

## Chapter 10

### Plan Implementation

#### INTRODUCTION

This document sets forth the City of Franklin's vision for its future park, open space, and outdoor recreation sites and facilities and is considered an integral element of the City's comprehensive master plan. It provides a Comprehensive Outdoor Recreation Plan for the attainment of the goals and objectives the City has set for itself as expressed in this document and is an update of the 2002 Plan. In a practical sense, however, the Comprehensive Outdoor Recreation Plan update cannot be effectively implemented until the steps necessary to implement it have been specified and, ultimately, acted upon. After review of the Comprehensive Outdoor Recreation Plan update by both the City Parks Commission and City Plan Commission, and adoption by the Common Council, realization of the Comprehensive Outdoor Recreation Plan update will require faithful, long-term dedication by City officials to the Plan's underlying goals and objectives. Thus, the adoption of the Plan is only the beginning of a series of actions necessary to achieve its goals and objectives. The Plan should be used as a guide for making decisions concerning the acquisition and development of the City's parks, open space, and outdoor recreation sites and facilities.

One of the important tasks before the City's Parks Commission and Plan Commission is to reevaluate and reexamine the Comprehensive Outdoor Recreation Plan update on an approximately five-year basis or as becomes necessary due to any changing conditions. Criteria and issues pertaining to the updating and/or amendment of the Comprehensive Outdoor Recreation Plan update have been described in detail in Chapter 1 of this Plan.

Various Comprehensive Outdoor Recreation Plan update implementation tools will be explored in greater detail in this chapter.

#### PARK PLANNING ENABLING LEGISLATION

As stated earlier in Chapter 1, Section 62.23(2) and Section 66.1001 of the Wisconsin Statutes set forth the legislation necessary for cities to prepare comprehensive master plans for their physical development. The comprehensive master plan contains the following nine elements: Issues and Opportunities; Housing; Transportation; Utility and Community Facilities; Agricultural, Natural, and Cultural Resources; Economic Development; Intergovernmental Cooperation; Land Use; and Implementation. In particular, the Agricultural, Natural, and Cultural Resources element shall include

a compilation of objectives, policies, goals, maps and programs for the conservation, and promotion of the effective management, of natural resources, parks, open spaces, and recreational resources. This Comprehensive Outdoor Recreation Plan update and its various chapters address these requirements so as to be considered an element of the City's Comprehensive Master Plan.

In addition to the City Plan Commission's duties regarding the preparation of a City comprehensive master plan and its various elements, the Common Council must refer to the City Plan Commission for its consideration and report, before consideration by the Council, matters concerning, among others: *"the location, acceptance, extension, alteration, vacation, abandonment, change of use, sale, acquisition of land or lease of land for any. . . park, playground . . . or other memorial or public grounds . . . ."*

## **PARKS COMMISSION AND PARK ACQUISITION**

As indicated in Chapter 1, Section 27.08 of the Wisconsin Statutes provides that cities may create, by ordinance, a board of park commissioners. The City has such a Parks Commission. Under Section 27.08 of the Wisconsin Statutes, the duties of the Parks Commission include the acquisition of property for park purposes by gift, devise, bequest, or condemnation; either absolutely or in trust, money, real or personal property, or any incorporeal right or privilege after approval of the Common Council. Under Section 27.08 of the Wisconsin Statutes, the Parks Commission has the duties to govern, manage, control, to cause improvements, and care for all public parks, parkways, boulevards, and pleasure drives located within the City and partly outside the City.

In addition, under Section 62.23(17)(a) of the Wisconsin Statutes: *"Cities may acquire by gift, lease, purchase, or condemnation any lands (a) within its corporate limits for establishing, laying out, widening, enlarging, extending, and maintaining memorial grounds, streets, squares, parkways, boulevards, parks, playgrounds, sites for public buildings, and reservations in and about and along and leading to any or all of the same . . . ."*

## **FOREST ACQUISITION POWERS OF THE CITY**

Section 28.20 of the Wisconsin Statutes provides that cities may acquire land and appropriate funds for the purpose of establishing a community forest. Such a forest may be located outside of the city limits. Authority also is given to properly manage such forests and sell any merchantable timber derived from these forests.

## **PUBLIC INFORMATIONAL MEETINGS, HEARINGS, AND PLAN ADOPTION**

While Chapter 62.23 of the Wisconsin Statutes does not require cities to hold public hearings on proposed plans prior to adoption, it is nevertheless, good planning practice to do so. The City of Franklin understands that active citizen participation in the planning process not only provides an opportunity for the public to view the recommendations of the plan, but also to participate in their formulation. With such public participation, it is much more likely that the plan's recommendations will be understood, supported, and eventually, implemented. Therefore, ~~the~~[this 2010/2011](#) Comprehensive Outdoor Recreation Plan update provided the following public input opportunities:

- A short informal survey of Franklin residents and visitors to obtain public input about their experiences with, and thoughts on, the parks, open space sites, and outdoor recreation facilities within the City.
- A Public Workshop held on November 30, 2010, to allow Franklin residents and other interested individuals an opportunity to express what they feel the park, open space, and outdoor recreation priorities for the City should be for the next 5 to 15 years.
- An Open House held on January 24, 2011, in conjunction with a Parks Commission meeting, to obtain public comment on the draft CORP update.

Furthermore, if this Comprehensive Outdoor Recreation Plan update is to be adopted as an amendment of the City's Comprehensive Master Plan (as recommended in the 2009 Comprehensive Master Plan), Section 66.1001(4)(d) of the Wisconsin State Statutes requires at least one public hearing on this matter.

*It is recommended that a public hearing be held before the Common Council for consideration of the adoption of the Comprehensive Outdoor Recreation Plan update set forth in this document.*

## **CERTIFIED SURVEY MAP REVIEW, SUBDIVISION PLAT REVIEW, CONDOMINIUM PLAT REVIEW, AND RELATED UNIFIED DEVELOPMENT ORDINANCE AMENDMENTS**

As stated earlier, the City's Comprehensive Outdoor Recreation Plan update is an element of the City's Comprehensive Master Plan.

As such, it is recommended that the Comprehensive Outdoor Recreation Plan update, in conjunction with the City's detailed neighborhood development plans (set forth in the 1992 Comprehensive Master Plan) and the City's current 2025 Comprehensive Master Plan, should serve as the basis for the review of all proposed certified survey maps, subdivisions, and condominium plats in the City as they may relate to parks, open space, and outdoor recreation within the City of Franklin.

Any proposed departures from these plans should be carefully reviewed by the City Plan Commission. Such departures should be allowed by the City Plan Commission only when it finds that such departures are in the public interest and do not conflict with the Comprehensive Master Plan or the Comprehensive Outdoor Recreation Plan update.

#### Reservation and/or Dedication of Sites for Future Parks and Playgrounds

In the design of a subdivision plat, certified survey map, condominium, or multiple-family dwelling development zoned for residential uses, due consideration should be given to the reservation and/or dedication of suitable sites of adequate area for future parks and playgrounds as set forth in this Comprehensive Outdoor Recreation Plan update. The provision of public park areas should be based upon the per capita standards set forth in Chapter 3, and the public demand methodology set forth in Chapter 5, of this Plan.

In order to properly use the per capita standards, the determination of the persons per household (or dwelling unit) should be based upon the average number of persons per household as reported in the most recent U.S. Census for the City of Franklin. Since 2010 Census data was not available at the time of this Comprehensive Outdoor Recreation Plan update, year 2000 Census data was used. In the year 2000 there were 2.58 persons per household in the City of Franklin.

It is recommended that whenever a proposed playground, park, or other public open space land designated in the Comprehensive Outdoor Recreation Plan update is encompassed, all or in part, within a tract of land to be divided by either a certified survey map or subdivision plat or is a part of a condominium or multiple-family dwelling development, those lands designated as public should be dedicated to the public by the subdivider or condominium developer at the rates indicated in Table 10.1.

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—Christine Rathke Memorial Park

Table 10.1

PUBLIC OUTDOOR RECREATION LAND DEDICATION REQUIREMENTS  
FOR THE CITY OF FRANKLIN (ACRES PER DWELLING UNIT)

Facility Category	Public Outdoor Recreation Land Dedication Requirements (Acre Per Dwelling Unit)
Public Outdoor Recreation	
Community (in park sites)	<del>0.005434</del> 0.005676
Community Center Building Site	<del>0.0003729</del> 0.003208
Community (in middle or high school sites)	<del>0.002223</del> 0.002322
Community Trail System	<del>0.0008951</del> 0.0009987
Neighborhood (in park sites)	<del>0.004199</del> 0.004386
Neighborhood (in elementary school sites)	<del>0.003952</del> 0.004128
Mini Parks (in park sites)	<del>0.00247</del> 0.00258
<i>Total Public Outdoor Recreation Land Dedication Required</i>	<i><del>0.0198982</del> acre per dwelling unit</i>

NOTE: The numbers in Table 10.1 were calculated based upon the per capita acreage standards (see Table 3.1 of Chapter 3 of this Plan) and the persons per household. The proportionate share amount of land to be dedicated per dwelling unit in the City of Franklin was calculated for the provision of public outdoor recreation land. These rates are based on the following formula:

$$\frac{(\text{total acres required year } \del{2030}2025, \text{ per capita standard}) / (1,000 \text{ persons} \del{y\_ear}2025\text{-population} / 2.47\del{2.58} \text{ persons per household})}{\text{=total acres required per dwelling unit}}$$

The determination of the persons per household, or dwelling unit, is based upon the average number of persons per household as reported in the U.S. Census for the City of Franklin, Wisconsin. According to the U.S. Bureau of the Census, in the year ~~2010~~2000 there were ~~2.47~~2.58 persons per household in the City of Franklin. Table 10.1 represents the calculated determination of the amount of land which would be required for each of the various park types.

As noted in Chapter 5, the City of Franklin's total public park, open space, and outdoor recreation land requirement for the year ~~2030~~2025 should not be based solely upon a quantitative analysis. Rather, consideration of the public demand methodology described in Chapter 5 should also be included. This will allow proper consideration of unique opportunities and constraints, whether they be physical in nature or based upon public or professional input, which in turn will allow this Plan to reflect those conditions which make the City of Franklin unique. Most commonly considered in this regard are Special Parks -- those public park, open space, or outdoor recreation sites which are

intended solely or primarily for the permanent public preservation of valuable natural resource features.

Therefore, it is recommended that the Southwest Park as proposed herein also be considered in this regard during the design of subdivision plats, certified survey maps, condominiums, or multiple-family dwelling developments zoned for residential uses.

#### Options for the Provision of Public Outdoor Recreation Land

It is recommended that the City Plan Commission should, at the time of reviewing the certified survey map, preliminary plat, condominium, or multiple-family dwelling development, decide on one of the below stated options available for the provision of outdoor recreation land and record such decision in the minutes of the meeting at which the land division is presented for City Plan Commission approval.

The City Plan Commission should solicit recommendations from the City Parks Commission on such matters. The options are as follows:

1. Dedicate public park, open space, or outdoor recreation lands designated on the City comprehensive master plan, detailed neighborhood plans, Comprehensive Outdoor Recreation Plan, or other comprehensive master plan component, or
2. Reserve such public park, open space, or outdoor recreation lands and require the payment of a public outdoor recreation land site fee in conformance with the City of Franklin "Park and Recreation Facility Impact Fee Ordinance", or
3. Where no public outdoor recreation lands are directly involved, require the payment of a public outdoor recreation land site fee in conformance with the City of Franklin "Park and Recreation Facility Impact Fee Ordinance".

It is recommended that the current Section 15-5.0110 titled "Parks, Playgrounds, and Other Recreational and Municipal Facilities" of the City of Franklin Unified Development Ordinance be amended as indicated in Appendix B to better assist in the implementation of the Comprehensive Outdoor Recreation Plan.

## NEED FOR UPDATE OF THE IMPACT FEE ORDINANCE FOR THE PURPOSE OF COLLECTING PARK AND RECREATIONAL FACILITY IMPACT FEES

Chapter 9 described and discussed the capital cost estimates for the Comprehensive Outdoor Recreation Plan update and also discussed impact fees.

*In order to properly implement this Plan in a fiscally responsible way, it is recommended that the City periodically review and update its "Impact Fee Ordinance" to specifically insure that it continues to meet all of the requirements of Section 66.0617 of the Wisconsin Statutes as it may be amended from time to time.*

In this regard, it can be noted that it is envisioned that such a review and possible update of the City's Impact Fee Ordinance will be initiated immediately after completion of this Comprehensive Outdoor Recreation Plan update.

## ZONING

Detailed plans can be implemented not only by certified survey map, subdivision, condominium, and other residential development review but also through the use of special zoning districts under the City of Franklin Unified Development Ordinance. Zoning allows for the protection of lands proposed for parks and parkways from incompatible urban or suburban encroachment. For instance, existing and proposed park and open space lands may be placed within a park or open space zoning district such as the City's P-1 Park District which is intended to:

*" . . . provide for areas where the recreational needs, both public and private, of the populace can be met without undue disturbance of natural resources and adjacent uses.*

Section 87.30 of the Wisconsin Statutes mandates that cities must enact a floodplain zoning ordinance "*. . . where appreciable damage from floods is likely to occur.*" Typically, such floodplain districts result in the reservation of needed community open space, and may allow for other uses in addition to those of floodwater movement and storage. The City currently has three such floodplain zoning districts in place in Division 15-3.0300 of the City's Unified Development Ordinance and appropriately mapped--the FW Floodway, FC Floodplain Conservancy, and FFO Floodplain Fringe Overlay Districts, as well as certain additional floodplain zoning districts as specified by Wisconsin's model floodplain ordinance.

Finally, under Section 62.231 of the Wisconsin Statutes, a city must also "*zone by ordinance all unfilled wetlands 5 acres or more . . . which are located in any shorelands and which are within its*

*incorporated area.*" Similar to floodplain zoning, the type of district required to protect such wetlands also results in the reservation of open space which may allow for other wetland associated land uses. The City currently has a SW Shoreland Wetland District in the City's Unified Development Ordinance which is intended to:

*" . . . preserve, protect, and enhance the ponds, streams, and wetland areas of the City of Franklin. The preservation, protection, and enhancement of these areas will serve to maintain safe and healthful conditions; maintain and improve water quality, both ground and surface; prevent flood damage; control storm water runoff; protect stream banks from erosion; protect groundwater recharge and discharge areas; protect wildlife habitat; protect native plant communities; avoid the location of structures on soils which are generally not suitable for use; and protect the water-based recreation resources of the City . . . ."*

In addition, the City protects other natural areas of the City which have various natural resource features such as mature and young woodlands, lakes, and ponds through the use of the City's Unified Development Ordinance's natural resource protection standards (see Chapter 3 of this Plan and Table 3.6). In this regard, the City's Comprehensive Master Plan also currently recommends the protection of additional natural resource features within the southwestern portion of the City, and suggests consideration of such additional protection in the remainder of the City.

*Therefore, it is recommended that the City of Franklin update the Unified Development Ordinance to protect SEWRPC's Primary and Secondary Environmental Corridors and Isolated Natural Resource Areas, and Cedarburg Science's Linkages, as set forth in the City of Franklin 2025 Comprehensive Master Plan.*

Furthermore, the City's Comprehensive Master Plan also currently promotes and encourages additional mixed use zoning within the City.

