

# BUILDINGS, HVAC, COMPLIANCE STATEMENT SBD-9720

This form is required to be submitted by the supervising professional (architect, engineer, HVAC designer or electrical designer) observing construction of projects within buildings with total volume of 50,000 cubic feet or greater and bleachers (SPS 361.40). Failure to submit this form may result in penalties as specified in SPS 361.23 and/or local ordinances. This form must be submitted prior to the plan approval expiration date or another submittal may be required.

**General Instructions:** Prior to the initial occupancy of new buildings or additions and the final occupancy of altered existing buildings, submit this completed and signed form to:

- **The municipal building inspection office (refer to the plan approval letter for agency address) and**
- **Industry Services Division, the office of the original plan review.**

Note: If the review was done by the municipality, the compliance statement goes only to the municipal building inspector. A copy is not needed by Industry Services.

Personal information you provide may be used for secondary purposes [Privacy Law, s. 15.04 (1)(m)].

**1. PROJECT INFORMATION:** Please fill in the following with information from your plan approval letter.

Transaction ID Number \_\_\_\_\_ Project Name \_\_\_\_\_

Site Number \_\_\_\_\_

Site location (number & street) \_\_\_\_\_

City  Village  Town of \_\_\_\_\_ County of \_\_\_\_\_

**2. PURPOSE OF THIS STATEMENT:** (Check Box A, B, C, or D to indicate purpose and complete any other applicable boxes and information. Attach additional pages if necessary.)

Check those which apply:  Building Object ID # \_\_\_\_\_  HVAC Object ID # \_\_\_\_\_

Partial Completion \_\_\_\_\_  
Description of Portion Completed \_\_\_\_\_

**A)  Statement of Substantial Compliance**

To the best of my knowledge, belief, and based on onsite observation, construction of the following building and/or HVAC items applicable to this project have been completed in substantial compliance with the approved plans and specifications.

**BUILDING/LIGHTING ITEMS**

- |  |   |
|--|---|
| 1. Structural system including submittal and erection of all building components (trusses, precast, metal building, etc.)  | 10. Exterior lighting & control requirements                          |
| 2. Fire protection systems (sprinklers, alarms, smoke detectors) designed, installed, and tested (including forward flow on back flow devices) by appropriately registered professionals | 11. Interior lighting & control requirements                          |
| 3. Shaft and stairway enclosure  | 12. All conditions of lighting plan approval and applicable variances |
| 4. Exits including exit and directional lights   |   |
| 5. Fire-resistive construction, enclosure of hazards, fire walls, labeled doors, class of construction, fire stopped penetrations  | <input type="checkbox"/> <b>HVAC ITEMS</b>                            |
| 6. Sanitation system (toilets, sinks, drinking facilities)   | 1. HVAC system including final test                                   |
| 7. Barrier-free including SPS 318 elevators and lifts  | 2. All conditions of HVAC plan approval and applicable variances      |
| 8. Energy envelope requirements  |   |
| 9. All conditions of building plan approval and applicable variances   |   |

The following items are not in compliance and must be addressed: \_\_\_\_\_

**B)  Statement of Noncompliance**

Due to the following listed violations, this project is not ready for occupancy: \_\_\_\_\_

**C)  Supervising Professional Withdrawn From Project** (Use A or B above to indicate project status as of this date.)

**D)  Project Abandoned**

**3. SUPERVISING PROFESSIONAL SIGNATURE FOR:**

Building  HVAC \_\_\_\_\_ Date \_\_\_\_\_

Name (please print or type)

Phone number \_\_\_\_\_ Customer ID # \_\_\_\_\_ Signature \_\_\_\_\_