\$ 16,097,448</b>	<b>\$ 14,887,430</b>	<b>\$ 1,210,018</b>	<b>\$ 24,616,582</b>	<b>\$ 16,433,130</b>	<b>\$ 14,941,279</b>	<b>\$ 1,491,851</b>			
			92.48%				90.92%				
Excess of revenue over (under) expenditures	(1,005,082)	\$ 4,873,310	6,353,980	\$ 1,480,670	(1,057,482)	\$ 4,677,293	5,841,018	\$ 1,163,725			
Fund balance, beginning of year	7,781,566		7,781,566		6,502,134		6,502,134				
Fund balance, end of period	\$ 6,776,484		\$ 14,135,546		\$ 5,444,652		\$ 12,343,152				

A Represents an amendment to Adopted Budget  
E Represents an encumbrance for current year from prior year

City of Franklin  
Debt Service Funds  
Balance Sheet  
August 31, 2014 and 2013

	2014		2014		2013		2013	
	Special Assessment	Debt Service	Debt Service	Total	Special Assessment	Debt Service	Total	
<b>Assets</b>								
Cash and investments	\$ 402,315	\$ 129,668	\$ 129,668	\$ 531,983	\$ 525,863	\$ 106,831	\$ 632,694	
Taxes receivable	-	-	-	-	-	-	-	
Special assessment receivable	199,699	-	-	199,699	270,575	-	270,575	
<b>Total Assets</b>	<b>\$ 602,014</b>	<b>\$ 129,668</b>	<b>\$ 129,668</b>	<b>\$ 731,682</b>	<b>\$ 796,438</b>	<b>\$ 106,831</b>	<b>\$ 903,269</b>	
<b>Liabilities and Fund Balance</b>								
Unearned & unavailable revenue	\$ 199,699	\$ -	\$ -	\$ 199,699	\$ 270,575	\$ -	\$ 270,575	
Due to other funds	-	1,075,000	1,075,000	1,075,000	-	2,137,000	2,137,000	
Unassigned fund balance	402,315	(945,332)	(945,332)	(543,017)	525,863	(2,030,169)	(1,504,306)	
<b>Total Liabilities and Fund Balance</b>	<b>\$ 602,014</b>	<b>\$ 129,668</b>	<b>\$ 129,668</b>	<b>\$ 731,682</b>	<b>\$ 796,438</b>	<b>\$ 106,831</b>	<b>\$ 903,269</b>	

Statement of Revenue, Expenses and Fund Balance  
For the Eight months ended August 31 2014 and 2013

	2014		2014		2013		2013		2013	
	Special Assessment	Debt Service	Year-to-Date Actual	Annual Budget	Special Assessment	Debt Service	Year-to-Date Actual	Annual Budget	Variance Favorable (Unfavorable)	
<b>Revenue</b>										
Property Taxes	\$ -	\$ 1,600,000	\$ 1,600,000	\$ 1,600,000	\$ -	\$ 1,650,000	\$ 1,650,000	\$ 1,650,000	\$ -	
Special Assessments	5,669	-	5,669	-	2,533	-	2,533	-	2,533	
Investment Income	9,345	70	9,415	-	(10,010)	-	(10,010)	-	(10,010)	
<b>Total Revenue</b>	<b>15,014</b>	<b>1,600,070</b>	<b>1,615,084</b>	<b>1,600,000</b>	<b>(7,477)</b>	<b>1,650,000</b>	<b>1,642,523</b>	<b>1,650,000</b>	<b>(7,477)</b>	
<b>Expenditures:</b>										
Debt Service:										
Principal	-	570,000	570,000	570,000	-	620,000	620,000	620,000	-	
Interest	-	155,515	155,515	152,840	-	167,295	167,295	322,810	155,515	
Interfund Interest Expense	-	24,308	24,308	22,076	-	44,558	44,558	86,570	42,012	
<b>Total expenditures</b>	<b>-</b>	<b>749,823</b>	<b>749,823</b>	<b>744,916</b>	<b>-</b>	<b>831,853</b>	<b>831,853</b>	<b>1,029,380</b>	<b>197,527</b>	
Transfers in	-	304,133	304,133	246,800	-	238,292	238,292	377,644	(139,352)	
Transfers out	(219,644)	-	(219,644)	-	-	-	-	-	-	
<b>Net change in fund balances</b>	<b>(204,630)</b>	<b>1,154,380</b>	<b>949,750</b>	<b>1,101,884</b>	<b>(7,477)</b>	<b>1,056,439</b>	<b>1,048,962</b>	<b>998,264</b>	<b>50,698</b>	
Fund balance, beginning of year	606,945	(2,099,712)	(1,492,767)	(1,492,767)	533,340	(3,086,608)	(2,553,268)	(2,553,268)		
<b>Fund balance, end of period</b>	<b>\$ 402,315</b>	<b>\$ (945,332)</b>	<b>\$ (543,017)</b>	<b>\$ (390,883)</b>	<b>\$ 525,863</b>	<b>\$ (2,030,169)</b>	<b>\$ (1,504,306)</b>	<b>\$ (1,555,004)</b>		

**City of Franklin**  
**Tax Increment Financing District #3**  
**Balance Sheet**  
**August 31, 2014 and 2013**

<u>Assets</u>	<b>2014</b>	<b>2013</b>
Cash and investments	\$ 490,078	\$ 227,069
Accounts & Interest receivable	-	-
Notes receivable	-	6,195,000
Taxes receivable	-	-
<b>Total Assets</b>	<b>\$ 490,078</b>	<b>\$ 6,422,069</b>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ -	\$ -
Unearned revenue	-	-
Line of Credit Advance from Development Fund	3,350,000	1,150,000
<b>Total Liabilities</b>	<b>3,350,000</b>	<b>1,150,000</b>
Nonspendable fund balance - note receivable	-	7,345,000
Unassigned fund balance	(2,859,922)	(2,072,931)
<b>Total Fund Balance</b>	<b>(2,859,922)</b>	<b>5,272,069</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 490,078</b>	<b>\$ 6,422,069</b>

**Statement of Revenue, Expenses and Fund Balance**  
**For the Eight months ended August 31, 2014 and 2013**

	<b>2014</b>	<b>2014</b>	<b>2014</b>	<b>2013</b>
	<b>Annual</b>	<b>Year-to-Date</b>	<b>Year-to-Date</b>	<b>Year-to-Date</b>
	<b>Forecast</b>	<b>Forecast</b>	<b>Actual</b>	<b>Actual</b>
<b>Revenue</b>				
General property tax levy	\$ 1,572,200	\$ 1,572,200	\$ 1,572,198	\$ 1,547,835
State exempt computer aid	407,500	407,500	407,508	361,741
Investment income	60,776	60,776	97,879	125,741
<b>Total revenue</b>	<b>2,040,476</b>	<b>2,040,476</b>	<b>2,077,585</b>	<b>2,035,317</b>
<b>Expenditures</b>				
Transfer to other funds	-	\$ -	-	-
Debt service principal	9,695,000	9,695,000	9,695,000	3,170,000
Debt service interest & fees	347,900	173,950	215,030	427,348
Administrative expenses	29,000	19,140	8,987	14,808
Interfund interest	-	-	51,604	13,453
Capital outlays	40,400	20,200	140,384	-
<b>Total expenditures</b>	<b>10,112,300</b>	<b>9,908,290</b>	<b>10,111,005</b>	<b>3,625,609</b>
	(8,071,824)	<b>\$ (7,867,814)</b>	(8,033,420)	(1,590,292)
Fund balance, beginning of year	5,173,498		5,173,498	6,862,361
Fund balance, end of period	<b>\$ (2,898,326)</b>		<b>\$ (2,859,922)</b>	<b>\$ 5,272,069</b>

City of Franklin  
Tax Increment Financing District #4  
Balance Sheet  
August 31, 2014 and 2013

<u>Assets</u>	2014	2013
Cash and investments	\$ 136,978	\$ 157,765
Developer receivable	1,199	-
Taxes receivable	-	-
<b>Total Assets</b>	<b>\$ 138,177</b>	<b>\$ 157,765</b>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ -	\$ -
Unearned revenue	-	-
Interfund Advance from Development Fund	1,238,000	2,163,000
<b>Total Liabilities</b>	<b>1,238,000</b>	<b>2,163,000</b>
Unassigned Fund Balance	(1,099,823)	(2,006,262)
<b>Total Liabilities and Fund Balance</b>	<b>\$ 138,177</b>	<b>\$ 156,738</b>

**Statement of Revenue, Expenses and Fund Balance  
For the Eight months ended August 31, 2014 and 2013**

	2014 Annual Forecast	2014 Year-to-Date Forecast	2014 Year-to-Date Actual	2013 Year-to-Date Actual
<b>Revenue</b>				
General property tax levy	\$ 954,700	\$ 954,700	\$ 972,728	\$ 862,296
State exempt computer aid	24,600	24,600	24,620	46,504
Investment income	1,600	1,056	921	(4,567)
<b>Total revenue</b>	<b>980,900</b>	<b>980,356</b>	<b>998,269</b>	<b>904,233</b>
<b>Expenditures</b>				
Debt service/interfund interest	77,400	\$ 38,700	31,508	52,185
Administrative expenses	11,400	7,524	7,707	7,808
Capital outlays	-	-	-	-
<b>Total expenditures</b>	<b>88,800</b>	<b>46,224</b>	<b>39,215</b>	<b>59,993</b>
Revenue over (under) expenditures	892,100	<b>\$ 934,132</b>	959,054	844,240
Fund balance, beginning of year	(2,058,877)		(2,058,877)	(2,850,502)
Fund balance, end of period	<b>\$ (1,166,777)</b>		<b>\$ (1,099,823)</b>	<b>\$ (2,006,262)</b>