*Therefore, it is recommended that the City of Franklin update the Unified Development Ordinance to create a mixed use zoning district such that park, open space, and outdoor recreation sites and facilities would be a permitted use when included with other compatible uses as recommended in the City of Franklin 2025 Comprehensive Master Plan.*

## **OFFICIAL MAPPING**

Section 62.23(6) of the Wisconsin Statutes provides that the Common Council of any city may establish an official map for the precise identification of right-of-way lines and site boundaries of

streets, highways, historic districts, waterways and parkways, and the location and extent of railway rights-of-way, public transit facilities, parks, and playgrounds. Such a map has the force of law and is deemed to be final and conclusive with respect to the location and width of both existing and proposed streets, highways, waterways and parkways, the location and extent of railway rights-of-way, public transit facilities, parks, and playgrounds.

The official map is intended to be used as a precise planning tool to implement public plans for streets, highways, waterways and parkways, railways, public transit facilities, parks, and playgrounds. One of the basic purposes of the official map is to prohibit the construction of buildings or structures and their associated improvements on land that has been designated for future public use. The official map is a plan implementation device that operates on a community-wide basis in advance of land development and can, thereby, effectively assure the integrated development of the parkway, park, and playground system. Unlike subdivision control, which operates on a plat-by-plat basis, the official map operates over the entire City in advance of development proposals. The official map is a useful device to achieve public acceptance of long-range plans in that it serves as legal notice of the City's intention to all parties concerned well in advance of any actual improvements. It, thereby, avoids the situation of development being undertaken without knowledge or regard for the long-range plan and can help avoid public resistance when plan implementation becomes imminent.

While the City does have an official map, it only identifies existing rights-of-way.

*It is recommended that the City consider the creation of a more detailed official map at such time as this Comprehensive Outdoor Recreation Plan update, or the Comprehensive Master Plan, undergo a more comprehensive revision.*

## **THE NEED FOR A FIVE-YEAR CAPITAL IMPROVEMENTS PROGRAM**

A capital improvements program is simply a list of fundable major public improvements needed in a community over the next five years, arranged in order of preference to assure that the improvements are carried out in priority of need and in accord with the community's ability to pay. Major public improvements include such items as streets, sanitary sewers, storm sewers, water mains, and public buildings and parks, which together form the "urban infra-structure" required to support urban land use development and redevelopment. A capital improvements program is intended to promote well-balanced community development without overemphasis on any particular phase of such development, and to promote coordinated development both in time and between functional areas. With such a program, required bond issues and tax revenues can be foreseen and provisions made. Needed land for the projects can be acquired in a timely fashion and staged construction facilitated. Each of the park improvement priority levels also has corresponding operations and maintenance implications which also need to be considered by both the City Parks Commission and Common Council and on an individual basis through capital improvements programming.

The Comprehensive Outdoor Recreation Plan update sets forth needed improvements to existing park facilities (see Chapters 6, 7, and 9); thus, the Comprehensive Outdoor Recreation Plan update should be the primary source of park-related projects to be included in the capital improvements program list. However, this list may also include projects suggested by department heads, as well as by community and neighborhood groups.

*It is recommended that the Five-Year Capital Improvements Program utilize this Plan, and review, refine, and update its park, open space, and outdoor recreation site and facility recommendations on an annual basis so as to maintain its usefulness to the City's overall capital improvements program and budgeting process.*

The City is also to consider the use of an Americans with Disabilities Act (ADA) compliance transition plan to assist with the overall priority implementation of the ADA compliance components of the Comprehensive Outdoor Recreation Plan update and budgeting. This may also be addressed through Parks Commission and Common Council policy and budgeted through the capital improvements program.

## MILWAUKEE COUNTY

Milwaukee County Department of Parks, Recreation and Culture has the authority and responsibility for the acquisition, development, and maintenance of parks within Milwaukee County including the City of Franklin. SEWRPC's Community Assistance Planning Report No. 132 titled *A Park and Open Space Plan for Milwaukee County* (p. 70) recommended that the Milwaukee County Department of Parks, Recreation and Culture and the Wisconsin Department of Natural Resources assume responsibility for the provision of natural resource-related sites and facilities which are logically a part of an area-wide system. This system should include major parks, recreation corridors, and surface water access facilities, as well as an area-wide parkway system along major rivers such as the Root River. This system should include the following:

- The development of the major parks in Milwaukee County as part of the system.
- The development of the recreation corridor within Milwaukee County as part of the system of recreation trails proposed to be located throughout the region within designated parkways and other environmental corridors, along railway, power company, and other rights-of-way, and on public roads.
- The development of water access facilities providing boat access to major inland rivers.

*A Park and Open Space Plan for Milwaukee County* (p. 70) further indicates that while specific implementation measures are identified in the *A Park and Open Space Plan for Milwaukee County*, the provision of needed park and open space sites and facilities in the public interest is of primary importance and that all units of government in the County should cooperate to assure the timely reservation of lands for such sites and facilities.

As of 2010 in the City of Franklin, *A Park and Open Space Plan for Milwaukee County* (Map 20, p. 73) indicates that:

- Two areas are proposed to be acquired by Milwaukee County as parkland in the vicinity of Whitnall Park in the City of Franklin (see Map 7.1 of Chapter 7 of the *City of Franklin Comprehensive Outdoor Recreation Plan*).
- Various land areas are proposed to be acquired contiguous to the Root River Parkway in the City of Franklin (see Map 7.1 of Chapter 7 of the *City of Franklin Comprehensive Outdoor Recreation Plan*).

*A Park and Open Space Plan for Milwaukee County* (pp. 74-75) established a priority of the acquisition and development of the above listed sites as Priority Level II. A priority rating Level II indicates that a project is of secondary importance. Projects receiving a priority rating of II generally involve development of either non-resource-oriented facilities or development at sites not yet acquired by Milwaukee County.

*It is recommended that the City of Franklin continue to work with Milwaukee County in regard to park, open space, and outdoor recreation issues of mutual interest. In particular, it is recommended that the County and City continue to work cooperatively on the following projects: a Final End Land Use Plan for Crystal Ridge Park; a memorandum of understanding and Special Use Amendment for the Milwaukee County Sports Complex; and joint development of the existing Franklin Park/proposed Southwest Park area.*

## **PARK AND OPEN SPACE LAND PURCHASE AND ACQUISITION BY THE CITY**

There are several methods of land purchase which are available to the City for the purchase acquisition of outdoor recreation land. The following paragraphs briefly describe some of the more popular methods used.

### **General Forms of Acquisition**

Purchase of Fee Simple Interest: Purchase of fee simple interest is perhaps the surest way to preserve

open space lands. It is what most people normally conceive of when the word "purchase" is used. It includes the acquisition of the complete private bundle of rights which is immune from the control of other persons and is unlimited in duration, disposition, and descendibility.

Purchase and Resale Upon Condition: In this situation, the land is purchased but then resold and returned to the tax rolls. However, in this resale, the City would impose conditions on the use of the property. For example, a reversion clause could be used to make sure that, if identified open space purposes are not met, ownership of the land would revert back to the City.

Purchase and Lease Back: Under this method, the City would purchase the fee simple interest in the parcel and then, on an interim basis, lease use of the parcel back to either the seller or some other party. The lease-back arrangements would provide income to the City, yet the City would maintain control of the land with respect to subsequent use. The lease could contain conditions for future recreation and/or open space uses which could be enforced.

Acquisition Subject to Life Estate: Under this method, the City would purchase or otherwise acquire the land but allow the present owner to remain on the land for the duration of the present owner's lifetime. Upon the present owner's death, the City would take possession of the land. This method has the advantage of acquiring the parcel for a reasonable purchase price, while at the same time ensuring future public use of the property.

Acquisition of Tax Delinquent Land: Land can, in some cases, be acquired by the City for nonpayment of taxes. If the land so acquired is not suitable for open space purposes, it is possible that it could be exchanged for other lands which the City may want to acquire for recreation and/or open space purposes.

## Easement Acquisition

Conservancy Easements: Conservancy easements may be placed upon private lands and allow for public access to or through those lands. If recreation and/or open space lands are to be publicly accessible, under this method the City may buy the rights of public access to private land to provide for a public purpose, such as fishing, nature study, or open space preservation. Such easements may also prohibit the current landowner from removing vegetation or filling wetland areas.

If open space is to be preserved but is to be privately accessible, an option under this method is for the City to require that developers delineate conservancy easements on the face of the subdivision plat, certified survey map, condominium plat, or site plan (which would require later recordation of such easements with the Milwaukee County Register of Deeds). Thus, although not publicly accessible, open space areas would still be preserved. In fact, such open space areas may even be an integral part of the individual building lot or site if the lot is oversized to accommodate both the easement and the

development of the lot or site.

Scenic Easements: The City can either purchase or require scenic easement dedications to maintain control of scenic areas and vistas. The easement could include provisions which restrict the landowner's right to build structures, dump trash, cut timber or brush, or otherwise impair or modify the scenic areas.

#### Other Forms of Acquisition

Acquisition of Development Rights: Under this method, the City purchases only the right to develop the land. The original landowner retains ownership of the land, which remains on the tax roll. Stipulations can be made which assure that virtually no change in the existing use of the land could occur. Acquisition of such development rights may run for a given number of years or in perpetuity.

Gifts or Donations: The City may acquire interest in land through gifts or donations. In many instances, such gifts or donations are made because of tax advantages which accrue to the owner.

### **WISCONSIN DEPARTMENT OF NATURAL RESOURCES AND GRANTS**

The Wisconsin Department of Natural Resources has authority and responsibility in the areas of park development, natural resource and water quality protection, and water use regulations. Because of this broad range of authority and responsibility, some of the functions of the Department have importance in the implementation of the City's Comprehensive Outdoor Recreation Plan. For instance, a very important function is the Department's authority to administer the following grant programs:

- The Federal Land and Water Conservation (LAWCON) fund program (Public Law 88-578) within Wisconsin.
- The National Recreational Trails Act (RTA, Public Law 102-240) within Wisconsin.
- The State Aids for the Acquisition and Development of Local Parks (ADLP, Section 7.23.09(20) of the Wisconsin Statutes) program.
- The Urban Green Space Program (UGS, Section 23.09(19) of the Wisconsin Statutes).
- The Urban Rivers Grant Program (URGP) established by 1991 Wisconsin Act 269 (Section 30.277 of the Wisconsin Statutes).

- The Knowles-Nelson Stewardship Program established in 1990 by the State of Wisconsin and administered by the Wisconsin Department of Natural Resources.

*It is important that the Wisconsin Department of Natural Resources approve and adopt the Comprehensive Outdoor Recreation Plan for the City of Franklin in order to maintain the eligibility of the City for available state and federal outdoor recreation grants in support of Plan implementation.*

## **IMPLEMENTATION OF THE TRAIL AND BICYCLE CORRIDOR PLAN COMPONENTS OF THE PLAN**

In general, for those trail and bicycle corridor plan components recommended to be provided within the right-of-way of a public street or highway, the unit of government responsible for constructing and maintaining each street or highway in question should also have responsibility for constructing and maintaining the associated trail and/or bicycle facility, or for entering into operating or maintenance agreements with other units or agencies of government to perform maintenance activities.

Potential funding for the implementation of the trail and bicycle corridor plan components of the Comprehensive Outdoor Recreation Plan update could come from the Congestion Mitigation and Air Quality Improvement Program (CMAQ) which was created through Federal transportation funding for transportation-related programs. These programs typically provide funding for projects aimed at improving air quality and reducing congestion in Wisconsin's air quality attainment areas such as in Milwaukee County. Other funds for the development of off-street bicycle and pedestrian ways are available from the Department of Natural Resources through the Stewardship Fund program mentioned earlier, or the Wisconsin Department of Transportation's Transportation Enhancement Program, to name a few.

Another potential source of funding for the City's trail system is the Wisconsin Safe Routes to School program. It can be noted that the City of Franklin successfully applied for a Safe Routes to School Planning Grant in 2010 for a study of the Pleasant View Elementary School area.

*As previously mentioned in this CORP update, it is recommended that the results of the Safe Routes to School Planning Grant, and any subsequent grants, be incorporated into this CORP update.*

## **PARTNERSHIPS WITH MILWAUKEE COUNTY, LOCAL SCHOOL DISTRICTS, & OTHER ORGANIZATIONS**

Another effective way of implementing the City's Comprehensive Outdoor Recreation Plan update can be through the formation of "partnerships" between the City and other units of government, school districts, and private entities and/or organizations. These types of partnerships have already had precedent in the other communities in Milwaukee County. For instance, the City of Greenfield and Milwaukee County created such a "partnership" for the development of Konkel Park. Such "partnerships" can also be established with various public service organizations and the private sector for the provision of needed recreation facilities.

In addition, a number of civic organizations are active in Franklin, and over time have assisted with a number of park, open space, and/or outdoor recreation related projects within the City. Examples of these organizations and their park related projects include but are not limited to:

- The Franklin Historical Society, and its efforts to establish, maintain, and improve the Historical Village at Lions Legend Park. The Franklin Historical Society was instrumental in the establishment of the Historical Village comprised of the Sheehan-Godsell Cabin, the Old Town Hall, the Whelan School, and the St. Peters Chapel. The Historical Society also assists with the maintenance of these buildings. Furthermore, during 2010 and 2011, the Historical Society in general, and some of its members in particular, initiated an effort to establish an agricultural history/exhibit building within the Historical Village.
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- The Milwaukee Area Land Conservancy has been involved in many preservation, restoration, and public awareness efforts within the City of Franklin, not the least being its contributions towards the study and restoration of the Franklin Savanna, a State Natural Area located within Franklin Park.
  - The recently established Friends of Franklin Parks have undertaken a number of park related projects including buckthorn removal at Lions Legend Park, tree planting at Tifton Woods, and public awareness efforts such as a Franklin Parks photo contest.
  - The Boy Scouts of America Troop 539 has been involved in numerous Eagle Scout projects including construction of the band shell at Lions Legend Park and the flag memorial adjacent to the Franklin Public Library.

*It is recommended that a partnership between the City Franklin and Milwaukee County be formed for the development of the Franklin Park/Southwest Park area, and for completion of segments of the trail system in the City in order to afford better access to off-street parking facilities as well as to existing and planned parks.*

*It is recommended that a partnership between the City of Franklin and the Franklin School District be formed to develop the proposed Forest Hills Neighborhood Park/existing Forest Park Middle School area.*

*It is recommended that a partnership between the City of Franklin and the Waste Management Metro Landfill be formed to develop the Metro Conservancy area.*

*It is recommended that the City of Franklin expand its efforts to encourage and facilitate park related projects with other public, private, and non-profit organizations.*



Koepmeyer Lake

APPROVAL <i>Slw</i>	REQUEST FOR COUNCIL ACTION	MTG. DATE 1/6/15
Reports & Recommendations	<b>SUBJECT:</b> A resolution authorizing officials to execute an Intergovernmental Funding Agreement with the Milwaukee Metropolitan Sewerage District (MMSD) for the private property infiltration and inflow (PPII) elimination on S. 35 <sup>th</sup> Street, S. 36 <sup>th</sup> Street and S. 37 <sup>th</sup> Place south of W. Madison Boulevard and authorize staff to go out for bids to relay and/or reline 33 private laterals and to disconnect the foundation drains in 5 homes located on S. 35 <sup>th</sup> Street, S. 36 <sup>th</sup> Street and S. 37 <sup>th</sup> Place south of W. Madison Boulevard	<b>ITEM NO.</b>  <i>G.6.</i>

**BACKGROUND**

Pursuant to the private property infiltration and inflow (PPII) program developed and funded by the Milwaukee Metropolitan Sewerage District (MMSD), the Rawson Homes Subdivision (the oldest sanitary sewers in the City) has been identified by flow testing to have major problems with private property infiltration and inflow. The private laterals on S. 35<sup>th</sup> Street, S. 36<sup>th</sup> Street and S. 37<sup>th</sup> Place south of W. Madison Boulevard have been tested and 33 qualify for either lining or relay. The attached agreement is for the funding of 33 private laterals to either be relayed or having "T" liners installed and for 5 homes to have their plumbing rehabilitated to disconnect the foundation drains. This is the third and last phase of Rawson Homes Subdivision PPII.

**ANALYSIS**

The MMSD has developed an Intergovernmental Agreement for the lateral and foundation rehabilitation. This project area being the third of three phases in the Rawson Homes Subdivision. Staff has reviewed and recommended approval of an Intergovernmental Funding Agreement with the MMSD to fund the work at an estimate cost of \$375,910. The work necessary on the laterals and plumbing is considered public works project and must be competitively bid.

**OPTIONS**

Approve

or

Table

**FISCAL NOTE**

All costs, except for administration, will be funded by MMSD. At this time, the City of Franklin has a balance of funding from the MMSD of approximately \$1,000,000 through 2015.

**RECOMMENDATION**

Motion to adopt Resolution 2015-\_\_\_\_\_, a resolution authorizing officials to execute an Intergovernmental Funding Agreement with the Milwaukee Metropolitan Sewerage District (MMSD) for the private property infiltration and inflow (PPII) elimination on 35<sup>th</sup> Street, S. 36<sup>th</sup> Street and S. 37<sup>th</sup> Place south of W. Madison Boulevard and authorize staff to go out for bids to relay and/or reline 33 private laterals and to disconnect the foundation drains in 5 homes located on S. 35<sup>th</sup> Street, S. 36<sup>th</sup> Street and S. 37<sup>th</sup> Place south of W. Madison Boulevard.

RJR/sg  
Encl.

STATE OF WISCONSIN: CITY OF FRANKLIN: MILWAUKEE COUNTY

RESOLUTION NO. 2015 - \_\_\_\_\_

A RESOLUTION AUTHORIZING OFFICIALS TO EXECUTE AN INTERGOVERNMENTAL FUNDING AGREEMENT WITH THE MILWAUKEE METROPOLITAN SEWERAGE DISTRICT (MMSD) FOR THE PRIVATE PROPERTY INFILTRATION AND INFLOW (PPII) ELIMINATION ON 35<sup>TH</sup> STREET, S. 36<sup>TH</sup> STREET AND S. 37<sup>TH</sup> PLACE SOUTH OF W. MADISON BOULEVARD AND AUTHORIZE STAFF TO GO OUT FOR BIDS TO RELAY AND/OR RELINE 33 PRIVATE LATERALS AND TO DISCONNECT THE FOUNDATION DRAINS IN 5 HOMES LOCATED ON S. 35<sup>TH</sup> STREET, S. 36<sup>TH</sup> STREET AND S. 37<sup>TH</sup> PLACE SOUTH OF W. MADISON BOULEVARD

-----  
WHEREAS, the Milwaukee Metropolitan Sewerage District (MMSD) has developed and funded a private property infiltration and inflow (PPII) program; and

WHEREAS, the City has through flow testing identified that the Rawson Homes Subdivision has major inflow and infiltration problems; and

WHEREAS, the City has through closed circuit television dye injection has identified that major infiltration is entering the sanitary sewer system through private laterals; and

WHEREAS, the MMSD has developed an agreement where they will fund to cost to mitigate the infiltration and inflow on S. 35<sup>th</sup> Street, S. 36<sup>th</sup> Street and S. 37<sup>th</sup> Place south of W. Madison Boulevard; and

WHEREAS, the agreement provides for rehabilitation of 33 laterals and the disconnection of 5 foundation drains with sump pump installation and storm laterals; and

WHEREAS, funds are available from the MMSD PPII Program to fund this program.

NOW, THEREFORE, BE IT RESOLVED by the Mayor and Common Council of the City of Franklin, which the Mayor and City Clerk are, authorized to execute an agreement whereby the MMSD will fund this PPII on S. 35<sup>th</sup> Street, S. 36<sup>th</sup> Street and S. 37<sup>th</sup> Place south of W. Madison Boulevard as so stated in the agreement in the estimated amount of \$375,910 and to authorize staff to go out for bids to perform this work.

Introduced at a regular meeting of the Common Council of the City of Franklin this \_\_\_\_\_ day of \_\_\_\_\_, 2015 by Alderman \_\_\_\_\_.

Passed and adopted at a regular meeting of the Common Council of the City of Franklin this \_\_\_\_\_ day of \_\_\_\_\_, 2015.

APPROVED:

\_\_\_\_\_  
Stephen R. Olson, Mayor

ATTEST:

\_\_\_\_\_  
Sandra L. Wesolowski, City Clerk

AYES \_\_\_\_\_ NOES \_\_\_\_\_ ABSENT \_\_\_\_\_

RJR/sg

## **Funding Agreement FR06**

### **Private Property Infiltration and Inflow Reduction Agreement**

This Agreement is made between the Milwaukee Metropolitan Sewerage District (District) with its principal place of business at 260 West Seeboth Street, Milwaukee, Wisconsin 53204-1446 and the City of Franklin (Municipality), with its municipal offices at 9229 W. Loomis Road, Franklin, WI 53213.

WHEREAS, Wisconsin law, through Section 66.0301 Stats., authorizes any municipality to enter into an intergovernmental cooperation agreement with another municipality for the furnishing of services; and

WHEREAS, the District is responsible for collecting and treating wastewater from the Municipality's locally owned collection system; and

WHEREAS, the Municipality's sewers collect wastewater from lateral sewers located on private property and owned by private property owners; and

WHEREAS, during wet weather events stormwater enters lateral sewers through defective pipes and leaky joints and connections ("infiltration") and stormwater also enters lateral sewers from foundation drains, improper connections and other sources ("inflow"); and

WHEREAS, infiltration and inflow increases the amount of wastewater that the District must collect and treat; and

WHEREAS, during wet weather events infiltration and inflow ("I/I") into privately owned sewers contributes to the risk of sewer overflows; and

WHEREAS, the District wishes to fund measures to reduce I/I from private property.

Now, therefore, for the consideration of the mutual promises made by the parties to this Agreement, the parties agree as follows:

#### **1. Date of Agreement**

This Agreement becomes effective immediately upon signature by both parties and shall end when the Municipality receives final payment from the District; or when this Agreement is otherwise terminated as set forth herein.

#### **2. District Funding**

The District shall reimburse the Municipality for \$376,000 in costs for the private property I/I control work described in Attachment A ("the Work"). The District funding shall be provided as a reimbursement upon submission of quarterly invoices. Beyond the financial support for the Work, the District shall have no involvement in ownership, construction, maintenance or operation of the Work. The Municipality shall identify the District as a funder in informational literature and signage.

#### **3. Procedure for Payment**

The Municipality shall submit an invoice to the District for the amount to be reimbursed. Invoices may be submitted no more often than quarterly. The invoice should include a documentation of all costs to be reimbursed. Invoices from consultants shall provide the hourly

billing rates, if applicable, the hours worked by individuals, and a summary of the tasks accomplished.

Reports and invoices shall be submitted to:

Jerome Fogel, P.E.  
Senior Project Manager  
Milwaukee Metropolitan Sewerage District  
260 West Seeboth Street  
Milwaukee, WI 53204 – 1446

Final reimbursement will not be provided until the project is complete and the Deliverables have been received.

#### **4. Changes in Work and Modifications to the Agreement**

Any changes to the Work must be approved by the District, in writing, in advance. The District may not reimburse for work that is not included in Attachment A unless prior written approval from the District is obtained.

This Agreement may be modified only by a writing signed by both parties.

#### **5. Ongoing Reporting Obligation**

For a period of five years following the completion of the Work, the Municipality agrees to report to the District any problems which may arise with the completed Work. This information may be used by the District in planning future I/I reduction efforts.

#### **6. Permits, Certificates and Licenses**

The Municipality is solely responsible for ensuring compliance with all federal, state and local laws requiring permits, certificates and licenses required to implement the Work.

#### **7. Public Bidding**

The selection of professional service providers must be performed in accordance with the Municipality's ordinances and policies. All non-professional service work (i.e. construction, sewer inspection, post-construction restoration) must be procured in accordance with State of Wisconsin statutes and regulations and in accordance with the Municipality's ordinances and policies. Whenever work valued over \$25,000 is procured without the use of a public sealed bidding process, the District may request and the Municipality must provide an opinion from a licensed attorney representing the Municipality stating that the procurement is in compliance with State of Wisconsin law and Municipal ordinances.

#### **8. Responsibility for Work, Insurance and Indemnification**

The Municipality is solely responsible for planning, design, construction and maintenance of the Work, including the selection and payment of consultants, contractors, and materials. The Municipality is solely responsible for ensuring compliance with Wisconsin prevailing wage law.

The District shall not provide any insurance coverage of any kind for the Work or the Municipality.

The Municipality shall defend, indemnify and hold harmless the District and its Commissioners, employees, and agents against any and all damages, costs, liability and expense whatsoever (including attorneys fees and related disbursements) arising from or connected with the planning, design, construction, operation or maintenance of the Work.

### **9. Terminating the Agreement**

The District may terminate this Agreement at any time prior to commencement of the Work. After the Work has commenced, the District may terminate the Agreement only for good cause, such as, but not limited to, breach of agreement by the Municipality. The Municipality may terminate the Agreement at any time, but will not receive any payment from the District if the Work is not completed.

### **10. Exclusive Agreement**

This is the entire Agreement between the Municipality and the District regarding reimbursement for Work.

### **11. Severability**

If any part of this Agreement is held unenforceable, the rest of the Agreement will continue in effect.

### **12. Applicable Law**

This Agreement is governed by the laws of the State of Wisconsin.

### **13. Resolving Disputes**

If a dispute arises under this Agreement, the parties agree to first try to resolve the dispute with the help of a mutually agreed-upon mediator in Milwaukee County. Any costs and fees other than attorney fees associated with the mediation shall be shared equally by the parties. If the dispute is not resolved within 30 days after it is referred to the mediator, either party may take the matter to court.

### **14. Notices**

All notices and other communications in connection with this Agreement shall be in writing and shall be considered given as follows:

- when delivered personally to the recipient's address as stated on this Agreement; or
- three days after being deposited in the United States mail, with postage prepaid to the recipient's address as stated on this Agreement.

### **15. No Partnership**

This Agreement does not create a partnership relationship nor give the Municipality the apparent authority to make promises binding upon the District. The Municipality does not have authority to enter into contracts on the District's behalf.

### **16. Assignment**

The Municipality may not assign any rights or obligations under this Agreement without the District's prior written approval.

**17. Public Records**

The Municipality agrees to cooperate and assist the District in the production of any records in the possession of the Municipality that are subject to disclosure by the District pursuant to the State of Wisconsin's Open Records Law, §§19.31-19.39, Wis. Stats. The Municipality agrees to indemnify the District against any and all claims, demands, and causes of action resulting from the Municipality's failure to comply with this requirement.

**MILWAUKEE METROPOLITAN SEWERAGE  
DISTRICT**

**CITY OF FRANKLIN**

By: \_\_\_\_\_  
Kevin L. Shafer, P.E.  
Executive Director

By: \_\_\_\_\_  
Steve Olson  
Mayor

Date: \_\_\_\_\_

Date: \_\_\_\_\_

Approved as to form:

Clerk:

\_\_\_\_\_  
Attorney for the District

\_\_\_\_\_  
Sandi Wesolowski

WORK PLAN  
35<sup>th</sup> STREET, 36<sup>th</sup> STREET AND 37<sup>TH</sup> PLACE SOUTH OF MADISON BOULEVARD  
LATERAL REHABILITATION AND SANITARY AND STORM CROCK SEPARATION  
CITY OF FRANKLIN

1. Cover Letter
- 2-3. Maps (see attached)
4. Background Information

In Spring of 2014, the City of Franklin as part of its Investigative Work Plan, performed water injection and closed circuit television in the private sanitary laterals for 32 properties on 35<sup>th</sup> Street, 36<sup>th</sup> Street and 37<sup>th</sup> Place, south of Madison Boulevard. This work was completed by the Visu-Sewer. External property inspections were also performed to investigate drainage and grading issues. These external inspections were completed by Ruckert/Mielke Inc.

Of the 33 sewer laterals inspected, most exhibited root intrusion or structural defects that allowed injected water into the sanitary sewer system.

5. Description of Work to be Performed

The City plans to rehabilitate all 33 sewer laterals. Of the 33, 23 laterals will be lined from the main line to at least 50 feet into the lateral with a cured-in-place lining and 10 laterals will be relayed with PVC pipe due to structural problems (see Exhibit A). The awarded contractor will also be required to Sonde locate the 33 sewer laterals.

Similar to the PP I/I rehabilitation that was performed on homes with basements located in 37<sup>th</sup> Place north of Madison Boulevard, five homes with basements will have their sump crock lines separated from the sanitary crocks. A storm lateral will be constructed to the roadside drainage ditch for the sump pump discharge. New pumps will be provided in both the sanitary and storm crocks.

The MMSD installed flow meters in 2013 to gauge pre-construction conditions. Post-construction monitoring is planned following rehab to quantify the effectiveness of the PP/I Program.

6. Plans and Specifications

Plans and Specifications are being prepared by our consultant (Ruckert-Mielke) and will be submitted to the MMSD for review prior to bidding.

7. Public Information and Education (I&E plan)

A neighborhood meeting in a nearby subdivision park was held to explain the PP I/I Program to the residents and to gain citizen support.

The City will obtain an access agreement, and "temporary easement" from each of the property owners. It is anticipated that there will be 100% participation since the City is offering the lateral rehab at no cost to the homeowner.