City of Franklin  
Solid Waste Collection Fund  
Balance Sheet  
August 31, 2014 and 2013

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ 769,868	\$ 653,227
Total Assets	<u>\$ 769,868</u>	<u>\$ 653,227</u>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ 129,220	\$ -
Accrued salaries & wages	315	317
Restricted fund balance	640,333	652,910
Total Liabilities and Fund Balance	<u>\$ 769,868</u>	<u>\$ 653,227</u>

**Statement of Revenue, Expenses and Fund Balance  
For the Eight months ended August 31, 2014 and 2013**

<u>Revenue</u>	<u>2014 Adopted Budget</u>	<u>2014 Year-to-Date Budget</u>	<u>2014 Year-to-Date Actual</u>	<u>2013 Year-to-Date Actual</u>
Grants	\$ 69,000	69,000	\$ 69,214	\$ 69,267
User Fees	1,157,000	1,137,376	1,168,087	1,153,776
Landfill Operations-tippage	331,800	188,153	202,703	185,696
Investment Income	-	-	7,289	(8,296)
Sale of Recycling Bins	-	-	-	21
Sale of Recyclables	5,000	3,333	5,304	6,665
Total Revenue	<u>1,562,800</u>	<u>1,397,862</u>	<u>1,452,597</u>	<u>1,407,129</u>
<b>Expenditures:</b>				
Personal Services	22,194	15,365	12,347	21,463
Refuse Collection	654,200	436,133	433,084	370,280
Recycling Collection	361,800	241,200	238,953	204,528
Leaf & Brush Pickups	53,000	35,333	17,530	17,119
Tippage Fees	430,600	287,067	296,977	240,295
Miscellaneous	1,960	1,307	1,680	1,080
Total expenditures	<u>1,523,754</u>	<u>1,016,405</u>	<u>1,000,571</u>	<u>854,765</u>
Revenue over (under) expenditures	39,046	<u>381,457</u>	452,026	552,364
Fund balance, beginning of year	<u>188,307</u>		<u>188,307</u>	<u>100,546</u>
Fund balance, end of period	<u>\$ 227,353</u>		<u>\$ 640,333</u>	<u>\$ 652,910</u>

City of Franklin  
Sanitary Sewer Fund  
Comparative Balance Sheet  
August 31, 2014 and 2013

	2014	2013
<b><u>Assets</u></b>		
Current assets:		
Cash and investments	\$ 2,051,567	\$ 1,011,897
Accounts receivable	166,004	183,912
Accrued receivables	-	-
Due from Franklin Water Utility	138,109	87,981
Miscellaneous receivable	94,640	87,072
Total current assets	2,450,320	1,370,862
Non current assets:		
Due from MMSD	25,771,125	24,990,234
Sanitary Sewer plant in service:		
Land	358,340	358,340
Buildings and improvements	1,605,333	1,605,333
Improvements other than buildings	53,965,043	53,255,869
Machinery and equipment	813,816	689,530
Construction in progress	102,201	99,867
	56,844,733	56,008,939
Less accumulated depreciation	(13,357,852)	(12,736,968)
Net sanitary sewer plant in service	43,486,881	43,271,971
Total Assets	\$ 71,708,326	\$ 69,633,067
<b><u>Liabilities and Net Assets</u></b>		
Current liabilities:		
Accounts payable	\$ 23,663	\$ -
Accrued liabilities	26,441	20,205
Due to Franklin Water Utility	-	-
Due to General Fund - non-interest bearing	2,010,184	211,172
Total current liabilities	2,060,288	231,377
Non current liabilities:		
Accrued compensated absences	57,727	52,775
General Obligation Notes payable - CWF	23,486,522	23,741,496
Total liabilities	25,604,537	24,025,648
Net Assets:		
Invested in capital assets, net of related debt	43,486,881	43,271,971
Sewer equipment replacement	277,230	334,508
Retained earnings	2,339,678	2,000,940
Total net assets	46,103,789	45,607,419
Total Liabilities and Net Assets	\$ 71,708,326	\$ 69,633,067

**City of Franklin**  
**Sanitary Sewer Fund**  
**Statement of Revenue, Expenditures,**  
**and Changes in Net Assets**  
**For the Eight months ended August 31, 2014 and 2013**

	2014 Amended Budget	2014 Year-to-Date Budget	Current Year-to-Date Totals	Prior Year-to-Date Totals
<b>Operating Revenue</b>				
Residential	\$ 1,919,075	\$ 958,829	\$ 921,124	\$ 910,816
Commercial	869,938	416,655	212,425	396,288
Industrial	284,321	136,798	190,050	170,487
Public Authority	218,545	108,019	79,705	82,879
Penalties/Other	40,000	17,878	14,799	15,124
Multi Family	-	-	205,780	-
<b>Total Operating Revenue</b>	<u>3,331,879</u>	<u>1,638,179</u>	<u>1,623,883</u>	<u>1,575,594</u>
<b>Operating Expenditures</b>				
Salaries and benefits	\$ 462,678	\$ 320,316	\$ 289,557	\$ 335,258
Contractual services	100,365	75,534	83,312	69,829
Supplies	102,500	66,200	36,697	43,720
Facility charges	57,504	37,712	26,199	28,969
Shared meter costs	10,000	5,000	-	-
Sewer service - MMSD	2,048,209	1,024,105	984,308	946,226
Other operating costs	21,945	15,888	15,207	13,120
Allocated expenses	108,510	72,340	70,000	70,019
Sewer improvements	250,000	99,345	105,064	92,019
Depreciation	63,500	42,333	42,400	48,672
<b>Total operating expenditures</b>	<u>3,225,211</u>	<u>1,758,773</u>	<u>1,652,744</u>	<u>1,647,832</u>
<b>Operating Income (Loss)</b>	106,668	(120,594)	(28,861)	(72,238)
<b>Non-Operating Revenue (Expenditures)</b>				
Miscellaneous income	3,000	2,144	1,250	1,450
Investment income	518,860	345,906	352,220	178,620
Interest expense	(510,860)	(383,145)	(302,345)	(188,634)
RCI expenses	-	-	-	-
<b>Total non-operating revenue (expenditures)</b>	<u>11,000</u>	<u>(35,095)</u>	<u>51,125</u>	<u>(8,564)</u>
<b>Income (Loss) before Capital Contributions</b>	<u>117,668</u>	<u>(155,689)</u>	<u>22,264</u>	<u>(80,802)</u>
Retained Earnings- Beginning	2,660,847	2,660,847	2,660,847	2,422,962
Transfer (to) from Invested in Capital Assets	(1,430,450)	(2,860,900)	(66,203)	(6,712)
<b>Retained Earnings- Ending</b>	<u>1,348,065</u>	<u>(355,742)</u>	<u>2,616,908</u>	<u>2,335,448</u>
<b>Capital Contributions</b>	600,000	450,000	-	-
Depreciation - CIAC	(586,000)	(390,667)	(391,200)	(390,667)
Transfer (to) from Retained Earnings	1,430,450	953,633	66,203	6,712
<b>Change in Net Investment in Capital Assets</b>	<u>1,444,450</u>	<u>1,012,966</u>	<u>(324,997)</u>	<u>(383,955)</u>
<b>Net Investment in Capital Assets-Beginning</b>	<u>43,811,878</u>	<u>43,811,878</u>	<u>43,811,878</u>	<u>43,655,926</u>
<b>Net Investment in Capital Assets-Ending</b>	<u>45,256,328</u>	<u>44,824,844</u>	<u>43,486,881</u>	<u>43,271,971</u>
<b>Total net assets</b>	<u>\$ 46,604,393</u>	<u>\$ 44,469,102</u>	<u>\$ 46,103,789</u>	<u>\$ 45,607,419</u>

City of Franklin  
Capital Outlay Fund  
Balance Sheet  
August 31, 2014 and 2013

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ 534,329	\$ 550,675
Accrued Receivables	-	757
Total Assets	<u>\$ 534,329</u>	<u>\$ 551,432</u>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ 9,137	\$ -
Miscellaneous claims payable	18,278	23,746
Encumbrance	45,800	-
Assigned fund balance	461,114	527,686
Total Liabilities and Fund Balance	<u>\$ 534,329</u>	<u>\$ 551,432</u>