8. Cost Estimate

**Construction**

**33 laterals to be rehabilitated**

23 line x \$6,500/each = \$149,500

10 relay x \$8,500/each = \$85,000

**5 basements with "combined waste" and storm sewer**

5 sump pumps x \$700/each = \$3,500

5 electrical circuits x \$500/each = \$2,500

250' storm laterals x \$50/LF = \$12,500

5 tile redirects x \$200/each = \$1,000

5 wastewater pumps/crocks x \$1,800/each = \$9,000

5 floor drain redirects x \$300/each = \$1,500

**Miscellaneous Construction**

Traffic control = \$2,000

Plumbing permits = \$10,000

**Total Construction** = \$276,500

**Plus 10% contingency** = \$27,600

**Total Construction Cost** = \$304,100

**Engineering and Administration**

Work Plan/One staff and one Board meeting = \$3,500

Engineering design services at 6% = \$18,660

Bidding, construction management and inspection at 15% or as required\* = \$46,650

Flow monitoring analysis/letter report = \$3,000

**Approximate Engineering Cost** = \$71,810

\*This cost estimate could vary depending upon the weather and efficiency of the construction contractor

**Total Project Cost**

Construction with contingency = \$304,100

Engineering and Administration = \$71,810

**Estimated Total** = **\$375,910**

9. Schedule of Work

Design	Fall 2014
Bidding	November-December
Construction	December – Start 2015 May 2015 – Substantial Completion July 1, 2015 – Final Restoration and Completion

10. Estimated Timeline for Expenses

Fall 2014	Engineering Design Services
November	Engineering Bidding Services
January	Construction 1 <sup>st</sup> Partial Payment and Engineering C.M.
March	Construction 2 <sup>nd</sup> Partial Payment and Engineering C.M.
May	Construction 3 <sup>rd</sup> Partial Payment and Engineering C.M.
June 2015	Final Payment

11. Procurement Process

The City will publically bid the Sanitary Sewer Lateral Rehabilitation project and choose the lowest cost and most responsible and responsive bidder.

12. Data Attributes to be Collected

- Footage, size and type of lateral rehabilitated per house.
- Daily Construction Inspection Reports will be prepared by on-site inspector.

13. Goals and Anticipated Outcomes

The goal is to reduce Private Property I/I. The investigative phase which included, water injection, TV work and flow monitoring will be used as the baseline condition. Post rehab monitoring will be used to gauge the effectiveness of the Program.

14. Outline of Project Completion Report

The on-site Inspector will complete daily Construction Inspection Reports. These will be summarized by the Engineer.

The MMSD will supply post construction flow monitoring to gauge the effectiveness of the program.

15. Photo/Video Documentation

The awarded contractor will be required to televise all 33 laterals after they have been rehabilitated.

16. Summary of Tests and Methods

The on-site Inspector will complete daily Construction Inspection Reports. These will be summarized by the Engineer.

Post construction flow monitoring will be performed to gauge the effectiveness of the program.

17. Plan to Track Work Quality

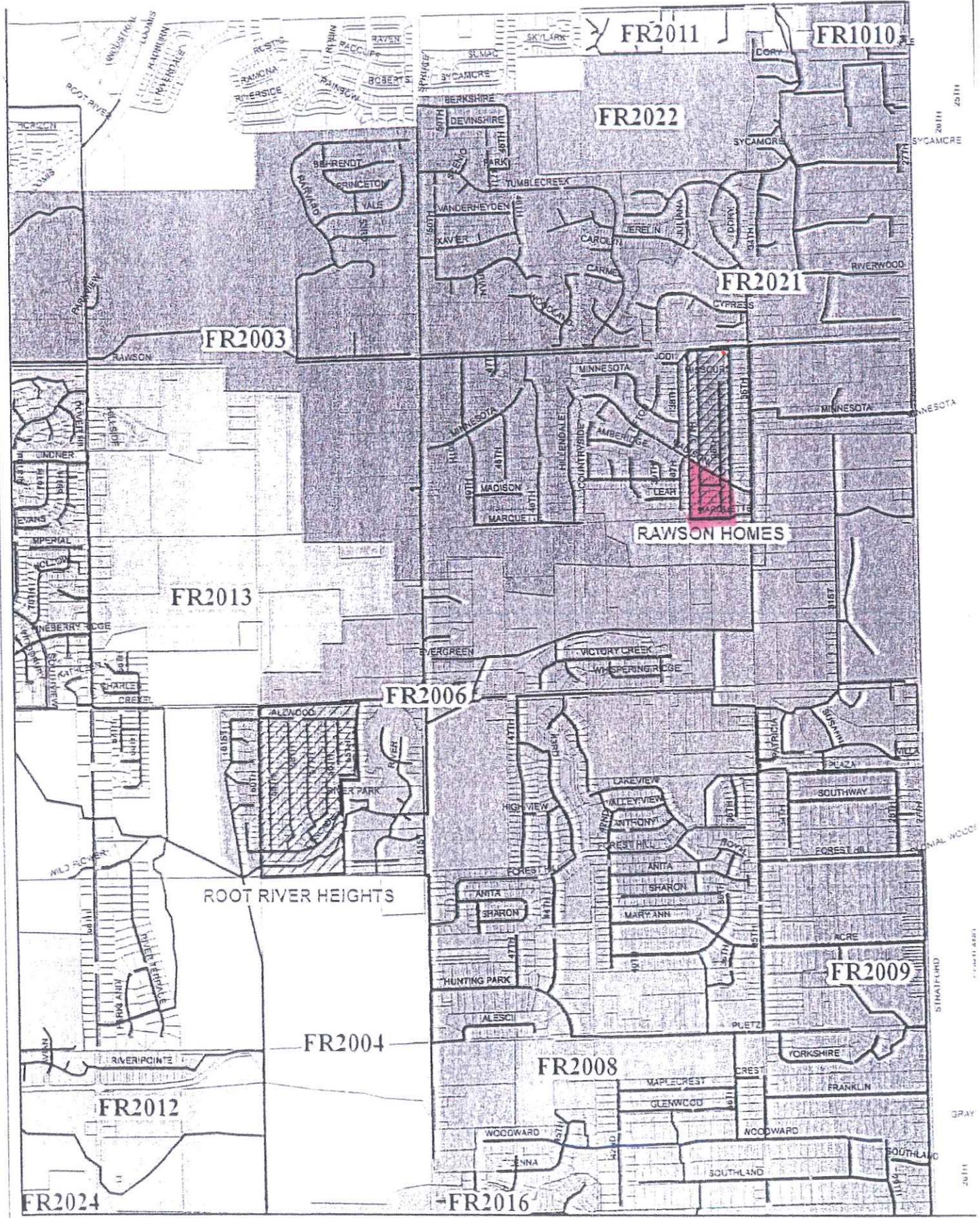
The Construction Review Technician will be on-site as necessary to ensure quality of workmanship.

The MMSD will perform post construction flow monitoring to gauge rehab effectiveness. The City will determine if warranty inspections will be required when preparing the bidding documents.

**Deliverables:**

1. Map of participating homes with electronic data base format of associated information including without limitation: property tax i.d., address, and column categories of work performed by property including lateral lined, cleanout installed, section repair, etc.
2. Provide samples of all Public Involvement/Public Education documents.
3. Draft plan and specification documents for review for all proposed work.
4. Final version of project documents including but not limited to plans, specifications, bidding documents, and meeting schedule reviewed and approved by the District.
5. Accurate schedule of field activities updated on a bi-weekly basis.
6. Notification of public and project meetings with inclusion of the District in participation thereof.
7. Progress reports on project activities and public involvement (PI) activities on a monthly basis or with pay reimbursement request, whichever occurs more frequently.
8. Inspection reports from the field engineer for work completed on a monthly basis or with expense reimbursement request, whichever occurs more frequently.
9. Photo documentation of project work in jpeg format on disc, jump drive or other format agreeable to both parties.
10. Quality control and quality assurance reports by the contractor submitted on a regular basis as work progresses.
11. Post work survey results collected from property owners.

12. Summary report upon completion of the project outlining quantifiable results of the completed work based on pre-work estimates, measurements, or data collected. The report shall include a specific section reporting on the results of the PI effort including follow up contact with residents in the project area as included in the PI plan. The report shall include specific details on the results of the efforts in planning that were intended to maximize efficiency and results as well as lessons learned throughout the project that may be applied in subsequent projects. The report shall include accounting of total project costs including municipality internal costs by category (engineering, public outreach, etc).
13. The City will be responsible for providing pre work flow data and reporting post work flow monitoring data and or other data related to identified measures of success for at least 2 years post work completion or as long as data is available, whichever period is longer, and reporting on any problems with the work for 5 years.



**RAWSON HOMES**

FR2003

FR2013

FR2006

ROOT RIVER HEIGHTS

FR2009

FR2008

FR2012

FR2004

FR2024

FR2016

FR2011

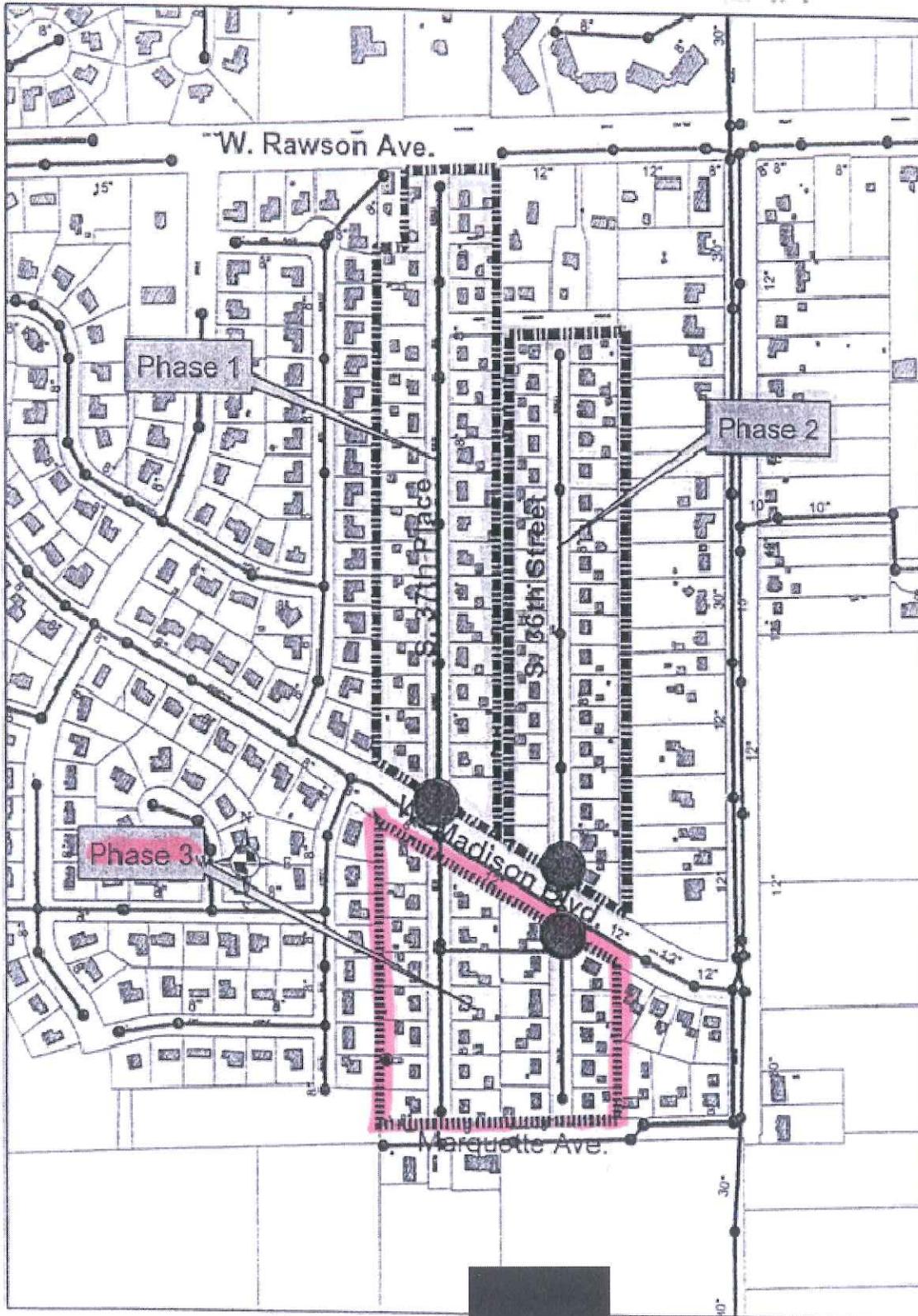
FR1010

FR2022

FR2021

RAWSON HOMES





<p style="text-align: center;"><b>APPROVAL</b></p> <p><i>Slw</i> </p>	<p style="text-align: center;"><b>REQUEST FOR COUNCIL ACTION</b></p>	<p style="text-align: center;"><b>MEETING DATE</b></p> <p style="text-align: center;"><b>1/06/2015</b></p>
<p style="text-align: center;"><b>REPORTS &amp; RECOMMENDATIONS</b></p>	<p style="text-align: center;"><b>A Resolution Awarding a Contract for the ADA Compliant City Hall Fire Alarm System East Wing Project</b></p>	<p style="text-align: center;"><b>ITEM NUMBER</b></p> <p style="text-align: center;"><i>G.7.</i></p>

Bids were received and publicly opened on December 18, 2014 for the ADA Compliant City Hall Fire Alarm System East Wing project. This is an approved 2014 Community Development Block Grant (CDBG) project which consists of the installation of fire alarm devices and supporting alarm system equipment to ensure an ADA compliant smoke/fire notification alarm system in the east portion (final phase) of City Hall that currently does not have this ADA upgrade. The upgrade also ensures the entire City Hall is on one system, which is connected to Dispatch. This east portion includes the first floor east and basement east areas including the very east lower level entrance hallway into City Hall, the lower level lunchroom area, IT and Building Maintenance areas, Building Inspection, and remaining portions of the Community Room. The construction period would run mid January through March 31, 2015. Since this is a 2014 CDBG project, the City has received notification from Milwaukee County (per an email) that an amendment will be sent to the City for this project that will extend the date for expenditure of the 2014 CDBG funds for this project to May 1, 2015.

The following three (3) lump sum bids were received for this project; the bids were reviewed by Engineering staff and found to be correct in presentation: Starfire Systems, Inc. - \$23,900; Wil-Surge Electric - \$74,854; and Allcon Construction - \$77,900.

Czarnecki Engineer's estimated construction cost for this project was up to \$60,000. As an additional perspective, this project area was also bid in 2010 where the low bidder proposed \$61,215 for this alternative. Ultimately, this project area was not included in the project award at that time due to insufficient total funding.

The total CDBG funding available for this project is \$56,922, which includes the additional \$11,384 that was granted at the end of 2014. It was noted to the Common Council back in June of 2013 that depending on the final total CDBG funding the City received from Milwaukee County for this project, that as much as \$20,000 in City funds could possibly be needed to complete this project. With that information, the Common Council directed that the project be submitted as the CDBG proposal for 2014.

It is staff's recommendation to award the bid to the low bidder, Starfire Systems, Inc., in the amount of \$23,900 for the ADA Compliant City Hall Fire Alarm System East Wing Project.

Due to the significant difference between the low bid and the second lowest bid, however, the recommendation is drafted to consider the possibility that Starfire Systems may not execute a contract for the project, although they have not yet given written intent or notice. Nonetheless,

should Starfire Systems, Inc. fail to execute the contract documents or indicate that they cannot perform the project as outlined in the bid specifications per their low bid, staff then recommends the City accept the forfeiture of Starfire Systems' cashier's check for \$3,000 and approval that the City accept the second lowest bid of Wil-Surge Electric in the amount of \$74,854. In such an instance, staff will work with the City Attorney to determine if a partial refund (\$610) would be required for the amount in excess of 10% of Starfire's bid (\$2,390).