**Statement of Revenue, Expenses and Fund Balance  
For the Eight months ended August 31, 2014 and 2013**

<u>Revenue</u>	<u>2014 Amended Budget</u>	<u>2014 Year-to-Date Budget</u>	<u>2014 Year-to-Date Actual *</u>	<u>2013 Year-to-Date Actual</u>
Property Taxes	\$ 430,000	\$ 430,000	\$ 430,000	\$ 394,000
Grants	-	-	5,200	-
Landfill Siting	67,000	61,790	67,000	91,563
Investment Income	-	-	11,665	(5,175)
Miscellaneous Revenue	30,000	10,530	10,618	27,800
Transfers from Fund Balance	97,296	-	-	-
Total Revenue	<u>624,296</u>	<u>502,320</u>	<u>524,483</u>	<u>508,188</u>
<b>Expenditures:</b>				
General Government	130,250	48,891	27,646	54,942
Public Safety	448,562	250,444	299,029	197,511
Public Works	84,870	56,580	53,028	62,206
Health and Human Services	510	340	-	-
Culture and Recreation	18,000	12,000	16,241	296
Conservation and Development	1,750	1,167	-	680
Contingency	55,000	33,333	5,000	-
Total expenditures	<u>738,942</u>	<u>402,755</u>	<u>400,944</u>	<u>315,635</u>
Revenue over (under) expenditures	(114,646)	<u>99,565</u>	123,539	192,553
Fund balance, beginning of year	<u>337,575</u>		<u>337,575</u>	<u>335,133</u>
Fund balance, end of period	<u>\$ 222,929</u>		<u>\$ 461,114</u>	<u>\$ 527,686</u>

\* Amount shown is actual expenditures plus encumbrance

9/12/2014

Findata:Qtrrpt Capital Outlay August 2014

**City of Franklin  
Equipment Replacement Fund  
Comparative Balance Sheet  
August 31, 2014 and 2013**

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ 2,205,319	\$ 1,868,397
<b>Total Assets</b>	<b>\$ 2,205,319</b>	<b>\$ 1,868,397</b>
 <u>Liabilities and Fund Balance</u>		
Accounts payable	\$ 23,646	\$ -
Encumbrance	15,492	-
Assigned fund balance	2,166,181	1,868,397
<b>Total Liabilities and Fund Balance</b>	<b>\$ 2,205,319</b>	<b>\$ 1,868,397</b>

**Comparative Statement of Revenue, Expenses and Fund Balance  
For the Eight months ended August 31, 2014 and 2013**

	<u>2014 Amended Budget</u>	<u>2014 Year-to-Date Budget</u>	<u>2014 Year-to-Date Actual *</u>	<u>2013 Year-to-Date Actual</u>
<b>Revenue:</b>				
Property Taxes	\$ 337,000	\$337,000	\$ 337,000	\$ 285,000
Landfill	100,000	92,223	100,000	137,345
Investment Income	-	-	45,069	(17,620)
Property Sales	-	-	3,077	24,791
Transfers From Fund Balance	10,600	-	-	-
<b>Total revenue</b>	<b>447,600</b>	<b>429,223</b>	<b>485,146</b>	<b>429,516</b>
<b>Expenditures:</b>				
Public Safety	60,100	47,492	56,159	156,661
Public Works	182,000	110,812	178,851	171,153
<b>Total expenditures</b>	<b>242,100</b>	<b>158,304</b>	<b>235,010</b>	<b>327,814</b>
Revenue over (under) expenditures	205,500	<u>270,919</u>	250,136	101,702
Fund balance, beginning of year	1,916,045		1,916,045	1,766,695
<b>Fund balance, end of period</b>	<b>\$ 2,121,545</b>		<b>\$ 2,166,181</b>	<b>\$ 1,868,397</b>

\* Amount shown is actual expenditures plus emcumbrance

**City of Franklin  
Capital Improvement Fund  
Balance Sheet  
August 31, 2014 and 2013**

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ 196,908	\$ 165,809
Due from State of Wisconsin	-	-
Accrued receivables	847	847
<b>Total Assets</b>	<b><u>\$ 197,755</u></b>	<b><u>\$ 166,656</u></b>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ 100,325	\$ -
Contracts Payable	38,633	-
Accrued payables	-	-
Encumbrance	16,297	-
Assigned fund balance	42,500	166,656
<b>Total Liabilities and Fund Balance</b>	<b><u>\$ 197,755</u></b>	<b><u>\$ 166,656</u></b>

**Statement of Revenue, Expenses and Fund Balance  
For the Eight months ended August 31, 2014 and 2013**

	<u>2014 Amended Budget</u>	<u>2014 Year-to-Date Totals</u>	<u>2013 Year-to-Date Totals</u>
<b>Revenue:</b>			
Block Grants	\$ 147,000	\$ -	\$ -
Landfill Siting	296,000	190,130	-
Transfers from Other Funds	2,700,000	-	-
Transfers from General Funds	200,000	-	-
Transfers from Impact Fees	1,410,140	100,000	-
Transfers from Connection Fees	1,000,000	-	-
Transfers from Fund Balance	137,519	-	-
Bond Proceeds	2,000,000	-	-
Investment Income	-	156	(2,556)
<b>Total revenue</b>	<b><u>7,890,659</u></b>	<b><u>290,286</u></b>	<b><u>(2,556)</u></b>
<b>Expenditures:</b>			
General Government	184,764	-	-
Public Safety	313,000	235,164	6,143
Public Works	820,212	200,851	34,213
Culture and Recreation	341,137	122,425	7,750
Sewer & Water	-	8,274	56,294
Contingency	6,003,439	-	-
<b>Total expenditures</b>	<b><u>7,662,552</u></b>	<b><u>566,714</u></b>	<b><u>104,400</u></b>
Revenue over (under) expenditures	228,107	(276,428)	(106,956)
Fund balance, beginning of year	318,928	318,928	273,612
<b>Fund balance, end of period</b>	<b><u>\$ 547,035</u></b>	<b><u>\$ 42,500</u></b>	<b><u>\$ 166,656</u></b>

**City of Franklin  
Street Improvement Fund  
Balance Sheet  
August 31, 2014 and 2013**

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ 1,035,284	\$ 664,617
Total Assets	<u>\$ 1,035,284</u>	<u>\$ 664,617</u>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ 5,641	\$ -
Assigned fund balance	1,029,644	664,617
Total Liabilities and Fund Balance	<u>\$ 1,035,285</u>	<u>\$ 664,617</u>

**Statement of Revenue, Expenses and Fund Balance  
For the Eight months ended August 31, 2014 and 2013**

	<u>2014 Amended Budget</u>	<u>2014 Year-to-Date Totals</u>	<u>2013 Year-to-Date Totals</u>
<b>Revenue:</b>			
Property Taxes	\$ 681,600	\$ 681,600	\$ 610,000
Landfill Siting	133,000	133,000	183,127
Investment Income	-	11,186	(7,836)
Local Road Improvement Aids	78,000	-	-
Transfer from General Fund	200,000	-	-
Transfer from Fund Balance	36,900	-	-
Total revenue	<u>1,129,500</u>	<u>825,786</u>	<u>785,291</u>
 <b>Expenditures:</b>			
Street Reconstruction Program - Current Year	960,000	14,705	469,998
Transfer to General Fund	200,000	-	-
Street Reconstruction Program - Prior Year	36,900	-	-
Total expenditures	<u>1,196,900</u>	<u>14,705</u>	<u>469,998</u>
Revenue over (under) expenditures	(67,400)	811,081	315,293
Fund balance, beginning of year	<u>218,563</u>	<u>218,563</u>	<u>349,324</u>
Fund balance, end of period	<u>\$ 151,163</u>	<u>\$ 1,029,644</u>	<u>\$ 664,617</u>

City of Franklin  
Development Fund  
Comparative Balance Sheet  
August 31, 2014 and 2013

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ 2,124,511	\$ 1,781,186
Due From Debt Service Fund	1,075,000	2,137,000
Due From TID 3	2,250,000	1,150,000
Total Assets	<u>\$ 5,449,511</u>	<u>\$ 5,068,186</u>
 <u>Liabilities and Fund Balance</u>		
Accounts payable	\$ -	\$ 1,850
Non-Spendable - Advances	3,287,000	3,112,000
Assigned fund balance	2,162,511	1,954,336
Total Fund Balance	<u>5,449,511</u>	<u>5,066,336</u>
Total Liabilities and Fund Balance	<u>\$ 5,449,511</u>	<u>\$ 5,068,186</u>