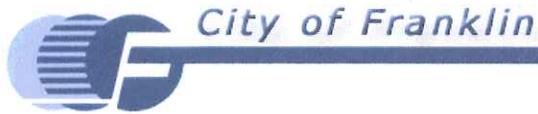
It is important to note that staff is not aware of any irregularities in the bid process to warrant consideration of rebidding the project, and although higher than anticipated, the other bids received are not viewed as out of line.

Budget Impact: The project contract was anticipated to have been awarded in 2014, but delay by the County in issuing the additional funding approval for \$11,384 caused a delay in the bid process. So that the Common Council would not have to have a special Common Council meeting in late December, the County indicated they would provide for a contract extension, as noted above. The end result, however, is that the approved 2015 budget does not have a project-specific appropriation at this time. In consultation with the Finance Director, staff recommends approving the project contract using Contingency appropriations in the Capital Outlay Fund. A future 2015 budget modification could then be prepared that appropriates the project expenditures, records the CDBG revenues, and records the bid forfeiture, if any. To ensure the project can be completed in the construction time table, an approximately 10% contingency should be built into the initial budget authorization. This contingency amount will also cover system programming after installation (a separate vendor does the programming) and a state plan fee that is required.

Therefore, based on the second lowest bid amount of \$74,854, a Capital Outlay Fund contingency appropriation authorization of \$82,000 is recommended. If the current low bidder complies with their bid as submitted, the project will be entirely CDBG funded. If not, including engineering, bid advertising, programming, and state plan costs, the project would ultimately use approximately between \$21,000 and \$25,000 of City funding. Given that the Phase 1 portion previously completed was done entirely with CDBG funding, the total project for the entire City Hall (including the 2010 project) of approximately \$130,000 was over 80% CDBG funded.

#### **COUNCIL ACTION REQUESTED**

Motion to approve Resolution No. 2015-\_\_\_\_\_, A Resolution Awarding a Contract for the ADA Compliant City Hall Fire Alarm System East Wing Project, that awards the project to the low bidder, Starfire Systems, Inc., for the amount of \$23,900, and should Starfire Systems, Inc. fail to execute the contract documents or indicate in writing that they cannot perform the project as outlined in their bid and the bid specifications, that awards the project to the second lowest bidder, Wil-Surge Electric, for the amount of \$74,854; that accepts the forfeiture of Starfire Systems' cashier's check for \$3,000 should they fail to execute a contract in accordance with the award of the contract, and that authorizes that the project shall apply against Contingency appropriations of the Capital Outlay Fund and directs staff to incorporate the project into a future budget modification to address project revenues and final project costs.



STATE OF WISCONSIN : CITY OF FRANKLIN : MILWAUKEE COUNTY

RESOLUTION NO. 2015-\_\_\_\_\_

A RESOLUTION AWARDED A CONTRACT FOR THE ADA COMPLIANT  
CITY HALL FIRE ALARM SYSTEM EAST WING PROJECT

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WHEREAS, the City of Franklin advertised and solicited bids for the ADA Compliant City Hall Fire Alarm System East Wing project; and

WHEREAS, the low bidder was Starfire Systems, Inc., with a lump sum bid of \$23,900; and

WHEREAS, the second low bidder was Wil-Surge Electric, with a lump sum bid of \$74,854; and

WHEREAS, both Starfire Systems, Inc. and Wil-Surge Electric are qualified contractors; and

WHEREAS, the amounts of the bids, information concerning project and project estimates, and the construction timeline suggest that it is appropriate to plan for the possibility that the low bidder could refuse to participate following award of the contract; and

WHEREAS, the project is being awarded in 2015, instead of 2014 as initially budgeted, and, therefore, project-specific appropriations are not in place for 2015.

NOW, THEREFORE, BE IT RESOLVED BY THE Mayor and Common Council of the City of Franklin, Wisconsin, that Starfire Systems, Inc. be awarded the contract for the ADA Compliant City Hall Fire Alarm System East Wing Project on their low lump sum bid of \$23,900, and should Starfire Systems, Inc. fail to execute the contract documents or indicate in writing that they cannot perform the project as outlined in their bid and the bid specifications, that Wil-Surge Electric be awarded the contract for said project on their second lowest lump sum bid of \$74,854, in accordance with bid specifications.

BE IT FURTHER RESOLVED that the Mayor and City Clerk are authorized and directed to execute a contract with either Starfire Systems, Inc. or Wil-Surge Electric on behalf of the City, in accordance with the above conditions.

BE IT FURTHER RESOLVED that should Starfire Systems, Inc. fail to execute a contract in accordance with the award of contract as provided for herein and upon a finding that in making the mistake, error, or omission the bidder was not free from carelessness, negligence or inexcusable neglect, the City accepts forfeiture of Starfire Systems' cashier's check for \$3,000 (submitted with their bid in place of a bid bond), with said amount subject to future action by the Common Council as it may determine is appropriate.

Resolution No. 2015- \_\_\_\_\_  
A Resolution Awarding a Contract For the ADA Compliant  
City Hall Fire Alarm System East Wing Project  
January 6, 2015  
Page 2

BE IT FURTHER RESOLVED that the Common Council authorizes that the project shall apply against Contingency appropriations of the Capital Outlay Fund and directs staff to incorporate the project into a future budget modification to address project revenues and final project costs.

Introduced at a regular meeting of the Common Council of the City of Franklin this 6th day of January, 2015 by Alderman \_\_\_\_\_.

Passed and adopted at a regular meeting of the Common Council of the City of Franklin this 6th day of January, 2015.

APPROVED:

\_\_\_\_\_  
Stephen R. Olson, Mayor

ATTEST:

\_\_\_\_\_  
Sandra L. Wesolowski, City Clerk

AYES \_\_\_ NOES \_\_\_ ABSENT \_\_\_

<b>APPROVAL</b> <i>Shea Paul</i>	<b>REQUEST FOR COUNCIL ACTION</b>	<b>MEETING DATE</b> January 6, 2015
<b>REPORTS &amp; RECOMMENDATIONS</b>	<b>November 2014 Monthly Financial Report</b>	<b>ITEM NUMBER</b> <i>6.8.</i>

**Background**

The November, 2014 Financial Report is attached. Items of note are included in the cover memo from the Director of Finance & Treasurer.

The Finance Committee has not reviewed this report as their December 2014 meeting was cancelled.

**COUNCIL ACTION REQUESTED**

Action: Motion to Receive and place on File



## City of Franklin

Date: December 11, 2014  
To: Mayor Olson, Common Council and Finance Committee Members  
From: Paul Rotzenberg, Director of Finance & Treasurer *Paul*  
Subject: November 2014 Financial Report

Enclosed are the following reports:

General Fund Summary	Debt Service Fund
TID Funds (3 & 4)	Solid Waste Collection Fund
Sanitary Sewer Fund	Capital Outlay Fund
Equipment Replacement Fund	Capital Improvement Fund
Street Improvement Fund	Development Fund
Self Insurance Fund	Post Employment Benefits Trust Fund

The new financial software is resulting in greater visibility to financial transactions and permitting the Finance Dept to record activity quicker. The software also captures encumbrances. That was not possible prior to November 2013. This capability will now provide earlier visibility to expenditures and how that might impact budget performance. That will be reflected in the statements as certain items appear earlier in 2014 than in 2013. As the year progresses, those differences will shrink. You will also note accounts payable balances in 2014, where none existed in 2013. This is a result of the features of the new financial software.

The presentation in each fund is meant to provide a picture of progress in relation to the budget at this time. The budget is broken down by month. The normal criteria for year to date budgets are based upon the previous five years timing of expenditures. Caution is advised, when reviewing variances that are either favorable or unfavorable. As noted above, the timing of the budget may not follow current year experience or steps taken by department heads may mitigate early unfavorable experience.

**GENERAL FUND** – The General Fund has a surplus thru November of \$2,630,499, which is larger than the surplus at this time last year and \$1,456,892 favorable to budget.

For YTD November, 2014, the General Fund had \$23,137,749 in revenue. Revenue is more than the \$23,048,666 budgeted by November, 2014. Property Tax collections are complete for 2014. Uncollected Personal Property taxes will result in a small portion of unpaid property taxes. Other Tax revenue of \$1,510,004 is \$13,222 favorable to budget. Intergovernmental revenue of \$2,534,225 represents receipts from other governmental programs, with Transportation Aids the largest. On the other hand, Public Charges for Services revenue of \$1,221,640 is \$34,955

unfavorable to budget. Building permits are seeing the greatest shortfall to budget (\$74,264). A correction to Health Clinic Services related to 2013 totaling \$26,600 is reducing that revenue line in 2014. Other Revenues are comparable to budget, with the exception of Interest & Investment income. The \$221,396 of investment income is 176% of budget. Early 2014 had market interest rates decline and thus the City's US Treasury note investments increased in value. That increase in market value is driving investment income and will fluctuate as interest rates change over time.

General Government expenditures include encumbrances. General Government is performing favorable to budget, with a few exceptions. Election costs have been more than expected related to Milwaukee County charges. The vacant Human Resource Coordinator position is generating a favorable variance, as is the Classification & Compensation Study contract which is still in the planning stages.

Public Safety: costs of \$13,986,372 are favorable to budget by \$814,780. Personnel costs account for the bulk of the savings to budget. Reduced retiree health costs (as compared to budget and last year) are the result of an actuarial estimate change after the budget was adopted.

Public Works costs of \$3,170,148 are \$95,877 favorable to budget. Public Works costs include \$190,134 of the salt encumbrance. Public Works experienced an increase in costs for snow removal in January and February. Overtime costs of \$74,436 thru November leave no resource for any Q4 snow removal requirements.

The first quarter snow removal operations are also exceeding budget appropriations in non-personnel costs. Fuel costs and Salt supplies are the most significant issues. Common Council authorized \$102,000 of contingency funds to replenish salt supplies. \$23,000 of additional contingency funds was appropriated for a major repair of a snow plow in February. These two charges have exhausted the available contingency appropriation for 2014. The \$950,000 Restricted Contingency has been reduced to \$801,100. A portion of this was used at the Nov 3<sup>rd</sup> Common Council meeting for Development plans.

Overall, General Fund expenditures of \$20,507,250 are \$1,367,809 favorable to budget. The principal reasons for the favorable performance is unused Contingency, deferred wage costs for General Employees and vacant HR and Development Director positions, favorable Retiree Health costs noted in Public Safety and reduced Health costs, as the budgeted rate increase did not occur.

**DEBT SERVICE and TID 3 & 4** – Tax receipts have occurred, and interfund loan payments made. Principal and interest payments were made timely. TID 3 project costs relate to planning on the 27<sup>th</sup> Street project. See following comments under Interfund loans.

**Interfund Loans** - TID 3 made a \$9.695 million loan payment March 1. \$6.195 million was funded when Northwestern Mutual Life repaid a loan in February. The TID did not have sufficient funds on hand to make the remaining payment. The Development and Self Insurance Funds each advanced \$1.1 million to fund the payment. These advances were reflected in the

2014 budget. It is anticipated that the advances will be repaid by 2018. The interest costs for TID3 become interest income to the other funds, keeping the 'cost' all in house.

Interfund loans to TID 3 are \$3,350,000. Total Interfund loans to TID 4 (no advances in 2014) are \$1,238,000. The Debt Service Fund (no advances in 2014) has Interfund loan balances of \$1,075,000. The Sewer Fund has a \$2,299,303 (\$1,693,576 in 2014) advance from the General Fund. Total interfund loans at November 30, 2014 are \$7,962,303.

**SOLID WASTE FUND** – Revenue is comparable to budget. Solid Waste costs are favorable to budget as the November collection invoices are not here yet.

**SANITARY SEWER FUND** – No new revenues were recorded in November. Revenues get recorded calendar quarterly when service billings go out. MMSD costs are recorded quarterly as well. Costs are favorable to expectations. Interest costs are recorded as paid. A \$1.1 million Clean Water Fund loan payment was made in May. Thru November, the operating surplus of \$100,154 is favorable to the expected \$3,089 surplus.

**CAPITAL OUTLAY FUND** – revenues and costs are in line with budget.

**EQUIPMENT REPLACEMENT FUND** – Investment returns has pushed revenues \$63,417 favorable to budget. Planned vehicle purchases have now occurred.

**CAPITAL IMPROVEMENT FUND** – Revenues are off plan as the CDBG projects and related grants have not occurred. Landfill siting revenues are greater than expected. With many projects delayed, i.e. the Water & Wastewater building, related revenues are also not received as yet. Loan proceeds will arrive in mid December.

The Police have nearly completed the investment in the Video System carried forward from 2013. While work on the Pleasant View Park project is 75+% complete.

**STREET IMPROVEMENT FUND** – Revenues are in line with budget. Most of the street improvement work billings are now here.

**DEVELOPMENT FUND** – 2014 Impact fees totaled \$648,944 and are favorable to budget. Transfer to Debt Service of \$226,811 are complete for the year. Insufficient balances were on hand to fund loan payments that are to be financed by Impact fees. As Impact fees are collected in the future, they will be used to reduce future Debt Service levy. \$291,993 of the Park Impact fees have been used on the Pleasant View Park project. \$873,727 was transferred to the Water Utility in payment of costs on the Puetz Water Tower incurred in 2002.

**SELF INSURANCE FUND** – Reduced city premiums for 2014 are the results of holding premiums constant compared to a planned 3% increase. Claims costs thru November have been favorable to budget. The fund has a \$297,084 surplus, compared to a planned \$111,485 surplus. This compares favorably to 2013 at this time, when the fund had a \$38,736 surplus. Caution is advised as claims can come in sporadically.

**RETIREE HEALTH FUND** – This fund has two components, current year health activities and investment results on the fund.

Current year health results have a \$93,090 deficit (requiring an Implicit Rate subsidy). The 2014 subsidy compares rather favorably to the \$355,357 2013 subsidy. Investment gains of \$305,677 are slightly better than plan, and \$59,590 less than 2013 at this time.

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City of Franklin  
2014 Financial Report  
General Fund Summary  
For the Eleven months ended November 30, 2014 and 2013

Revenue	2014		2014		2014		2013		2013		Variance Favorable (Unfavorable)
	Amended Budget	Year-to-Date Budget	Year-to-Date Actual	Variance Favorable (Unfavorable)	Year-to-Date Budget	Year-to-Date Actual	Amended Budget	Year-to-Date Budget	Year-to-Date Actual	Variance Favorable (Unfavorable)	
Property Taxes	\$ 16,220,400	\$ 16,220,354	\$ 16,201,001	\$ (19,353)	\$ 16,329,954	\$ 16,353,580	\$ 16,330,000	\$ 16,329,954	\$ 16,353,580	\$ 23,626	
Other Taxes	1,770,500	1,496,782	1,510,004	13,222	1,651,833	1,528,770	1,802,000	1,651,833	1,528,770	(123,063)	
Intergovernmental Revenue	2,549,550	2,540,988	2,534,225	(6,763)	2,330,717	2,569,749	2,542,600	2,330,717	2,569,749	239,032	
Licenses & Permits	864,300	823,519	761,394	(62,125)	700,750	879,353	753,000	700,750	879,353	178,603	
Law and Ordinance Violations	444,000	410,964	390,267	(20,697)	412,500	378,559	450,000	412,500	378,559	(33,941)	
Public Charges for Services	1,416,400	1,256,595	1,221,640	(34,955)	1,213,208	1,318,955	1,323,500	1,213,208	1,318,955	105,747	
Intergovernmental Charges	125,000	103,138	54,965	(48,173)	114,583	148,199	125,000	114,583	148,199	33,616	
Investment Income	138,500	125,584	221,396	95,812	59,583	(57,288)	142,000	59,583	(57,288)	(116,871)	
Miscellaneous Revenue	74,700	70,742	232,926	162,184	154,001	237,275	91,000	154,001	237,275	83,274	
Transfer from Other Funds	400,000	-	9,931	9,931	-	-	-	-	-	-	
<b>Total Revenue</b>	<b>\$ 24,003,350</b>	<b>\$ 23,048,666</b>	<b>\$ 23,137,749</b>	<b>\$ 89,083</b>	<b>\$ 22,967,129</b>	<b>\$ 23,357,152</b>	<b>\$ 23,559,100</b>	<b>\$ 22,967,129</b>	<b>\$ 23,357,152</b>	<b>\$ 390,023</b>	
			100.39%			101.70%					

Expenditures	2014		2014		2014		2013		2013		Variance Favorable (Unfavorable)
	Amended Budget	Year-to-Date Budget	Year-to-Date Actual	Variance Favorable (Unfavorable)	Year-to-Date Budget	Year-to-Date Actual	Amended Budget	Year-to-Date Budget	Year-to-Date Actual	Variance Favorable (Unfavorable)	
General Government	\$ 2,934,266	\$ 2,640,953	\$ 2,463,121	\$ 177,832	\$ 2,662,400	\$ 2,496,211	\$ 2,865,763	\$ 2,662,400	\$ 2,496,211	\$ 166,189	
Public Safety	16,121,722	14,801,152	13,986,372	814,780	14,838,642	14,394,497	16,178,990	14,838,642	14,394,497	444,145	
Public Works	3,680,900	3,266,025	3,170,148	95,877	3,251,586	2,988,717	3,522,359	3,251,586	2,988,717	262,869	
Health and Human Services	657,804	609,216	586,960	22,256	604,085	583,800	659,002	604,085	583,800	20,285	
Other Culture and Recreation	173,682	147,429	177,014	(29,585)	157,576	166,250	171,901	157,576	166,250	(8,674)	
Conservation and Development	471,758	424,383	326,947	97,436	374,009	323,275	408,010	374,009	323,275	50,734	
Contingency and Unclassified	928,600	116,176	182,200	(66,024)	659,845	-	1,074,575	659,845	-	659,845	
Anticipated underexpenditures	(360,300)	(330,275)	-	(330,275)	(320,833)	-	(350,000)	(320,833)	-	(320,833)	
Transfers to Other Funds	400,000	200,000	-	200,000	145,203	85,982	85,982	145,203	85,982	59,221	
Encumbrances	-	-	(385,512)	385,512	-	(5,117)	-	-	(5,117)	5,117	
<b>Total Expenditures</b>	<b>\$ 25,008,432</b>	<b>\$ 21,875,059</b>	<b>\$ 20,507,250</b>	<b>\$ 1,367,809</b>	<b>\$ 22,372,513</b>	<b>\$ 21,033,615</b>	<b>\$ 24,616,582</b>	<b>\$ 22,372,513</b>	<b>\$ 21,033,615</b>	<b>\$ 1,338,898</b>	
			93.75%			94.02%					
Excess of revenue over (under) expenditures	(1,005,082)	1,173,607	2,630,499	1,456,892	594,616	2,323,537	(1,057,482)	594,616	2,323,537	1,728,921	
Fund balance, beginning of year	7,781,566		7,781,566			6,502,134	6,502,134		6,502,134		
Fund balance, end of period	\$ 6,776,484		\$ 10,412,065			\$ 5,444,652			\$ 8,825,671		

A Represents an amendment to Adopted Budget  
E Represents an encumbrance for current year from prior year

City of Franklin  
Debt Service Funds  
Balance Sheet  
November 30, 2014 and 2013

	2014 Special Assessment	2014 Debt Service	2014 Total	2013 Special Assessment	2013 Debt Service	2013 Total
<b>Assets</b>						
Cash and investments	\$ 474,998	\$ 47,876	\$ 522,874	\$ 530,824	\$ 58,294	\$ 589,118
Taxes receivable	-	-	-	-	-	-
Special assessment receivable	196,694	-	196,694	268,041	-	268,041
<b>Total Assets</b>	<b>\$ 671,692</b>	<b>\$ 47,876</b>	<b>\$ 719,568</b>	<b>\$ 798,865</b>	<b>\$ 58,294</b>	<b>\$ 857,159</b>
<b>Liabilities and Fund Balance</b>						
Unearned & unavailable revenue	\$ 196,694	-	\$ 196,694	\$ 268,041	-	\$ 268,041
Due to other funds	-	1,075,000	1,075,000	-	2,137,000	2,137,000
Unassigned fund balance	474,998	(1,027,124)	(552,126)	530,824	(2,078,706)	(1,547,882)
<b>Total Liabilities and Fund Balance</b>	<b>\$ 671,692</b>	<b>\$ 47,876</b>	<b>\$ 719,568</b>	<b>\$ 798,865</b>	<b>\$ 58,294</b>	<b>\$ 857,159</b>

Statement of Revenue, Expenses and Fund Balance  
For the Eleven months ended November 30, 2014 and 2013

	2014 Special Assessment	2014 Debt Service	2014 Year-to-Date Actual	2014 Annual Budget	Variance Favorable (Unfavorable)	2013 Special Assessment	2013 Debt Service	2013 Year-to-Date Actual	2013 Annual Budget	Variance Favorable (Unfavorable)
<b>Revenue</b>										
Property Taxes	\$ -	\$ 1,600,000	\$ 1,600,000	\$ 1,600,000	\$ -	\$ -	\$ 1,650,000	\$ 1,650,000	\$ 1,650,000	\$ -
Special Assessments	7,982	-	7,982	-	7,982	2,533	2,533	-	-	2,533
Investment Income	10,834	90	10,924	-	10,924	(5,049)	(5,049)	-	-	(5,049)
<b>Total Revenue</b>	<b>18,816</b>	<b>1,600,090</b>	<b>1,618,906</b>	<b>1,600,000</b>	<b>18,906</b>	<b>(2,516)</b>	<b>1,650,000</b>	<b>1,647,484</b>	<b>1,650,000</b>	<b>(2,516)</b>
<b>Expenditures:</b>										
Debt Service:										
Principal	-	570,000	570,000	570,000	-	-	620,000	620,000	620,000	-
Interest	-	300,200	300,200	300,200	-	-	322,810	322,810	322,810	-
Interfund Interest Expense	-	34,876	34,876	45,444	10,568	-	65,564	44,558	86,570	42,012
<b>Total expenditures</b>	<b>-</b>	<b>905,076</b>	<b>905,076</b>	<b>915,644</b>	<b>10,568</b>	<b>-</b>	<b>1,008,374</b>	<b>987,368</b>	<b>1,029,380</b>	<b>42,012</b>
Transfers in	-	377,574	377,574	377,644	(70)	-	366,276	366,276	377,644	(11,368)
Transfers out	(150,763)	-	(150,763)	-	150,763	-	-	-	-	-
<b>Net change in fund balances</b>	<b>(131,947)</b>	<b>1,072,588</b>	<b>940,641</b>	<b>1,062,000</b>	<b>180,167</b>	<b>(2,516)</b>	<b>1,007,902</b>	<b>1,026,392</b>	<b>998,264</b>	<b>28,128</b>
Fund balance, beginning of year	606,945	(2,039,712)	(1,492,767)	(1,492,767)	(1,492,767)	533,340	(3,086,608)	(2,553,268)	(2,553,268)	(11,368)
<b>Fund balance, end of period</b>	<b>\$ 474,998</b>	<b>\$ (1,027,124)</b>	<b>\$ (552,126)</b>	<b>\$ (430,767)</b>		<b>\$ 530,824</b>	<b>\$ (2,078,706)</b>	<b>\$ (1,526,876)</b>	<b>\$ (1,555,004)</b>	

**City of Franklin**  
**Tax Increment Financing District #3**  
**Balance Sheet**  
**November 30, 2014 and 2013**

<u><b>Assets</b></u>	<u><b>2014</b></u>	<u><b>2013</b></u>
Cash and investments	\$ 271,673	\$ 141,663
Accounts & Interest receivable	25,679	-
Notes receivable	-	6,195,000
Taxes receivable	-	-
Total Assets	<u><u>\$ 297,352</u></u>	<u><u>\$ 6,336,663</u></u>
<u><b>Liabilities and Fund Balance</b></u>		
Accounts payable	\$ -	\$ -
Unearned revenue	-	-
Line of Credit Advance from Development Fund	3,350,000	1,150,000
Total Liabilities	<u>3,350,000</u>	<u>1,150,000</u>
Nonspendable fund balance - note receivable	-	7,345,000
Unassigned fund balance	(3,052,648)	(2,158,337)
Total Fund Balance	<u>(3,052,648)</u>	<u>5,186,663</u>
Total Liabilities and Fund Balance	<u><u>\$ 297,352</u></u>	<u><u>\$ 6,336,663</u></u>

**Statement of Revenue, Expenses and Fund Balance**  
**For the Eleven months ended November 30, 2014 and 2013**

	<u><b>2014</b></u> <u><b>Annual</b></u> <u><b>Forecast</b></u>	<u><b>2014</b></u> <u><b>Year-to-Date</b></u> <u><b>Forecast</b></u>	<u><b>2014</b></u> <u><b>Year-to-Date</b></u> <u><b>Actual</b></u>	<u><b>2013</b></u> <u><b>Year-to-Date</b></u> <u><b>Actual</b></u>
<b>Revenue</b>				
General property tax levy	\$ 1,572,200	\$ 1,572,200	\$ 1,572,198	\$ 1,547,835
State exempt computer aid	407,500	407,500	407,508	361,741
Investment income	60,776	60,776	108,060	127,214
Total revenue	<u>2,040,476</u>	<u>2,040,476</u>	<u>2,087,766</u>	<u>2,036,790</u>
<b>Expenditures</b>				
Transfer to other funds	-	\$ -	-	-
Debt service principal	9,695,000	9,695,000	9,695,000	3,170,000
Debt service interest & fees	347,900	347,900	215,030	488,598
Administrative expenses	29,000	26,390	11,393	27,719
Interfund interest	-	-	81,157	23,598
Capital outlays	40,400	30,300	311,332	2,574
Total expenditures	<u>10,112,300</u>	<u>10,099,590</u>	<u>10,313,912</u>	<u>3,712,489</u>
	(8,071,824)	<u>\$ (8,059,114)</u>	(8,226,146)	(1,675,699)
Fund balance, beginning of year	<u>5,173,498</u>		<u>5,173,498</u>	<u>6,862,362</u>
Fund balance, end of period	<u><u>\$ (2,898,326)</u></u>		<u><u>\$ (3,052,648)</u></u>	<u><u>\$ 5,186,663</u></u>

**City of Franklin**  
**Tax Increment Financing District #4**  
**Balance Sheet**  
**November 30, 2014 and 2013**

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ 121,544	\$ 129,605
Developer receivable	1,199	1,199
Taxes receivable	-	-
<b>Total Assets</b>	<b>\$ 122,743</b>	<b>\$ 130,804</b>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ -	\$ -
Unearned revenue	-	-
Interfund Advance from Development Fund	1,238,000	2,163,000
<b>Total Liabilities</b>	<b>1,238,000</b>	<b>2,163,000</b>
Unassigned Fund Balance	(1,115,257)	(2,032,196)
<b>Total Liabilities and Fund Balance</b>	<b>\$ 122,743</b>	<b>\$ 130,804</b>

**Statement of Revenue, Expenses and Fund Balance**  
**For the Eleven months ended November 30, 2014 and 2013**

	<u>2014</u> <u>Annual</u> <u>Forecast</u>	<u>2014</u> <u>Year-to-Date</u> <u>Forecast</u>	<u>2014</u> <u>Year-to-Date</u> <u>Actual</u>	<u>2013</u> <u>Year-to-Date</u> <u>Actual</u>
<b>Revenue</b>				
General property tax levy	\$ 954,700	\$ 954,700	\$ 972,728	\$ 862,296
State exempt computer aid	24,600	24,600	24,620	46,504
Investment income	1,600	1,456	963	(2,129)
<b>Total revenue</b>	<b>980,900</b>	<b>980,756</b>	<b>998,311</b>	<b>906,671</b>
<b>Expenditures</b>				
Debt service/interfund interest	77,400	\$ 58,050	45,706	76,991
Administrative expenses	11,400	10,374	8,985	11,374
Capital outlays	-	-	-	-
<b>Total expenditures</b>	<b>88,800</b>	<b>68,424</b>	<b>54,691</b>	<b>88,365</b>
Revenue over (under) expenditures	892,100	<b>\$ 912,332</b>	943,620	818,306
Fund balance, beginning of year	(2,058,877)		(2,058,877)	(2,850,502)
Fund balance, end of period	<b>\$ (1,166,777)</b>		<b>\$ (1,115,257)</b>	<b>\$ (2,032,196)</b>

**City of Franklin**  
**Solid Waste Collection Fund**  
**Balance Sheet**  
**November 30, 2014 and 2013**

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ 464,165	\$ 398,817
<b>Total Assets</b>	<b>\$ 464,165</b>	<b>\$ 398,817</b>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ -	\$ -
Accrued salaries & wages	315	317
Restricted fund balance	463,850	398,500
<b>Total Liabilities and Fund Balance</b>	<b>\$ 464,165</b>	<b>\$ 398,817</b>

**Statement of Revenue, Expenses and Fund Balance**  
**For the Eleven months ended November 30, 2014 and 2013**

<u>Revenue</u>	<u>2014</u> <u>Adopted</u> <u>Budget</u>	<u>2014</u> <u>Year-to-Date</u> <u>Budget</u>	<u>2014</u> <u>Year-to-Date</u> <u>Actual</u>	<u>2013</u> <u>Year-to-Date</u> <u>Actual</u>
Grants	\$ 69,000	69,000	\$ 69,214	\$ 69,267
User Fees	1,157,000	1,156,948	1,168,087	1,153,776
Landfill Operations-tippage	331,800	276,737	296,919	274,646
Investment Income	-	-	7,557	(4,453)
Sale of Recycling Bins	-	-	-	21
Sale of Recyclables	5,000	4,583	6,728	9,095
<b>Total Revenue</b>	<b>1,562,800</b>	<b>1,507,268</b>	<b>1,548,505</b>	<b>1,502,352</b>
<b>Expenditures:</b>				
Personal Services	22,194	20,487	17,591	30,617
Refuse Collection	654,200	599,683	541,507	529,844
Recycling Collection	361,800	331,650	298,772	292,623
Leaf & Brush Pickups	53,000	48,583	35,060	34,291
Tippage Fees	430,600	394,717	377,632	315,103
Miscellaneous	1,960	1,797	2,400	1,920
<b>Total expenditures</b>	<b>1,523,754</b>	<b>1,396,917</b>	<b>1,272,962</b>	<b>1,204,398</b>
Revenue over (under) expenditures	39,046	<u>110,351</u>	275,543	297,954
Fund balance, beginning of year	<u>188,307</u>		<u>188,307</u>	<u>100,546</u>
Fund balance, end of period	<u>\$ 227,353</u>		<u>\$ 463,850</u>	<u>\$ 398,500</u>