**Comparative Statement of Revenue, Expenses and Fund Balance  
For the Eight months ended August 31, 2014 and 2013**

	<u>2014</u> <u>Amended</u> <u>Budget</u>	<u>2014</u> <u>Year-to-Date</u> <u>Budget</u>	<u>2014</u> <u>Year-to-Date</u> <u>Actual</u>	<u>2013</u> <u>Year-to-Date</u> <u>Actual</u>
<b>Revenue:</b>				
Impact Fee: Parks	\$ 194,250	\$ 156,688	\$ 121,184	\$ 225,450
Impact Fee: Southwest Sewer Serv	-	-	14,640	2,928
Impact Fee: Administration	5,000	3,762	4,290	4,235
Impact Fee: Water	275,000	180,529	157,600	280,664
Impact Fee: Transportation	17,000	10,631	46,122	9,860
Impact Fee: Fire Protection	31,250	22,753	37,584	25,866
Impact Fee: Law Enforcement	60,000	43,665	68,910	46,719
Impact Fee: Library	62,500	50,089	34,016	46,513
Total Impact Fees	<u>645,000</u>	<u>468,117</u>	<u>484,346</u>	<u>642,235</u>
Investment Income	10,000	5,833	37,396	(10,350)
Interfund Interest Income	85,694	57,129	60,090	58,011
Total revenue	<u>740,694</u>	<u>531,079</u>	<u>581,832</u>	<u>689,896</u>
<b>Expenditures:</b>				
Other Professional Services	-	-	-	-
Transfer to Debt Service:				
Law Enforcement	204,978	63,609	-	96,000
Fire	43,013	18,096	36,622	36,191
Transportation	73,535	21,550	14,867	43,100
Library	133,650	44,292	33,000	63,000
Total Transfers to Debt Service	<u>455,176</u>	<u>147,547</u>	<u>84,489</u>	<u>238,291</u>
Transfer to Capital Improvement Fund:				
Water	-	-	-	-
Park	1,557,949	-	100,000	-
Total Transfers to Capital Improve	<u>1,557,949</u>	<u>-</u>	<u>100,000</u>	<u>-</u>
Total expenditures	<u>2,013,125</u>	<u>147,547</u>	<u>184,489</u>	<u>238,291</u>
Revenue over (under) expenditures	(1,272,431)	<u>383,532</u>	397,343	451,605
Fund balance, beginning of year	<u>5,052,168</u>		<u>5,052,168</u>	<u>4,614,731</u>
Fund balance, end of period	<u>\$ 3,779,737</u>		<u>\$ 5,449,511</u>	<u>\$ 5,066,336</u>

City of Franklin  
Self Insurance Fund - Actives  
Balance Sheet  
August 31, 2014 and 2013

<u>Assets</u>	2014	2013
Cash and investments	\$ 1,387,126	\$ 1,144,405
Accounts receivable	96	279
Interfund advance receivable	2,338,000	2,163,000
Prepaid expenses	57,500	-
<b>Total Assets</b>	<b>\$ 3,782,722</b>	<b>\$ 3,307,684</b>
<u>Liabilities and Net Assets</u>		
Accounts payable	\$ 75,921	\$ -
Claims payable	379,100	352,000
Special deposits	-	-
Unrestricted net assets	3,327,701	2,955,684
<b>Total Liabilities and Fund Balance</b>	<b>\$ 3,782,722</b>	<b>\$ 3,307,684</b>

City of Franklin Self Insurance Fund - Actives  
Statement of Revenue, Expenses and Fund Balance  
For the Eight months ended August 31, 2014 and 2013

<u>Revenue</u>	2014 Forecast	2014 Year-to-Date Forecast	2014 Year-to-Date Actual	2013 Year-to-Date Actual
Medical Premiums-City	\$ 2,959,000	\$ 1,972,667	\$ 1,765,860	\$ 1,907,761
Medical Premiums-Employee	412,300	274,867	291,485	265,585
Other - Investment Income, etc.	92,190	61,460	67,146	47,282
Medical Revenue	3,463,490	2,308,993	2,124,491	2,220,628
Dental Premiums-City	105,630	70,420	69,708	64,288
Dental Premiums-Retirees	6,000	4,000	3,936	4,185
Dental Premiums-Employee	59,000	39,333	34,464	37,768
Dental Revenue	170,630	113,753	108,108	106,241
<b>Total Revenue</b>	<b>3,634,120</b>	<b>2,422,747</b>	<b>2,232,599</b>	<b>2,326,869</b>
<b>Expenditures:</b>				
<b>Active Employees-Medical</b>				
Medical claims - Current Year	2,275,200	1,516,800	786,596	1,300,732
Medical claims - Prior Year	54,300	36,200	361,201	408,954
Prescription drug claims	341,100	227,400	175,368	215,648
Refunds-Stop Loss Coverage	-	-	(418)	(134,021)
Total Claims-Actives	2,670,600	1,780,400	1,322,747	1,791,313
Medical Claim Fees	167,600	111,733	137,909	111,518
Memberships	-	-	4,525	-
Miscellaneous Wellness	21,300	14,200	6,252	15,182
Section 125 administration Fee	5,500	3,667	2,950	(1,359)
Stop Loss Premiums	487,500	325,000	419,091	366,414
Total Medical Costs-Actives	3,352,500	2,235,000	1,893,474	2,283,068
<b>Active Employees-Dental</b>				
Dental claims - Current Year	147,000	98,000	88,936	92,317
Dental claims - Prior Year	-	-	16,966	15,866
Dental Claim Fees	5,600	3,733	8,468	3,888
Total Dental Costs-Actives	152,600	101,733	114,370	112,071
<b>Retirees-Dental</b>				
Dental claims - Current Year	7,400	4,933	3,198	4,040
Dental claims - Prior Year	-	-	-	808
Dental Claim Fees	-	-	257	99
Total Dental Costs-Retirees	7,400	4,933	3,455	4,947
Total Dental Costs	160,000	106,667	117,825	117,018
<b>Total Expenditures</b>	<b>3,512,500</b>	<b>2,341,667</b>	<b>2,011,299</b>	<b>2,400,086</b>
Revenue over (under) expenditures	121,620	<u>\$ 81,080</u>	221,300	(73,217)
Net assets, beginning of year	3,106,401		3,106,401	3,028,901
<b>Net assets, end of period</b>	<b>\$ 3,228,021</b>		<b>\$ 3,327,701</b>	<b>\$ 2,955,684</b>

**City of Franklin**  
**City of Franklin Post Employment Benefits Trust**  
**Balance Sheet**  
**August 31, 2014 and 2013**

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ (143,878)	\$ (124,997)
Investments held in trust - Fixed Inc	987,389	229,418
Investments held in trust - Equities	3,496,599	3,486,357
Accounts receivable	3,388	4,093
<b>Total Assets</b>	<b><u>\$ 4,343,498</u></b>	<b><u>\$ 3,594,871</u></b>
<u>Liabilities and Net Assets</u>		
Accounts payable	\$ 4,521	\$ -
Claims payable	77,182	24,500
Due from OPEB Trust	-	-
Net assets held in trust for post employment benefits	4,261,795	3,570,371
<b>Total Liabilities and Fund Balance</b>	<b><u>\$ 4,343,498</u></b>	<b><u>\$ 3,594,871</u></b>

**City of Franklin Post Employment Benefits Trust**  
**Statement of Revenue, Expenses and Fund Balance**  
**For the Eight months ended August 31, 2014 and 2013**

<u>Revenue</u>	<u>2014</u>	<u>2014</u>	<u>2014</u>	<u>2013</u>
	<u>Forecast</u>	<u>Year-to-Date</u>	<u>Year-to-Date</u>	<u>Year-to-Date</u>
		<u>Forecast</u>	<u>Actual</u>	<u>Actual</u>
ARC Medical Charges - City	\$ 209,300	\$ 139,533	\$ 102,154	\$ 95,042
Medical Charges - Retirees	125,000	83,333	63,729	71,207
Implicit Rate Subsidy	261,080	174,053	80,035	387,699
Interest Income			(1,114)	(3,876)
<b>Medical Revenue</b>	<b><u>595,380</u></b>	<b><u>396,920</u></b>	<b><u>244,804</u></b>	<b><u>550,072</u></b>
<b>Expenditures:</b>				
<b>Retirees-Medical</b>				
Medical claims - Current Year	342,400	228,267	121,755	318,252
Medical claims - Prior Year	39,080	26,053	86,078	66,077
Prescription drug claims	160,200	106,800	52,462	128,905
Refunds-Stop Loss Coverage	-	-	(69,880)	(7,603)
<b>Total Claims-Retirees</b>	<b><u>541,680</u></b>	<b><u>361,120</u></b>	<b><u>190,415</u></b>	<b><u>505,631</u></b>
Medical Claim Fees	7,500	5,000	11,468	9,955
Stop Loss Premiums	46,200	30,800	42,835	34,449
Miscellaneous Expense	-	-	86	37
<b>Total Medical Costs-Retirees</b>	<b><u>595,380</u></b>	<b><u>396,920</u></b>	<b><u>244,804</u></b>	<b><u>550,072</u></b>
 Revenue over (under) expenditures	 -	 -	 -	 -
 Annual Required Contribution-Net	 300,420	 200,280	 185,482	 76,095
Other - Investment Income, etc.	302,100	201,400	270,129	118,122
<b>Total Revenues</b>	<b><u>602,520</u></b>	<b><u>401,680</u></b>	<b><u>455,611</u></b>	<b><u>194,217</u></b>
 Net Revenues (Expenditures)	 602,520	 401,680	 455,611	 194,217
 Net assets, beginning of year	 <u>3,806,184</u>	 <u>3,806,184</u>	 <u>3,806,184</u>	 <u>3,376,154</u>
 Net assets, end of period	 <u>\$ 4,408,704</u>	 <u>\$ 4,261,795</u>	 <u>\$ 4,261,795</u>	 <u>\$ 3,570,371</u>

<b>APPROVAL</b> <i>Slw</i> <i>Pod</i>	<b>REQUEST FOR COUNCIL ACTION</b>	<b>MEETING DATE</b> Oct 7, 2014
<b>REPORTS &amp; RECOMMENDATIONS</b>	<b>Recommendation to amend the Fund Balance Policy Adopted Sept 4, 2012</b>	<b>ITEM NUMBER</b> <i>G.11.</i>

**Background**

The Common Council adopted the current Fund Balance policy on Sept 4, 2012. That policy said "The overall goal for all Fund Balances for the City of Franklin General Fund shall be 30% of current year expenditures."