**City of Franklin  
Sanitary Sewer Fund  
Comparative Balance Sheet  
November 30, 2014 and 2013**

	2014	2013
<b><u>Assets</u></b>		
Current assets:		
Cash and investments	\$ 1,858,452	\$ 883,158
Accounts receivable	76,335	67,251
Accrued receivables	-	-
Taxes receivable	110,305	133,253
Due from Franklin Water Utility	399,526	853,344
Miscellaneous receivable	101,313	318,406
Total current assets	2,545,931	2,255,412
Non current assets:		
Due from MMSD	26,060,244	25,339,502
Sanitary Sewer plant in service:		
Land	358,340	358,340
Buildings and improvements	1,610,613	1,605,333
Improvements other than buildings	53,965,043	53,255,869
Machinery and equipment	823,018	691,047
Construction in progress	127,059	118,219
	56,884,073	56,028,808
Less accumulated depreciation	(13,520,452)	(12,901,720)
Net sanitary sewer plant in service	43,363,621	43,127,088
Total Assets	\$ 71,969,796	\$ 70,722,002
<b><u>Liabilities and Net Assets</u></b>		
Current liabilities:		
Accounts payable	\$ 129	\$ 2,248
Accrued liabilities	24,861	23,942
Due to Franklin Water Utility	-	-
Due to General Fund - non-interest bearing	2,299,303	505,040
Total current liabilities	2,324,293	531,230
Non current liabilities:		
Accrued compensated absences	57,727	52,775
General Obligation Notes payable - CWF	23,486,522	24,531,957
Total liabilities	25,868,542	25,115,962
Net Assets:		
Invested in capital assets, net of related debt	43,363,621	43,127,088
Sewer equipment replacement	277,230	334,508
Retained earnings	2,460,403	2,144,444
Total net assets	46,101,254	45,606,040
Total Liabilities and Net Assets	\$ 71,969,796	\$ 70,722,002

**City of Franklin**  
**Sanitary Sewer Fund**  
**Statement of Revenue, Expenditures,**  
**and Changes in Net Assets**  
**For the Eleven months ended November 30, 2014 and 2013**

	2014 Amended Budget	2014 Year-to-Date Budget	Current Year-to-Date Totals	Prior Year-to-Date Totals
<b>Operating Revenue</b>				
Residential	\$ 1,919,075	\$ 1,438,907	\$ 1,384,731	\$ 1,367,071
Commercial	869,938	668,462	330,334	621,680
Industrial	284,321	210,668	296,907	281,308
Public Authority	218,545	164,242	118,575	132,364
Penalties/Other	40,000	39,338	31,347	34,095
Multi Family	-	-	306,557	-
<b>Total Operating Revenue</b>	<u>3,331,879</u>	<u>2,521,617</u>	<u>2,468,451</u>	<u>2,436,518</u>
<b>Operating Expenditures</b>				
Salaries and benefits	\$ 462,678	\$ 427,087	\$ 399,612	\$ 456,775
Contractual services	100,365	90,709	81,594	82,836
Supplies	102,500	91,025	59,020	61,522
Facility charges	57,504	50,580	30,680	37,679
Shared meter costs	10,000	7,500	-	-
Sewer service - MMSD	2,048,209	1,536,157	1,492,990	1,462,181
Other operating costs	21,945	20,832	21,343	18,339
Allocated expenses	108,510	99,467	95,700	88,276
Sewer improvements	275,000	136,963	129,058	97,814
Depreciation	63,500	58,208	58,300	66,924
<b>Total operating expenditures</b>	<u>3,250,211</u>	<u>2,518,528</u>	<u>2,368,297</u>	<u>2,372,346</u>
<b>Operating Income (Loss)</b>	81,668	3,089	100,154	64,172
<b>Non-Operating Revenue (Expenditures)</b>				
Miscellaneous income	3,000	2,855	1,565	2,350
Investment income	518,860	475,622	656,174	480,299
Interest expense	(510,860)	(510,860)	(591,464)	(482,502)
RCI expenses	-	-	-	-
<b>Total non-operating revenue (expenditures)</b>	<u>11,000</u>	<u>(32,383)</u>	<u>66,275</u>	<u>147</u>
<b>Income (Loss) before Capital Contributions</b>	<u>92,668</u>	<u>(29,294)</u>	<u>166,429</u>	<u>64,319</u>
Retained Earnings- Beginning	2,660,847	2,660,847	2,660,847	2,422,962
Transfer (to) from Invested in Capital Assets	(1,430,450)	(3,933,738)	(89,643)	(8,329)
<b>Retained Earnings- Ending</b>	<u>1,323,065</u>	<u>(1,302,185)</u>	<u>2,737,633</u>	<u>2,478,952</u>
<b>Capital Contributions</b>	600,000	450,000	-	-
Depreciation - CIAC	(586,000)	(537,167)	(537,900)	(537,167)
Transfer (to) from Retained Earnings	1,430,450	1,311,246	89,643	8,329
Change in Net Investment in Capital Assets	1,444,450	1,224,079	(448,257)	(528,838)
Net Investment in Capital Assets-Beginning	43,811,878	43,811,878	43,811,878	43,655,926
<b>Net Investment in Capital Assets-Ending</b>	<u>45,256,328</u>	<u>45,035,957</u>	<u>43,363,621</u>	<u>43,127,088</u>
<b>Total net assets</b>	<u>\$ 46,579,393</u>	<u>\$ 43,733,772</u>	<u>\$ 46,101,254</u>	<u>\$ 45,606,040</u>

**City of Franklin  
Capital Outlay Fund  
Balance Sheet  
November 30, 2014 and 2013**

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ 399,929	\$ 402,555
Accrued Receivables	-	757
Total Assets	<u>\$ 399,929</u>	<u>\$ 403,312</u>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ 8,942	\$ -
Miscellaneous claims payable	18,278	23,746
Encumbrance	54,131	-
Assigned fund balance	318,578	379,566
Total Liabilities and Fund Balance	<u>\$ 399,929</u>	<u>\$ 403,312</u>

**Statement of Revenue, Expenses and Fund Balance  
For the Eleven months ended November 30, 2014 and 2013**

<u>Revenue</u>	<u>2014 Amended Budget</u>	<u>2014 Year-to-Date Budget</u>	<u>2014 Year-to-Date Actual *</u>	<u>2013 Year-to-Date Actual</u>
Property Taxes	\$ 430,000	\$ 430,000	\$ 430,000	\$ 394,000
Grants	-	-	10,082	6,652
Landfill Siting	67,000	67,000	67,000	100,000
Investment Income	-	-	13,953	(2,973)
Miscellaneous Revenue	30,000	22,966	16,783	40,359
Transfers from Fund Balance	97,296	-	-	-
Total Revenue	<u>624,296</u>	<u>519,966</u>	<u>537,818</u>	<u>538,038</u>
<b>Expenditures:</b>				
General Government	130,250	78,141	49,840	57,131
Public Safety	448,562	344,360	408,556	352,332
Public Works	84,870	77,797	79,491	79,754
Health and Human Services	510	468	-	-
Culture and Recreation	18,000	16,500	18,678	2,845
Conservation and Development	1,750	1,604	250	1,543
Contingency	55,000	45,833	-	-
Total expenditures	<u>738,942</u>	<u>564,703</u>	<u>556,815</u>	<u>493,605</u>
Revenue over (under) expenditures	(114,646)	<u>(44,737)</u>	(18,997)	44,433
Fund balance, beginning of year	<u>337,575</u>		<u>337,575</u>	<u>335,133</u>
Fund balance, end of period	<u>\$ 222,929</u>		<u>\$ 318,578</u>	<u>\$ 379,566</u>

\* Amount shown is actual expenditures plus encumbrance

12/10/2014

Findata:Qtrrpt Capital Outlay November 2014

**City of Franklin  
Equipment Replacement Fund  
Comparative Balance Sheet  
November 30, 2014 and 2013**

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ 2,181,453	\$ 1,809,846
<b>Total Assets</b>	<b>\$ 2,181,453</b>	<b>\$ 1,809,846</b>
 <u>Liabilities and Fund Balance</u>		
Accounts payable	\$ -	\$ -
Encumbrance	-	-
Assigned fund balance	2,181,453	1,809,846
<b>Total Liabilities and Fund Balance</b>	<b>\$ 2,181,453</b>	<b>\$ 1,809,846</b>

**Comparative Statement of Revenue, Expenses and Fund Balance  
For the Eleven months ended November 30, 2014 and 2013**

	2014 Amended Budget	2014 Year-to-Date Budget	2014 Year-to-Date Actual *	2013 Year-to-Date Actual
<b>Revenue:</b>				
Property Taxes	\$ 337,000	\$337,000	\$ 337,000	\$ 285,000
Landfill	100,000	100,000	100,000	150,000
Investment Income	-	-	54,945	(10,251)
Transfers from Other Funds	-	-	5,395	-
Property Sales	-	-	3,077	24,791
Transfers From Fund Balance	10,600	-	-	-
<b>Total revenue</b>	<b>447,600</b>	<b>437,000</b>	<b>500,417</b>	<b>449,540</b>
<b>Expenditures:</b>				
Public Safety	60,100	48,139	56,159	156,661
Public Works	182,000	160,109	178,850	249,728
<b>Total expenditures</b>	<b>242,100</b>	<b>208,248</b>	<b>235,009</b>	<b>406,389</b>
Revenue over (under) expenditures	205,500	<u>228,752</u>	265,408	43,151
Fund balance, beginning of year	1,916,045		1,916,045	1,766,695
Fund balance, end of period	<u>\$ 2,121,545</u>		<u>\$ 2,181,453</u>	<u>\$ 1,809,846</u>

\* Amount shown is actual expenditures plus emcumbrance

**City of Franklin  
Capital Improvement Fund  
Balance Sheet  
November 30, 2014 and 2013**

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ (6,858)	\$ 24,472
Due from State of Wisconsin	-	-
Accrued receivables	847	847
<b>Total Assets</b>	<b><u>\$ (6,011)</u></b>	<b><u>\$ 25,319</u></b>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ 9,502	\$ 41,972
Contracts Payable	47,324	19,912
Accrued payables	122,457	-
Encumbrance	-	-
Assigned fund balance	(185,294)	(36,565)
<b>Total Liabilities and Fund Balance</b>	<b><u>\$ (6,011)</u></b>	<b><u>\$ 25,319</u></b>

**Statement of Revenue, Expenses and Fund Balance  
For the Eleven months ended November 30, 2014 and 2013**

	<u>2014 Amended Budget</u>	<u>2014 Year-to-Date Totals</u>	<u>2013 Year-to-Date Totals</u>
<b>Revenue:</b>			
Block Grants	\$ 147,000	\$ -	\$ -
Landfill Siting	296,000	385,115	164,380
Transfers from Other Funds	2,700,000	-	-
Transfers from General Funds	200,000	-	85,982
Transfers from Impact Fees	1,410,140	291,993	100,000
Transfers from Connection Fees	1,000,000	122,935	-
Transfers from Fund Balance	137,519	-	-
Bond Proceeds	2,000,000	-	-
DPW Charges	7,250	-	-
Investment Income	-	198	(1,309)
<b>Total revenue</b>	<b><u>7,897,909</u></b>	<b><u>800,241</u></b>	<b><u>349,053</u></b>
<b>Expenditures:</b>			
General Government	257,764	3,190	89,775
Public Safety	313,000	300,961	6,143
Public Works	834,712	551,169	135,754
Culture and Recreation	840,626	318,189	192,432
Sewer & Water	-	130,954	235,126
Contingency	5,423,700	-	-
<b>Total expenditures</b>	<b><u>7,669,802</u></b>	<b><u>1,304,463</u></b>	<b><u>659,230</u></b>
Revenue over (under) expenditures	228,107	(504,222)	(310,177)
Fund balance, beginning of year	318,928	318,928	273,612
<b>Fund balance, end of period</b>	<b><u>\$ 547,035</u></b>	<b><u>\$ (185,294)</u></b>	<b><u>\$ (36,565)</u></b>

**City of Franklin  
Street Improvement Fund  
Balance Sheet  
November 30, 2014 and 2013**

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ 250,577	\$ 439,158
Total Assets	<u>\$ 250,577</u>	<u>\$ 439,158</u>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ -	\$ -
Assigned fund balance	250,577	439,158
Total Liabilities and Fund Balance	<u>\$ 250,577</u>	<u>\$ 439,158</u>

**Statement of Revenue, Expenses and Fund Balance  
For the Eleven months ended November 30, 2014 and 2013**

	<u>2014 Amended Budget</u>	<u>2014 Year-to-Date Totals</u>	<u>2013 Year-to-Date Totals</u>
<b>Revenue:</b>			
Property Taxes	\$ 681,600	\$ 681,600	\$ 610,000
Landfill Siting	133,000	133,000	200,000
Investment Income	-	12,882	(4,489)
Local Road Improvement Aids	78,000	-	-
Refunds and Reimbursements	-	-	15,571
Transfer from General Fund	200,000	-	-
Transfer from Fund Balance	36,900	-	-
Total revenue	<u>1,129,500</u>	<u>827,482</u>	<u>821,082</u>
 <b>Expenditures:</b>			
Street Reconstruction Program - Current Year	960,000	795,468	731,248
Transfer to General Fund	200,000	-	-
Street Reconstruction Program - Prior Year	36,900	-	-
Total expenditures	<u>1,196,900</u>	<u>795,468</u>	<u>731,248</u>
Revenue over (under) expenditures	(67,400)	32,014	89,834
Fund balance, beginning of year	<u>218,563</u>	<u>218,563</u>	<u>349,324</u>
Fund balance, end of period	<u>\$ 151,163</u>	<u>\$ 250,577</u>	<u>\$ 439,158</u>

**City of Franklin  
Development Fund  
Comparative Balance Sheet  
November 30, 2014 and 2013**

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ 1,118,129	\$ 1,905,397
Due From Debt Service Fund	1,075,000	2,137,000
Due From TID 3	2,250,000	1,150,000
<b>Total Assets</b>	<b><u>\$ 4,443,129</u></b>	<b><u>\$ 5,192,397</u></b>
 <u>Liabilities and Fund Balance</u>		
Accounts payable	\$ -	\$ 1,990
Non- Spendable - Advances	3,287,000	3,112,000
Unearned Revenue - Other	-	97,928
Assigned fund balance	1,156,129	1,980,479
<b>Total Fund Balance</b>	<b><u>4,443,129</u></b>	<b><u>5,092,479</u></b>
<b>Total Liabilities and Fund Balance</b>	<b><u>\$ 4,443,129</u></b>	<b><u>\$ 5,192,397</u></b>