The budget summary document (pages 1 & 2 of the 2015 Mayor's Recommended Budget) lists total expenditures for not only the General Fund but also selected other funds of the City. That document also provides the fund balance for the same funds and the relationship of the fund balance to the annual expenditures of the funds. The funds included on the budget summary pages include the General Fund, Library Fund, Solid Waste Fund, Sanitary Sewer Fund, Capital Outlay, Equipment Replacement, Street Improvement Fund and the Debt Service Fund. Not all of these funds are tax levy supported.

A conflict exists between the policy which states "General Fund" and the budget document which includes additional funds.

At December 31, 2013, the ratio for the General Fund standing alone was 34.1% (7,781,567 divided by 22,800,552). The draft budget summary indicates that the above listed funds results in a ratio of 36.3%. The deficit Debt Service fund balance is the result of interfund advances in this fund.

**Analysis**

In either case, the ratio exceeds the 30% limit. However, the conflict between which funds to include in the calculation results in confusion. The excess General Fund balance of 4.1% amounts to \$934,823, while the excess 6.3% of the group of funds amounts to \$1,985,109 – more than double the General Fund amount.

Staff recommends removing those funds which are not tax levy supported. These funds are un-likely to have fund balance transferred to them from tax levy supported funds. Fees for services support these funds over the long run, not tax levy.

Removing the Non-spendable fund balance is also advisable. As the name indicates, the balance is not currently available for spending, and therefore not available for immediate expenditure. The Non-spendable fund balance only relates to the General Fund.

Generally, expenditures in the Debt Service fund are the tax levy resources that support Capital Improvement Fund expenditures for prior years. In staff's view, the Debt Service Fund properly belongs in the calculation of the Fund Balance policy.

The Special Assessment fund balance is added to the Debt Service Fund balance, as the Special Assessment fund supplements the Debt Service Fund with resources. There are no other uses of the Special Assessment fund balance. The Debt Service fund traditionally maintains a minimal fund balance, with any available fund balance residing in the Special Assessment Fund. These two funds are combined in one presentation in the Comprehensive Annual Financial Report. The only Special

Assessment Fund expenditures are transfers to the Debt Service Fund, to include them as expenditures, would essentially double count the expenditures.

The Debt Service fund currently has interfund advances which result in deficit fund balances. Interfund advances and repayments do not flow thru expenditures. The deficit fund balance is temporary [by 2015 the interfund balances will be repaid]. Refunding of debt can also result in spikes in Debt Service fund expenditures.

The Capital Improvement Fund has been excluded as expenditures can vary significantly depending upon the projects in any given year. In addition, many of those expenditures can be financed by other outside resources (TID Districts, Impact Fees, Connection fees, grants, etc). The tax levy support for this fund flows thru the Debt Service fund (which has been included) or via transfers from other funds with tax levy support that are also included in the calculation.

Looking at the individual funds and their ratios as of Dec 31, 2013, the impact of the above discussion can be seen.

Fund	2013 Exp	2013 Fund Bal	Ratio	2013 Budget Expend	Ratio
General	22,800,552	7,781,567	34.1	24,495,100	29.5
Library	1,335,944	439,670	32.9	1,354,891	32.4
Solid Waste	1,469,133	188,307	12.8	1,472,896	12.8
Sanitary Sewer	2,969,649	2,660,848	89.6	2,907,000	91.5
Capital Outlay	549,425	337,575	61.4	403,000	83.8
Equipment Repl	406,528	1,916,045	471.3	683,074	280.5
Street Improvement	949,056	218,563	23.0	778,450	28.1
<i>Total Capital Funds</i>	<i>1,905,009</i>	<i>2,472,183</i>	<i>77.1</i>		
Debt Service	1,029,381	(2,099,711)	n/a	1,029,380	n/a
<b>Total per Budget Summary</b>	<b>31,509,668</b>	<b>11,442,864</b>	<b>36.3</b>	<b>33,123,791</b>	<b>34.5</b>
Remove Non-spendable		-550,906			
Remove Sewer Fund	-2,969,649	-2,660,848		-2,907,000	
Spec Assessment Fund Bal		606,945			
Total	28,540,019	8,838,055	31.0	30,216,791	29.2
Excess Fund Balance		285,400		nil	

### **Recommendation**

Finance Committee recommends that the Fund Balance Policy be modified to include the following tax levy supported funds:

- . General Fund
- . Library Fund
- . Solid Waste Fund
- . Capital Outlay Fund
- . Equipment Replacement Fund
- . Street Improvement fund
- . Debt Service Funds
- . Special Assessment Fund

Funds excluded from this calculation:

- . Water Utility Fund (No Tax Levy Support)
- . Sanitary Sewer Fund (no Tax Levy Support)
- . Develop fund & Utility Development Fund
- . TIF District funds
- . Capital Improvement Fund
- . Other non-major funds not listed above

The policy should be further modified to exclude Non-spendable fund balances of the General Fund.

**COUNCIL ACTION REQUESTED**

Motion to adopt the Fund Balance Policy as attached.

STATE OF WISCONSIN : CITY OF FRANKLIN : MILWAUKEE COUNTY

RESOLUTION NO. 2014-\_\_\_\_\_

A RESOLUTION TO UPDATE FUND BALANCE POLICY FOR THE CITY OF FRANKLIN

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WHEREAS, the Common Council adopted a fund balance policy primarily for the General fund in resolution 2001-5299 to deal with working capital need and other needs; and

WHEREAS, the Common Council amended that policy on September 4, 2012, and

WHEREAS, the policy provides guidance for fund balance levels, how to adjust them and when to adjust them.

NOW, THEREFORE, BE IT RESOLVED, that the ratio of year end Fund Balance to current year Expenditures shall target a range between 20 and 30%. The amount of Fund Balance will be taken into consideration when establishing the following year's budget as follows:

1. When the ratio falls below 20%, provision will be made through the budget process to increase the year end fund balance
2. When the ratio is in the range of 20 – 30% no provision need be necessary through the budget process to affect the year end fund balance, and
3. When the ratio is above 30%, provision will be made through the budget process to decrease the year end Fund Balance.

BE IT FURTHER RESOLVED that Fund Balance will be used to support expenditures that are of a one time nature and do not require a repeated resource to maintain the expenditures.

That Unassigned Fund Balance equal to at least 15% of the succeeding year expenditure budget be maintained for working capital to enable the City to meet the cash flow requirements of the coming year.

The following tax levy supported funds will be included in the calculation of the ratio: General Fund, Library Fund, Solid Waste Fund, Capital Outlay Fund, Equipment Replacement Fund, Street Improvement Fund, Debt Service Fund and Special Assessment Fund. Transfers out of the Special Assessment fund to the Debt Service Fund shall be ignored.

Non-spendable fund balance of the General Fund shall be excluded from the fund balance total.

Responsibility for determining Fund Balance types (Nonspendable, Restricted, Committed, Assigned and Unassigned) as defined by Governmental Accounting Standards shall rest with the Director of Finance & Treasurer (or successor position).

Introduced at a regular meeting of the Common Council of the City of Franklin this 7th day of October, 2014.

Passed and adopted at a regular meeting of the Common Council of the City of Franklin  
this 7th day of October, 2014.

APPROVED:

\_\_\_\_\_  
Stephen R Olson, Mayor

ATTEST:

\_\_\_\_\_  
Sandra L. Wesolowski, City Clerk

AYES\_\_\_\_NOES\_\_\_\_ABSENT\_\_\_\_

City of Franklin  
2014 Adopted Budget

**SUMMARY OF CITY OF FRANKLIN REVENUE AND EXPENDITURES**

The Common Council of the City of Franklin, Wisconsin, will hold a public hearing on Monday, November 4, 2013, at 6:30 p.m., or as soon thereafter as the matter may be heard, in the Common Council Chambers, 9229 West Loomis Road, Franklin, Wisconsin, for the purpose of hearing any citizen or taxpayer on the proposed 2014 City Budget. Summary of the proposed budget is published herewith and a copy of the complete proposed budget will be available for public inspection at the Office of the City Clerk, Franklin City Hall, Monday - Friday from 8:30 a.m. to 5:00 p.m. (holidays excepted), at the Franklin Public Library, following the date of this notice, and is on the City of Franklin website-[www.franklinwi.gov](http://www.franklinwi.gov).  
Dated at Franklin, Wisconsin, this 17th day of October, 2013.