**Comparative Statement of Revenue, Expenses and Fund Balance  
For the Eleven months ended November 30, 2014 and 2013**

	<u>2014</u>	<u>2014</u>	<u>2014</u>	<u>2013</u>
	<u>Amended</u>	<u>Year-to-Date</u>	<u>Year-to-Date</u>	<u>Year-to-Date</u>
	<u>Budget</u>	<u>Budget</u>	<u>Actual</u>	<u>Actual</u>
<b>Revenue:</b>				
Impact Fee: Parks	\$ 194,250	\$ 188,120	\$ 176,144	\$ 311,574
Impact Fee: Southwest Sewer Serv	-	-	17,568	11,712
Impact Fee: Administration	5,000	4,719	5,665	5,555
Impact Fee: Water	275,000	245,355	215,715	368,329
Impact Fee: Transportation	17,000	15,699	51,208	10,695
Impact Fee: Fire Protection	31,250	29,332	46,961	34,396
Impact Fee: Law Enforcement	60,000	56,307	86,253	62,574
Impact Fee: Library	62,500	60,453	49,430	64,585
<b>Total Impact Fees</b>	<b><u>645,000</u></b>	<b><u>599,985</u></b>	<b><u>648,944</u></b>	<b><u>869,420</u></b>
Investment Income	10,000	9,167	44,041	(7,058)
Interfund Interest Income	85,694	78,553	90,507	89,162
<b>Total revenue</b>	<b><u>740,694</u></b>	<b><u>687,705</u></b>	<b><u>783,492</u></b>	<b><u>951,524</u></b>
<b>Expenditures:</b>				
Other Professional Services	-	-	-	7,500
Transfer to Debt Service:				
Law Enforcement	204,978	204,978	78,010	154,678
Fire	43,013	43,013	42,959	43,013
Transportation	73,535	73,535	44,734	73,535
Library	133,650	133,650	61,108	95,050
<b>Total Transfers to Debt Service</b>	<b><u>455,176</u></b>	<b><u>455,176</u></b>	<b><u>226,811</u></b>	<b><u>366,276</u></b>
Transfer to Capital Improvement Fund:				
Water	-	-	-	-
Park	1,557,949	1,247,236	291,993	100,000
<b>Total Transfers to Capital Improve</b>	<b><u>1,557,949</u></b>	<b><u>1,247,236</u></b>	<b><u>291,993</u></b>	<b><u>100,000</u></b>
Transfer to Water Utility	873,727	-	873,727	-
<b>Total expenditures</b>	<b><u>2,886,852</u></b>	<b><u>1,702,412</u></b>	<b><u>1,392,531</u></b>	<b><u>473,776</u></b>
Revenue over (under) expenditures	(2,146,158)	<u>(1,014,707)</u>	(609,039)	477,748
Fund balance, beginning of year	<u>5,052,168</u>		<u>5,052,168</u>	<u>4,614,731</u>
Fund balance, end of period	<b><u>\$ 2,906,010</u></b>		<b><u>\$ 4,443,129</u></b>	<b><u>\$ 5,092,479</u></b>

**City of Franklin  
Self Insurance Fund - Actives  
Balance Sheet  
November 30, 2014 and 2013**

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ 1,386,701	\$ 1,283,195
Accounts receivable	384	(8,921)
Interfund advance receivable	2,338,000	2,163,000
Prepaid expenses	57,500	-
<b>Total Assets</b>	<b><u>\$ 3,782,585</u></b>	<b><u>\$ 3,437,274</u></b>
 <u>Liabilities and Net Assets</u>		
Accounts payable	\$ -	\$ 17,637
Claims payable	379,100	352,000
Special deposits	-	-
Unrestricted net assets	3,403,485	3,067,637
<b>Total Liabilities and Fund Balance</b>	<b><u>\$ 3,782,585</u></b>	<b><u>\$ 3,437,274</u></b>

**City of Franklin Self Insurance Fund - Actives  
Statement of Revenue, Expenses and Fund Balance  
For the Eleven months ended November 30, 2014 and 2013**

<u>Revenue</u>	<u>2014 Forecast</u>	<u>2014 Year-to-Date Forecast</u>	<u>2014 Year-to-Date Actual</u>	<u>2013 Year-to-Date Actual</u>
Medical Premiums-City	\$ 2,959,000	\$ 2,712,417	\$ 2,429,909	\$ 2,618,126
Medical Premiums-Employee	412,300	377,942	409,612	367,764
Other - Investment Income, etc.	92,190	84,508	95,196	73,174
Medical Revenue	<u>3,463,490</u>	<u>3,174,866</u>	<u>2,934,717</u>	<u>3,059,064</u>
Dental Premiums-City	105,630	96,828	95,667	88,566
Dental Premiums-Retirees	6,000	5,500	4,800	5,580
Dental Premiums-Employee	59,000	54,083	49,365	51,851
Dental Revenue	<u>170,630</u>	<u>156,411</u>	<u>149,832</u>	<u>145,997</u>
<b>Total Revenue</b>	<b><u>3,634,120</u></b>	<b><u>3,331,277</u></b>	<b><u>3,084,549</u></b>	<b><u>3,205,061</u></b>
 <b>Expenditures:</b>				
<b>Active Employees-Medical</b>				
Medical claims - Current Year	2,275,200	2,085,600	1,267,364	1,893,708
Medical claims - Prior Year	54,300	49,775	361,721	409,876
Prescription drug claims	341,100	312,675	248,039	311,095
Refunds-Stop Loss Coverage	-	-	(418)	(253,768)
Total Claims-Actives	<u>2,670,600</u>	<u>2,448,050</u>	<u>1,876,706</u>	<u>2,360,911</u>
Medical Claim Fees	167,600	153,633	181,252	148,235
Memberships	-	-	4,525	-
Miscellaneous Wellness	21,300	19,525	11,190	17,594
Section 125 administration Fee	5,500	5,042	5,080	359
Stop Loss Premiums	487,500	446,875	549,788	488,414
Total Medical Costs-Actives	<u>3,352,500</u>	<u>3,073,125</u>	<u>2,628,541</u>	<u>3,015,513</u>
<b>Active Employees-Dental</b>				
Dental claims - Current Year	147,000	134,750	125,618	123,008
Dental claims - Prior Year	-	-	16,966	16,050
Dental Claim Fees	5,600	5,133	11,185	5,204
Total Dental Costs-Actives	<u>152,600</u>	<u>139,883</u>	<u>153,769</u>	<u>144,262</u>
<b>Retirees-Dental</b>				
Dental claims - Current Year	7,400	6,783	4,841	5,609
Dental claims - Prior Year	-	-	-	808
Dental Claim Fees	-	-	314	133
Total Dental Costs-Retirees	<u>7,400</u>	<u>6,783</u>	<u>5,155</u>	<u>6,550</u>
Total Dental Costs	<u>160,000</u>	<u>146,667</u>	<u>158,924</u>	<u>150,812</u>
<b>Total Expenditures</b>	<b><u>3,512,500</u></b>	<b><u>3,219,792</u></b>	<b><u>2,787,465</u></b>	<b><u>3,166,325</u></b>
Revenue over (under) expenditures	121,620	<u>\$ 111,485</u>	297,084	38,736
Net assets, beginning of year	<u>3,106,401</u>	<u>3,106,401</u>	<u>3,106,401</u>	<u>3,028,901</u>
<b>Net assets, end of period</b>	<b><u>\$ 3,228,021</u></b>	<b><u>\$ 3,403,485</u></b>	<b><u>\$ 3,403,485</u></b>	<b><u>\$ 3,067,637</u></b>

**City of Franklin**  
**City of Franklin Post Employment Benefits Trust**  
**Balance Sheet**  
**November 30, 2014 and 2013**

<u>Assets</u>	<u>2014</u>	<u>2013</u>
Cash and investments	\$ (97,302)	\$ (112,653)
Investments held in trust - Fixed Inc	1,022,279	594,248
Investments held in trust - Equities	3,521,319	3,381,526
Accounts receivable	6,237	112,067
<b>Total Assets</b>	<b><u>\$ 4,452,533</u></b>	<b><u>\$ 3,975,188</u></b>
<u>Liabilities and Net Assets</u>		
Accounts payable	\$ -	\$ 14,336
Claims payable	77,182	24,500
Due from OPEB Trust	-	-
Net assets held in trust for post employment benefits	4,375,351	3,936,352
<b>Total Liabilities and Fund Balance</b>	<b><u>\$ 4,452,533</u></b>	<b><u>\$ 3,975,188</u></b>

**City of Franklin Post Employment Benefits Trust**  
**Statement of Revenue, Expenses and Fund Balance**  
**For the Eleven months ended November 30, 2014 and 2013**

<u>Revenue</u>	<u>2014</u> <u>Forecast</u>	<u>2014</u> <u>Year-to-Date</u> <u>Forecast</u>	<u>2014</u> <u>Year-to-Date</u> <u>Actual</u>	<u>2013</u> <u>Year-to-Date</u> <u>Actual</u>
ARC Medical Charges - City	\$ 209,300	\$ 191,858	\$ 149,220	\$ 144,349
Medical Charges - Retirees	125,000	114,583	84,492	95,487
Implicit Rate Subsidy	261,080	239,323	93,090	355,357
Interest Income			(1,114)	(1,480)
<b>Medical Revenue</b>	<b><u>595,380</u></b>	<b><u>545,765</u></b>	<b><u>325,688</u></b>	<b><u>593,713</u></b>
<b>Expenditures:</b>				
<b>Retirees-Medical</b>				
Medical claims - Current Year	342,400	313,867	172,126	444,821
Medical claims - Prior Year	39,080	35,823	88,199	66,077
Prescription drug claims	160,200	146,850	67,643	173,900
Refunds-Stop Loss Coverage	-	-	(69,880)	(150,975)
<b>Total Claims-Retirees</b>	<b><u>541,680</u></b>	<b><u>496,540</u></b>	<b><u>258,088</u></b>	<b><u>533,823</u></b>
Medical Claim Fees	7,500	6,875	13,929	13,487
Stop Loss Premiums	46,200	42,350	53,585	46,366
Miscellaneous Expense	-	-	86	37
<b>Total Medical Costs-Retirees</b>	<b><u>595,380</u></b>	<b><u>545,765</u></b>	<b><u>325,688</u></b>	<b><u>593,713</u></b>
 Revenue over (under) expenditures	 -	 -	 -	 -
 Annual Required Contribution-Net	 300,420	 275,385	 263,490	 194,541
Other - Investment Income, etc.	302,100	276,925	305,677	365,657
<b>Total Revenues</b>	<b><u>602,520</u></b>	<b><u>552,310</u></b>	<b><u>569,167</u></b>	<b><u>560,198</u></b>
 Net Revenues (Expenditures)	 602,520	 552,310	 569,167	 560,198
 Net assets, beginning of year	 <u>3,806,184</u>		 <u>3,806,184</u>	 <u>3,376,154</u>
 Net assets, end of period	 <u>\$ 4,408,704</u>		 <u>\$ 4,375,351</u>	 <u>\$ 3,936,352</u>

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<b>APPROVAL</b> <i>Slw</i>	<b>REQUEST FOR COUNCIL ACTION</b>	<b>MEETING DATE</b> <b>1/06/2015</b>
<b>LICENSES AND PERMITS</b>	<b>MISCELLANEOUS LICENSES</b>	<b>ITEM NUMBER</b> <b>H.1.</b>
<p data-bbox="191 380 881 415">See attached list from meeting of January 6, 2015.</p> <p data-bbox="527 1539 1094 1575"><b>COUNCIL ACTION REQUESTED</b></p>		



**City of Franklin**

9229 W. Loomis Road  
Franklin, WI 53132-9728

414-425-7500

**License Committee  
Agenda\*  
Aldermen's Room  
January 6, 2015 – 5:30 pm**

<b>1.</b>	<b>Call to Order &amp; Roll Call</b>	<b>Time:</b>		
<b>2.</b>	<b>Applicant Interviews &amp; Decisions</b>			
<b>License Applications Reviewed</b>		<b>Recommendations</b>		
<b>Type/ Time</b>	<b>Applicant Information</b>	<b>Approve</b>	<b>Hold</b>	<b>Deny</b>
<b>Operator - New 2014-15 5:35 p.m</b>	<b>Gaedtke, Cheri L</b> 8037 W High St Franklin, WI 53132 The Landmark			
<b>Operator - New 2014-15</b>	<b>Brengosz, Wendy L</b> 411 N Greenfield Ave Waukesha, WI 53186 Michaelangelo's Pizza			
<b>Operator - New 2014-15</b>	<b>Peterson, Jason R</b> 129 Allen St, Apt #6 Walworth, WI 53184 Walgreen – S 76 <sup>th</sup> St			
<b>Operator - New 2014-15</b>	<b>Steingold, Dale E</b> 1832 108 <sup>th</sup> St Franksville, WI 53126 Staybridge Suites			
<b>People Uniting for the Betterment of Life and Investment in the Community (PUBLIC) Grant</b>	<b>Franklin Lioness Club – Fund Raiser</b> Fee Waivers: St Martins Fair Permit, Temporary Class B Beer & Wine Licenses and Temporary Operators Licenses Date of the Event(s): 9/6 & 9/7/2015 (Labor Day Wknd Event) Location: St Martins Fair			
<b>Police Incident Reports from July 1, 2014 thru January 1, 2015 for Class A and B Establishments</b>	Review of Police Incident Reports from July 1, 2014 thru January 1, 2015 for Class A and B Establishments			
<b>3.</b>	<b>Adjournment</b>	<b>Time</b>		

\*Notice is given that a majority of the Common Council may attend this meeting to gather information about an agenda item over which they have decision-making responsibility. This may constitute a meeting of the Common Council per State ex rel. Badke v. Greendale Village Board, even though the Common Council will not take formal action at this meeting.

<b>APPROVAL</b> <i>Slw Paul</i>	<b>REQUEST FOR COUNCIL ACTION</b>	<b>MEETING DATE</b> <b>1/6/15</b>
<b>Bills</b>	<b>Vouchers and Payroll Approval</b>	<b>ITEM NUMBER</b> <b>I. 1</b>

Attached are vouchers dated December 12, 2014 through January 2, 2015 Nos. 154779 through 155005 in the amount of \$ 3,818,404.38. Included in this total is \$1,560,000 as the purchase of a Corporate Bond. Included in this listing are EFT's Nos. 2770 through 2788. Library vouchers totaled \$13,527.75. Voided checks totaled \$(59.12) and are on a separate list.

The net payroll dated December 26, 2014 is \$ 364,034.86 previously estimated at \$ 355,000. Payroll deductions for December 26, 2014 are \$ 357,451.46 previously estimated at \$ 370,000.

The estimated payroll for January 9, 2015 is \$ 364,000 with estimated deductions of \$ 207,100.

Attached is a list of property tax settlements dated December 12, 2014 through December 26, 2014 Nos. 13301 through 13973 in the amount of \$ 117,710.10. A Voided property tax check in the amount of \$ (21.84) is separately listed.

***COUNCIL ACTION REQUESTED***

Motion approving net general checking account City vouchers in the range of Nos. 154779 through Nos. 155005 in the amount of \$ 3,818,404.38 dated December 12, 2014 through January 2, 2015.

Motion approving the net payroll dated December 26, 2014 in the amount of \$ 364,034.86 and payments of the various payroll deductions in the amount of \$ 357,451.46 plus any City matching payments, where required.

Motion approving the net payroll dated January 9, 2015 estimated at \$ 364,000.00 and payments of the various payroll deductions estimated at \$ 207,100.00, plus any City matching payments, where required.

Motion approving property tax refunds in the range of Nos. 13301 through Nos. 13973 in the amount of \$ 117,710.10 dated December 12, 2014 through December 26, 2014.