Sandra Wesolowski, City Clerk

	2011 Actual	2012 Actual	2013 Adopted Budget	2013 Amended Budget	2013 Estimate (12 months)	2014 Adopted Budget	Percent Change
<b>Operating Funds:</b>							
<b>General Fund</b>							
<b>Revenue</b>							
Other Taxes	\$ 1,649,438	\$ 1,769,870	\$ 1,802,000	\$ 1,802,000	\$ 1,802,000	\$ 1,770,500	-1.7%
Intergovernmental Revenue	2,735,049	2,802,048	2,542,600	2,542,600	2,542,600	2,549,550	0.3%
Licenses and Permits	702,674	755,027	739,000	753,000	739,000	864,300	17.0%
Fines, Forfeitures, and Penalties	433,106	457,499	450,000	450,000	450,000	444,000	-1.3%
Public Charges for Service	1,985,052	1,693,847	1,323,500	1,323,500	1,312,700	1,416,400	7.0%
Intergovernmental Charges	245,000	103,615	125,000	125,000	125,000	125,000	0.0%
Interest Revenue	229,768	213,200	142,000	142,000	8,000	138,500	-2.5%
Miscellaneous Revenue	142,751	167,413	91,000	91,000	134,200	74,700	-17.9%
Transfers from Other Funds	110,543	0	0	0	0	400,000	0.0%
Total non-tax levy revenue	8,233,381	7,962,519	7,215,100	7,229,100	7,113,500	7,782,950	7.9%
Property Taxes	16,973,282	16,204,907	16,330,000	16,330,000	16,330,000	16,220,400	-0.7%
<b>Total Revenue</b>	<b>25,206,663</b>	<b>24,167,426</b>	<b>23,545,100</b>	<b>23,559,100</b>	<b>23,443,500</b>	<b>24,003,350</b>	<b>1.9%</b>
<b>Expenditures</b>							
General Government	\$ 2,596,579	\$ 2,631,414	\$ 2,517,863	\$ 2,518,263	\$ 2,578,853	\$ 2,576,466	2.3%
Public Safety	15,992,111	16,384,502	16,168,265	16,178,990	15,969,110	16,113,922	-0.3%
Public Works	4,779,794	3,354,749	3,497,059	3,522,359	3,482,473	3,526,318	0.8%
Health and Human Services	623,602	633,018	659,002	659,002	661,845	657,804	-0.2%
Culture and Recreation	165,533	173,840	171,901	171,901	171,493	172,082	0.1%
Conservation and Development	404,756	377,644	408,010	408,010	376,434	471,758	15.8%
Contingency	0	0	1,073,000	1,072,075	117,075	1,075,000	0.2%
Other Financing Uses	0	220,000	0	85,982	38,000	400,000	0.0%
<b>Total Expenditures</b>	<b>\$ 24,562,374</b>	<b>\$ 23,775,167</b>	<b>\$ 24,495,100</b>	<b>\$ 24,616,582</b>	<b>\$ 23,395,283</b>	<b>\$ 24,993,350</b>	<b>2.0%</b>
<b>Fund Balance:</b>							
Beginning of Year	5,476,585	6,120,873	6,513,132	6,513,132	6,513,132	6,561,349	
Net Change/Transfer from Fund Bal.	644,288	392,259	(950,000)	(1,057,482)	48,217	(990,000)	
<b>End of Year</b>	<b>\$ 6,120,873</b>	<b>\$ 6,513,132</b>	<b>\$ 5,563,132</b>	<b>\$ 5,455,650</b>	<b>\$ 6,561,349</b>	<b>\$ 5,571,349</b>	
<b>Special Revenue Funds</b>							
<b>Revenue</b>							
Property Taxes - Library	\$ 1,175,000	\$ 1,222,000	\$ 1,240,000	\$ 1,240,000	\$ 1,240,000	\$ 1,240,000	0.0%
Reciprocal Borrowing - Library	121,908	113,352	50,000	50,000	118,756	50,000	0.0%
Miscellaneous Revenue - Library	11,387	10,203	16,000	16,000	0	5,000	-68.8%
Solid Waste Collection		1,594,366	1,615,000	1,615,000	1,562,000	1,562,800	-3.2%
<b>Total Revenue</b>	<b>1,308,295</b>	<b>2,939,921</b>	<b>\$ 2,921,000</b>	<b>\$ 2,921,000</b>	<b>\$ 2,920,756</b>	<b>\$ 2,857,800</b>	<b>-2.2%</b>
<b>Expenditures</b>							
Library	1,302,812	1,316,968	\$ 1,352,356	\$ 1,373,356	\$ 1,319,922	\$ 1,367,506	1.1%
Solid Waste Collection		1,493,819	1,547,934	1,547,934	1,477,166	1,523,754	-1.6%
<b>Total Expenditures</b>	<b>1,302,812</b>	<b>2,810,787</b>	<b>\$ 2,900,290</b>	<b>\$ 2,921,290</b>	<b>\$ 2,797,088</b>	<b>\$ 2,891,260</b>	<b>-1.0%</b>
<b>Fund Balance</b>							
Beginning of the Year	390,683	396,165	525,299	525,299	525,299	648,967	
<b>End of the Year</b>	<b>396,165</b>	<b>525,299</b>	<b>\$ 546,009</b>	<b>\$ 525,009</b>	<b>\$ 648,967</b>	<b>\$ 615,507</b>	
<b>Sanitary Sewer Fund</b>							
<b>Revenue</b>							
Charges for Services	\$ 3,126,277	\$ 3,144,090	\$ 3,238,000	\$ 3,238,000	\$ 3,238,000	\$ 3,333,879	3.0%
Miscellaneous Revenue	37,230	24,261	9,000	9,000	9,000	9,000	0.0%
<b>Total Revenue</b>	<b>\$ 3,163,507</b>	<b>\$ 3,168,352</b>	<b>\$ 3,247,000</b>	<b>\$ 3,247,000</b>	<b>\$ 3,247,000</b>	<b>\$ 3,342,879</b>	<b>3.0%</b>
<b>Expenditures</b>							
Operations and Maintenance	\$ 2,666,308	\$ 2,621,297	\$ 2,796,727	\$ 2,208,727	\$ 2,904,962	\$ 2,872,171	2.7%
Capital Outlay & Other	36,282	51,344	150,000	150,000	-	150,000	0.0%
Transfers to Other Funds	94,000	94,000	96,000	96,000	96,000	99,840	4.0%
<b>Total Expenditures</b>	<b>\$ 2,796,590</b>	<b>\$ 2,766,641</b>	<b>\$ 3,042,727</b>	<b>\$ 2,454,727</b>	<b>\$ 3,000,962</b>	<b>\$ 3,122,011</b>	<b>2.6%</b>
<b>Retained earnings</b>							
Beginning of the Year	1,036,614	2,011,257	2,422,961	2,422,961	2,422,961	2,668,999	
Transfer to Invested in Capital	607,726	9,994	(34,350)	(34,350)	-	(1,573,425)	
<b>End of the Year</b>	<b>\$ 2,011,257</b>	<b>\$ 2,422,961</b>	<b>\$ 2,592,884</b>	<b>\$ 3,180,884</b>	<b>\$ 2,668,999</b>	<b>\$ 1,316,442</b>	

	2011 Actual	2012 Actual	2013 Adopted Budget	2013 Amended Budget	2013 Estimate (12 months)	2014 Adopted Budget	Percent Change
<b>Capital Expenditure Funds</b>							
<b>Equipment Replacement Fund, Capital Outlay Fund &amp; Street Improvement Fund</b>							
<b>Revenue</b>							
Property Taxes-Capital Outlay	\$ 380,000	\$ 384,000	\$ 394,000	\$ 394,000	\$ 394,000	\$ 430,000	9.1%
Property Taxes-Equip Replacement	130,000	281,000	285,000	285,000	285,000	337,000	18.2%
Property Taxes-Street Improvement	400,000	604,000	610,000	610,000	610,000	681,600	11.7%
Intergovernmental Revenue	6,119	157,814	25,000	25,000	0	78,000	0.0%
Landfill Siting Revenue	450,000	450,000	450,000	450,000	450,000	300,000	0.0%
Miscellaneous Revenue	130,581	412,731	79,000	89,000	101,000	30,000	-62.0%
Other Financing Sources	0	173,540	0	0	0	200,000	0.0%
<b>Total Revenue</b>	<b>\$ 1,496,699</b>	<b>\$ 2,463,085</b>	<b>\$ 1,843,000</b>	<b>\$ 1,853,000</b>	<b>\$ 1,840,000</b>	<b>\$ 2,056,600</b>	<b>11.6%</b>
<b>Expenditures</b>							
Capital Outlay-Equip Replacement	\$ 296,003	\$ 528,716	\$ 403,000	\$ 420,000	\$ 420,000	\$ 231,500	-42.6%
Capital Outlay-Capital Outlay	645,776	521,644	683,074	693,074	668,116	641,646	-6.1%
Capital Outlay-Street Improvement	748,347	1,087,091	778,450	778,450	775,000	1,160,000	49.0%
<b>Total Expenditures</b>	<b>\$ 1,690,126</b>	<b>\$ 2,137,450</b>	<b>\$ 1,864,524</b>	<b>\$ 1,891,524</b>	<b>\$ 1,863,116</b>	<b>\$ 2,033,146</b>	<b>9.0%</b>
<b>Fund Balance</b>							
Beginning of the Year	2,318,944	2,125,517	2,451,152	2,451,152	2,451,152	2,428,036	
End of the Year	\$ 2,125,517	\$ 2,451,152	\$ 2,429,628	\$ 2,412,628	\$ 2,428,036	\$ 2,451,490	
<b>Debt Service Fund</b>							
<b>Revenue</b>							
Property Taxes	\$ 1,900,000	\$ 1,750,000	\$ 1,650,000	\$ 1,650,000	\$ 1,650,000	\$ 1,600,000	-3.0%
Miscellaneous Revenue	-	28,363	-	-	-	-	0.0%
<b>Other Financing Source:</b>							
Transfer from Other Funds	259,624	161,348	206,000	206,000	245,113	158,000	-23.3%
Transfer from TIF Districts	174,164	-	-	-	-	-	
Transfer from Special Assessments	345,720	-	148,380	148,380	83,874	219,644	0.0%
<b>Total Revenue</b>	<b>\$ 2,679,508</b>	<b>\$ 1,939,711</b>	<b>\$ 2,004,380</b>	<b>\$ 2,004,380</b>	<b>\$ 1,978,987</b>	<b>\$ 1,977,644</b>	<b>-1.3%</b>
Proceeds from Borrowing	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,000,000	
<b>Expenditure</b>							
Debt Service *	\$ 1,729,508	\$ 8,764,318	\$ 1,029,380	\$ 1,029,380	\$ 1,029,380	\$ 915,644	-11.0%
Transfer to Other Funds	-	-	-	-	-	2,000,000	
Interfund Advances (Repayments)	-	-	975,000	975,000	975,000	1,062,000	0.0%
<b>Fund Balance</b>							
Beginning of the Year	(3,738,000)	(3,738,000)	(3,086,607)	(3,086,607)	(3,086,607)	(2,137,000)	
Interfund advances *	950,000	7,476,000	(975,000)	(975,000)	(975,000)	(1,062,000)	
End of the Year	\$ (3,738,000)	\$ (3,086,607)	\$ (2,111,607)	\$ (2,111,607)	\$ (2,137,000)	\$ (1,075,000)	
* Excludes TIF Districts Debt service and internal investment activity							
<b>Summary of Budgeted Funds (without Capital Improvement and Development Funds):</b>							
Total Revenue	\$ 33,854,672	\$ 34,678,495	\$ 33,560,480	\$ 33,584,480	\$ 33,430,243	\$ 34,238,273	2.0%
Total Expenditures	32,081,410	40,254,363	33,332,021	32,913,503	32,085,829	33,955,411	1.9%
Total Tax Levy	20,958,282	20,445,907	20,509,000	20,509,000	20,509,000	20,509,000	0.00%
Percent of Total Revenue	61.9%	59.0%	61.1%	61.1%	61.3%	59.9%	
Assessed Value	3,490,551,541	3,546,495,988	3,546,495,988	3,546,495,988	3,546,495,988	3,258,448,900	-8.1%
Tax Rate	\$6.004	\$5.765	\$5.783	\$5.783	\$5.783	\$6.294	8.8%
Total Fund Balance & Retained Earnings	6,915,812	8,825,937	9,020,046	9,462,564	10,170,351	8,879,788	-1.6%
Percent of Total Expenditures	21.6%	21.9%	27.1%	28.7%	31.7%	26.2%	
<b>Capital Improvement Fund (One time projects):</b>							
<b>Revenue</b>							
Landfill Siting Revenue	\$ 113,620	\$ 205,981	\$ 215,500	\$ 215,500	\$ 240,000	\$ 296,000	37.4%
Miscellaneous Revenue	98,455	3,806	96,720	171,564	168,564	147,000	52.0%
Other Financing Sources	1,046,047	699,273	1,273,360	1,408,062	316,422	5,310,140	317.0%
<b>Total Revenue</b>	<b>\$ 1,258,122</b>	<b>\$ 909,060</b>	<b>\$ 1,585,580</b>	<b>\$ 1,795,126</b>	<b>\$ 724,986</b>	<b>\$ 5,753,140</b>	<b>262.8%</b>
Proceeds from Borrowing	\$ -	\$ -	\$ 200,000	\$ 200,000	\$ -	\$ 1,950,000	875.0%
<b>Expenditures</b>							
Capital Outlay	\$ 1,402,868	\$ 882,004	\$ 1,844,830	\$ 2,125,537	\$ 851,037	\$ 7,475,033	305.2%
<b>Fund Balance</b>							
Beginning of the Year	391,301	246,556	273,611	273,611	273,611	147,560	
End of the Year	\$ 246,556	\$ 273,611	\$ 214,361	\$ 143,200	\$ 147,560	\$ 375,667	
<b>Development Fund (Impact Fees)</b>							
<b>Revenue</b>							
Impact Fees	\$ 489,058	\$ 764,478	\$ 550,000	\$ 550,000	\$ 671,400	\$ 645,000	
Interest, Investment & Other Revenue	50,586	123,111	111,570	111,570	130,314	95,694	
<b>Total Revenue</b>	<b>539,644</b>	<b>887,589</b>	<b>661,570</b>	<b>661,570</b>	<b>801,714</b>	<b>740,694</b>	
<b>Expenditures</b>							
Transfer to Debt Service	259,624	161,347	455,176	455,176	245,113	455,176	
Transfer to Capital Improvement	-	-	273,360	322,080	-	1,557,949	
Other	5,806	6,551	-	9,600	9,600	-	
Shortfall of Available fees	-	-	(225,000)	(225,000)	-	-	
<b>Total Expenditures</b>	<b>265,430</b>	<b>167,898</b>	<b>503,536</b>	<b>561,856</b>	<b>254,713</b>	<b>2,013,125</b>	
<b>Fund Balance</b>							
Beginning of the Year	3,620,826	3,895,040	4,614,731	4,614,731	4,614,731	5,161,732	
Tot: End of the Year	\$ 3,895,040	\$ 4,614,731	\$ 4,772,766	\$ 4,714,446	\$ 5,161,732	\$ 3,889,301	

STATE OF WISCONSIN : CITY OF FRANKLIN : MILWAUKEE COUNTY

RESOLUTION NO. 2011- 6764

A RESOLUTION TO UPDATE FUND BALANCE POLICY FOR THE CITY OF FRANKLIN

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WHEREAS, the Common Council adopted a fund balance policy primarily for the General fund in resolution 2001-5299 to deal with working capital need and other needs; and

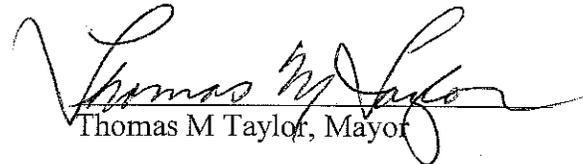
WHEREAS, it is desirable to update this policy to reflect changes mostly in definitions found in GASB #54.

NOW, THEREFORE, BE IT RESOLVED, by the Mayor and Common Council of the City of Franklin adopts Fund Balance Policy 151-00-002 for the city of Franklin.

Introduced at a regular meeting of the Common Council of the City of Franklin this 6th day of December, 2011.

Passed and adopted at a regular meeting of the Common Council of the City of Franklin this 6th day of December, 2011.

APPROVED:

  
Thomas M Taylor, Mayor

ATTEST:

  
Sandra L. Wesolowski, City Clerk

AYES 5 NOES 0 ABSENT 1 (Ald. Wilhelm)

- CONSOLIDATED FIRE SERVICES G.4. Alderman Olson moved to table to the special Committee of the Whole meeting scheduled at Item G.3., letters from the Finance Committee and Robert D. Donohoo, Chairman of Franklin Police and Fire Commission, regarding the Public Policy Forum's document "Exploring Shared or Consolidated Services in Southern Milwaukee County". Seconded by Alderman Taylor. All voted Aye; motion carried.
- G.5.
- WENS SERVICE AGREEMENT G.6. Alderman Taylor moved to authorize the Mayor and City Clerk to execute a renewal Wireless Emergency Notification System (WENS) Service Agreement with Inspiron Logistics for the Emergency Notification System. Seconded by Alderman Olson. All voted Aye; motion carried.
- GENERAL FUND G.7. Alderman Solomon moved to adopt financial policy 151-00-003 revising the Fund Balance Policy of the General Fund. Seconded by Alderman Skowronski. At 10:15 pm Mayor Tom Taylor passed the gavel to Council President Steve Taylor, who then chaired the meeting. At 10:22 p.m. the gavel was returned to Mayor Tom Taylor, who then returned to chairing the meeting. On the main motion, all voted Aye; motion carried.
- RES. 2012-6833 EASEMENTS – PEOPLE'S CHOICE CORPORATION G.8. Alderman Olson moved to adopt Resolution No. 2012-6833, A RESOLUTION AUTHORIZING ACCEPTANCE OF EASEMENTS FOR PEOPLE'S CHOICE CORPORATION FOR SANITARY SEWER AND WATER MAIN AT 7700 W. RAWSON AVENUE (SE 1/4, Section 4). Seconded by Alderman Taylor. All voted Aye; motion carried.
- RES. 2012-6834 EASEMENTS- 11838 W RYAN RD G.9. Alderman Solomon moved to adopt Resolution No. 2012-6834. RESOLUTION ACCEPTING STORM SEWER WITHIN THE PROPERTY OF 11838 W. RYAN ROAD. Seconded by Alderman Taylor. Alderman Skowronski, seconded by Alderman Taylor, moved to call the question. Motion ruled out of order as Alderman Wilhelm had the floor. Motion carried on a voice vote for the main motion to adopt Resolution No. 2012-6834, Alderman Wilhelm voted No.
- SURVEY – S. 76TH ST RECONSTRUCTION G.10. Alderman Taylor moved to table direct staff to proceed with the necessary action to extend sanitary sewer and/or water main in the areas without such facility located on the west side of S. 76th Street between W. Puetz Road and W. Faith Drive in advance of the reconstruction of S. 76th Street in 2014. Seconded by Alderman Schmidt. All voted Aye; motion carried.

151-00-003

**City of Franklin**  
**Finance Department Policy/Procedure**

**Subject:** Fund Balance Policy

**Issue Date:** September 9, 2014

Deleted: 2

Deleted: December 2011

**Source:** Common Council resolutions 2012-xxxx, 2011-6764, 2001-5299 & 1999-4928

**Affected**

**Departments:** All

**Purpose:**

To maintain funds to preserve the credit worthiness of the City to enable borrowing money at favorable interest rates, to meet unbudgeted expenditure needs or offset unrealized revenues during an annual budget cycle, to provide sufficient working capital to meet the City's cash flow needs during the following cycle, to stabilize fluctuations from year to year in property taxes paid by City taxpayers and to enable the City the flexibility to meet changing conditions.

**Policy:**

**General Fund:**

1. The overall goal for all Fund Balances for the City of Franklin ~~General Fund~~ shall be 30% of current year expenditures. ~~The following funds to be included in the calculation: General Fund; Library Fund; Solid Waste Fund, Sanitary Sewer Fund (excluding Net Investment in Capital Assets), Capital Outlay Fund, Equipment Replacement Fund, Street Improvement Fund, Debt Service Fund, and Special Assessment Fund (51).~~
2. The amount of Fund Balance will be taken into consideration when establishing the following years budget:
  - When the Fund balance is below 20% provision will be made through the budget process to increase the year end Fund balance.
  - When the Fund balance is in the range of 20% to 30% no provision will be necessary through the budget process to affect the year end Fund balance.
  - When the Fund balance is above 30% provision will be made through the budget process to decrease the year end Fund balance.
3. Fund Balance will be used to support expenditures that are of a one time nature and do not require a repeated use to maintain the expenditure in future years.
4. Non-spendable fund balances of the General Fund shall be excluded from the calculation.

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5. That Unassigned Fund Balance plus any internal advances equal to at least 15% of the following year General Fund annual expenditure budget be maintained for working capital to enable the City to meet the cash flow requirements of the coming year.

**Other Funds:**

Other funds are encouraged to maintain an appropriate amount of fund balance depending upon the type of fund so as to not create any surprise obligations to the General Fund.

**Classification of Fund Balance**

GASB #54 has established new classifications for Fund Balance

Nonspendable – Inventory

Restricted – unspent portion of Grant funds

Committed – Resources committed by the Common Council for a specific purpose

Assigned – a fund balance utilized for a particular purpose

Unassigned – all balances that are available for use

**Determination of Assigned vs unassigned balances**

GASB #54 indicates a need to establish the position that determines assigned vs unassigned fund balances. The City of Franklin assigns the responsibility for this determination to the Director of Finance & Treasurer..

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<p><b>APPROVAL</b></p> <p><i>Slw</i></p>	<p><b>REQUEST FOR COMMON COUNCIL ACTION</b></p>	<p><b>MEETING DATE</b></p> <p><b>10/07/2014</b></p>
<p><b>REPORTS AND RECOMMENDATIONS</b></p>	<p>RECOMMENDATIONS FROM THE COMMITTEE OF THE WHOLE</p>	<p><b>ITEM NUMBER</b></p> <p><i>G.12.</i></p>

Recommendations from the Committee of the Whole meeting held October 6, 2014:

Review of Mayor's Recommended 2015 Budget (including all funds, departments, revenues, expenditures, and activities)

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<p><b>APPROVAL</b></p> <p><i>Slw</i></p>	<p><b>REQUEST FOR COUNCIL ACTION</b></p>	<p><b>MEETING DATE</b></p> <p>October 7, 2014</p>
<p><b>REPORTS AND RECOMMENDATIONS</b></p>	<p><i>Fratrick, et al., Plaintiffs, City of Franklin, Involuntary Plaintiff, v. MMSD and Milwaukee Sewerage Commission, Milwaukee County Circuit Court Case No. 14-CV-1083</i></p>	<p><b>ITEM NUMBER</b></p> <p><i>6.13.</i></p>

The Council may enter closed session pursuant to Wis. Stat. §19.85 (1) (g), to confer with legal counsel for the Common Council who is rendering advice concerning strategy to be adopted by the body with respect to the subject litigation, including an offer of settlement received by City defense counsel from plaintiffs' counsel, and to reenter open session at the same place thereafter to act on such matters discussed therein as it deems appropriate.

**COUNCIL ACTION REQUESTED**

Motion to enter closed session pursuant to Wis. Stat. §19.85 (1) (g), to confer with legal counsel for the Common Council who is rendering advice concerning strategy to be adopted by the body with respect to the subject litigation, including an offer of settlement received by City defense counsel from plaintiffs' counsel, and to reenter open session at the same place thereafter to act on such matters discussed therein as it deems appropriate.

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<b>APPROVAL</b> <i>Slw</i>	<b>REQUEST FOR COUNCIL ACTION</b>	<b>MEETING DATE</b> <b>10/07/2014</b>
<b>LICENSES AND PERMITS</b>	<b>MISCELLANEOUS LICENSES</b>	<b>ITEM NUMBER</b> <b>H.1.</b>

See attached list from meeting of October 7, 2014.

**COUNCIL ACTION REQUESTED**



# City of Franklin

9229 W. Loomis Road  
Franklin, WI 53132-9728

414-425-7500

## License Committee

### Agenda\*

Alderman's Room

October 7, 2014 – 5:45 pm

<b>1.</b>	<b>Call to Order &amp; Roll Call</b>	<b>Time:</b>		
<b>2.</b>	<b>Applicant Interviews &amp; Decisions</b>			
<b>License Applications Reviewed</b>		<b>Recommendations</b>		
<b>Type/ Time</b>	<b>Applicant Information</b>	<b>Approve</b>	<b>Hold</b>	<b>Deny</b>
Operator - New 2014-15 5:50 p.m.	<b>Gagliano, Chelsea M</b> 7010 W Evans Dr Franklin, WI 53132 Rock Sports Complex			
Operator - New 2014-15	<b>Jakubczak, Barbara M</b> 5003 S 26 <sup>th</sup> St Milwaukee, WI 53221 Polonia Sport Club			
Operator - New 2014-15	<b>Kapsos, Jennifer Lynn</b> 2567 S 92 <sup>nd</sup> St West Allis, WI 53227 Swiss Street Pub & Grill			
Operator - New 2014-15	<b>Morris, Alexandria Lee</b> 2920A, N Bartlett Ave Milwaukee, WI 53211 Chili's Bar & Grill			
Operator - New 2014-15	<b>Quiles-Lembcke, Kristi A</b> 5588 Serene Ct Greendale, WI 53129 Country Lanes			
Operator - New 2014-15	<b>Templeton, Katie Marie</b> 7925 S 27 <sup>th</sup> St Franklin, WI 53132 7-Eleven			
Temporary Class B Beer	<b>St Martin of Tours Parish School – Spaghetti Dinner</b> Person in Charge: Jeanne Johnson Location: 7933 S 116 <sup>th</sup> St Dates of Event: 10/11/2014			
<b>3.</b>	<b>Adjournment</b>	<b>Time</b>		

\*Notice is given that a majority of the Common Council may attend this meeting to gather information about an agenda item over which they have decision-making responsibility. This may constitute a meeting of the Common Council per State ex rel. Badke v. Greendale Village Board, even though the Common Council will not take formal action at this meeting.

<b>APPROVAL</b> <i>Slew Paul</i>	<b>REQUEST FOR COUNCIL ACTION</b>	<b>MEETING DATE</b> <b>10/7/14</b>
<b>Bills</b>	<b>Vouchers and Payroll Approval</b>	<b>ITEM NUMBER</b> <b>I. 1</b>

Attached is a list of vouchers dated September 19, 2014 through October 2, 2014 Nos. 153790 through Nos. 153975 in the amount of \$1,389,122.84. Included in this listing is EFT's Nos. 2698 through Nos. 2713 and Library vouchers in the amount of \$ 8,202.53. Attached is a list of voided checks in the amount of \$ (230.14).

The net payroll dated October 3, 2014 is \$ 328,509.89. Payroll deductions for October 3, 2014 are \$ 199,717.38.

The estimated payroll for October 17, 2014 is \$ 344,000.00 with estimated deductions of \$ 230,000.00.

There were no property tax refunds.

***COUNCIL ACTION REQUESTED***

Motion approving net general checking account City vouchers in the range of Nos. 153790 through Nos. 153975 in the amount of \$ 1,389,122.84 dated September 19, 2014 through October 2, 2014.

Motion approving the net payroll dated October 3, 2014 in the amount of \$ 328,509.89 and payments of the various payroll deductions in the amount of \$ 199,717.38, plus any City matching payments, where required.

Motion approving the net payroll dated October 17, 2014 estimated at \$ 344,000.00 and payments of the various payroll deductions estimated at \$ 230,000.00, plus any City matching payments, where required.